



DEPARTMENT OF HEALTH & HUMAN SERVICES

ADMINISTRATION FOR CHILDREN AND FAMILIES
Administration on Children, Youth and Families
1250 Maryland Avenue, S.W.
Washington, D.C. 20024

April 2, 2014

Ms. Erinn Kelley-Siel
Director
Oregon Department of Human Services
500 Summer Street, NE
Salem, Oregon 97301

Dear Ms Kelly-Siel:

The Children's Bureau, in collaboration with the Oregon Department of Human Services (DHS), completed a review of Oregon's Adoption and Foster Care Analysis and Reporting System (AFCARS) data during the week of August 12, 2013. The final report on the AFCARS Assessment Review (AAR) is enclosed, which includes the AFCARS Improvement Plan (AIP).

We appreciate the amount of time and effort that your staff committed to the planning and implementation of the AFCARS Review. Every member of the State team was fully engaged during the review and ensured that the week went smoothly. We appreciate the work that each member put into preparing for the onsite review.

The AAR evaluates two areas: the AFCARS general requirements (reporting populations and technical standards) and the data elements (foster care and adoption). Based on an analysis of the findings, a rating factor is assigned to each of the general requirements and each data element. The rating factors are: "1," the information is not collected and/or is not transmitted to ACF; "2," technical corrections are required; "3," improvement in data quality is needed; and "4," the State fully meets the AFCARS standards. The enclosed report provides a more detailed explanation of each of the rating factors. The State team should carefully review all the findings in each document as there have been some changes from the findings reported onsite. Below are the State's ratings.

General Requirements (22)

Rating Factor	Foster Care (8)	Adoption (3)	Technical (9)	Data Quality (2)
4	4	2	7	0
3	1	1	1	2
2	3	0	1	0
1	0	0	0	0

Data Elements (103)

Rating Factor	Foster Care (66)	Adoption (37)	Total (103)
4	12 (18%)	12 (32%)	24 (23%)
3	25 (38%)	5 (14%)	30 (29%)
2	29 (44%)	19 (51%)	49 (48%)
1	0	1 (3%)	1 (1%)

The enclosed report identifies areas the State needs to address in order to meet the AFCARS requirements. Tab A of the Report contains detailed findings for the general requirements, data elements, and the case file review. The information provided by this AAR will enable the State to bring its data collection and AFCARS reporting into compliance with the AFCARS standards.

Due to corrections that are needed for the foster care elements, the State will have to resubmit AFCARS data for past report periods. The State and the Children's Bureau will discuss which report periods will require resubmission. The agency is encouraged to incorporate a review of its AFCARS data, as well as other data, as part of the periodic reviews conducted for children in foster care. Also, the agency should incorporate a review and analysis of the data as part of its quality assurance process. It is important that the information being used not only for AFCARS reporting but for the agency's own performance measures and other program evaluations is reliable, consistent, and accurate.

Within 30 calendar days after the receipt of this report, the State staff must submit the AIP electronically to the Children's Bureau with estimated due dates for completing the tasks in the AIP. An electronic copy of the final matrices will be e-mailed to your staff. Once the Children's Bureau and the State agree that the quality of the data has improved, and all tasks and revisions to the extraction code have been reviewed and approved, the State will receive a letter summarizing the final results of the review. Additionally, the State's plan for implementing the changes to the system and for caseworker training must be included in the State's title IV-B Child and Family Services Plan and Annual Progress and Services Report as part of the information required by 45 CFR 1357.15(t) and 45 CFR 1357.16(a)(5).

In closing, I would again like to thank the staff who participated in the review for their hard work and their commitment to collecting accurate and reliable AFCARS data. If you have any questions regarding the report, please contact Angelina Palmiero at (202) 205-7240.

Sincerely,

/s/

JooYeun Chang
Associate Commissioner
Children's Bureau

Enclosures

cc: Lois Day, Child Welfare Director, OR DHS
Tina Minor, Regional Program Manager, Children's Bureau Region X

**Oregon
AFCARS
Assessment Review
Report**

March, 2014

**Children's Bureau, Administration on Children, Youth and Families
Administration for Children and Families
U.S. Department of Health and Human Services**

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BACKGROUND

Federal law and regulations require title IV-E agencies operating programs under title IV-E of the Social Security Act (the Act) to submit data to the Adoption and Foster Care Analysis and Reporting System (AFCARS). The data are to be collected on children in foster care and those who have been adopted with title IV-E agency involvement. Title IV-E agencies that fail to meet any of the standards set forth in 45 CFR 1355.40(a-d) are considered to be in substantial noncompliance with the requirements of the title IV-E Plan.¹ Additionally, title IV-E agencies that received funding to develop, implement, and operate a Statewide Automated Child Welfare Information System (SACWIS) or a Tribal Automated Child Welfare Information System (TACWIS) under Federal regulations at 45 CFR 1355.53 are to produce a comprehensive, effective, and efficient system to improve the program management and administration of titles IV-B and IV-E of the Social Security Act. At a minimum, the system must provide for effective management, tracking, and reporting by providing automated procedures and processes to, among other things, meet the adoption and foster care reporting requirements through the collection, maintenance, integrity checking, and electronic transmission of the data elements specified by the AFCARS requirements.

The Children's Bureau is committed to assisting title IV-E agencies to develop child welfare information systems and to collect quality data. To this end, SACWIS/TACWIS and AFCARS Assessment Reviews were developed to assure that the systems support the management of the programs under titles IV-B and IV-E and can produce accurate and reliable foster care and adoption data. All title IV-E agencies will undergo an AFCARS Assessment Review (AAR) regardless of whether an agency operates a SACWIS/TACWIS. The title IV-E agency's information system is assessed against the AFCARS requirements in the Federal regulations, policy issuances, and the AFCARS Technical Bulletins. The AAR evaluates the agency's information system's capability to collect, extract, and transmit the AFCARS data accurately to the Children's Bureau. A second focus of the AAR is to assess the accuracy of the collection and documentation of information related to the foster care and/or adoption case of a child.

The review process goes beyond the edit checks that must be met by a title IV-E agency in order to pass the AFCARS compliance error standards. The review also ascertains the extent to which a title IV-E agency meets all of the AFCARS requirements and examines the quality of its data. Additionally, while the review is an assessment of the title IV-E agency's collection and reporting of AFCARS data, it is also an opportunity for Federal staff to provide substantive technical assistance to agency staff.

Each AAR consists of a thorough analysis of the title IV-E agency's system technical documentation for the collection, extraction and reporting of the AFCARS data. In addition to this review of documentation, the Federal AFCARS team reviews each data element with the agency's team to gain a better understanding of the agency's child welfare practice and policy and agency staff's understanding of the data elements. The data are also compared against a small, randomly selected number of hard copy case files. Through this exercise, the accuracy of the agency's data conversion process (if applicable) and understanding of the information reported to AFCARS is tested.

¹ 45 CFR 1355.40(e)

RATING FACTORS

Two major areas are evaluated during an AFCARS assessment review: the AFCARS general requirements and the data elements. The general requirements include the population that is to be reported to AFCARS and the technical requirements for constructing a data file. The data elements are assessed for overall data quality, to determine whether the title IV-E agency is meeting the AFCARS definitions for the information required, and to determine whether the correct data are being entered and extracted.

AFCARS data submissions are subject to a minimal number of edit checks, as listed in Appendix E of 45 CFR Part 1355. Based on these edit checks, substantial compliance can be determined for the timely submission of the data files, the timely entry of certain data elements, and for whether the data meets a 90 percent level of tolerance for missing data and internal consistency checks. However, substantial compliance does not mean a title IV-E agency has fully implemented the requirements in the regulations. This explains why an agency formerly may have been “penalty-free,” and yet does not have accurate and reliable quality data. For example, edit checks of the data cannot determine whether the title IV-E agency submitted the correct foster care population required by the Federal regulations.

Information collected from each component of the assessment review is used to rate each data element. The general requirements are assessed and rated separately using the same scale. A scale of zero (the system is not collecting the AFCARS data elements and the data are not transmitted) to four (fully meets the AFCARS standards) is used to assign a rating factor. Exhibit 1 is a chart that lists the factors that were used for the analysis of the title IV-E agency’s AFCARS.

For data elements and general requirements that do not meet existing AFCARS standards (rating factors 0 through 3), the agency is required to make the corrections identified by the review team. It is possible that the problem with a data element is due both to system issues and to caseworker data entry issues. In such instances, the element will be rated a “2” to denote the need for modification to the system. Once the corrections are made to the system, the data will be re-analyzed. If problems related to caseworker training or data entry still exist, then a “3” will be assigned to the requirement. A rating factor of “4” (compliant) will not be given to the element until all system issues and/or data quality issues have been addressed.

The agency is required to make the changes to the information system and/or data entry in order to be compliant with the applicable requirements and standards. Since the AFCARS data are used for several significant activities at the Federal and State/Tribal levels, the title IV-E agency must implement the AFCARS Improvement Plan, under Tab B of this report, as a way to improve the quality of its data.

AFCARS Rating Factors

RATING FACTOR	DEFINITION
4	<p>All of the AFCARS requirements have been met and the agency has sustained a high level of quality data.</p> <ul style="list-style-type: none"> • The agency's methodology for collecting the AFCARS information meets the technical and definitional requirements. • The agency's information system contains the necessary fields to collect the AFCARS data. • The information is being accurately collected and extracted. • There are quality assurance processes in place that are used on a regular basis to ensure the data are accurately entered into the system or on the data collection form. • The agency has a process in place to identify and resolve data quality issues and makes necessary corrections in a timely manner.
3	<p>There are data quality issues. For example:</p> <ul style="list-style-type: none"> • The data are underreported due to inconsistent data entry. • The system/form is capable of collecting data but the data are not being entered into the system or recorded on a form. • Data entry is unreliable due to incorrect or ambiguous instructions, definitions, and/or data entry screens or forms. • There are no supervisory controls for ensuring timely data entry, or accurate data entry. • There is incorrect data entry due to training or design issues. • There is missing or incomplete data due to conversion errors. • There are inconsistencies in the numbers between related data elements. • Fundamental data elements have missing data. These include, but are not limited to: <ul style="list-style-type: none"> ○ Dates of removal from home, placement, and discharge (if applicable). ○ Placement location. ○ Removal and placement counts
2	<p>The technical requirements for AFCARS reporting are not fully met. For example:</p> <ul style="list-style-type: none"> • The title IV-E agency's data collection method/information system has the capability to collect the data, but the program logic used to construct the AFCARS file has errors. • The title IV-E agency uses defaults for blank information. • Information is coming from the wrong module or field in the system. • Information is located in the wrong place on the system, e.g., it should be in foster care screens, not adoption screens. • The information system needs modification to encompass more information and/or conditions, e.g., disability information along with start/end dates. • The extraction code for the AFCARS report selects and reports incorrect data.
1	<p>An AFCARS requirement(s) has not been implemented in the methodology used to collect the data and/or in the information system. For example:</p> <ul style="list-style-type: none"> • The title IV-E agency's data collection method/information system does not have the capability to collect the correct information (i.e., there is no data field on the screens or form). • There is no program logic to extract the information. • There is 100% missing data according to the frequency report or DCU/DQU reports.
0	<p>Title IV-E agencies operating an information system for which it received SACWIS/ TACWIS-level FFP were found to be using an external information system, or a tool (such as Excel or Access), and are not collecting and reporting the AFCARS data from the SACWIS/ TACWIS system.</p>

FINDINGS

During the week of August 16, 2013, the Children's Bureau conducted an AAR of the Oregon Department of Human Services (DHS). This section contains a summary of the significant reporting and data quality issues found during the AAR. As part of the post-site visit analysis, the State's documents, data, case file review findings, and the onsite notes were assessed to make the final determination of findings. The State should carefully review all the findings in each document as there have been changes from the preliminary onsite findings. For additional information on specific issues for the general requirements and the data elements, please see the findings documents in Tab A. The charts below summarize the rating factors for the General Requirements and the Data Elements.

General Requirements (22)

Rating Factor	Foster Care (8)	Adoption (3)	Technical (9)	Data Quality (2)
4	4	2	7	0
3	1	1	1	2
2	3	0	1	0
1	0	0	0	0

Data Elements (103)

Rating Factor	Foster Care (66)	Adoption (37)	Total (103)
4	12 (18%)	12 (32%)	24 (23%)
3	25 (38%)	5 (14%)	30 (29%)
2	29 (44%)	19 (51%)	49 (48%)
1	0	1 (3%)	1 (1%)

Information System

Overall, the State has a good approach to collecting its AFCARS data. Oregon's SACWIS, known as ORKIDS, is a transfer system. The State's implementation vendor, CGI, utilized Wisconsin's eWiSACWIS as the base system and then modified it to fit Oregon's business model. Other States that have implemented this system and had an AFCARS Assessment Review are: Alaska, Washington, New Jersey, and Florida. Overall, this system appears user-friendly and robust in the type of information that it has the capacity to collect. There are modifications that will need to be made to the state's extraction code and the system that have been noted in prior reviews of this system. One area is the collection of the health and mental health information reported to AFCARS. The system has a medical module for recording medical appointments, diagnoses, treatment, and medications. There also is a section on the basic person management screens that lists AFCARS foster care elements 11 - 15. The caseworker is expected to complete both sections of the system resulting in duplicate data entry. Furthermore, the extraction code is inadequate in that it does not check the specific diagnosed conditions entered in the medical module.

General Requirements

The General Requirements refer to AFCARS standards related to the foster care and adoption reporting populations, the technical requirements of the AFCARS file, and data accuracy and integrity.

Foster Care Reporting Population

In general, the State is correctly reporting data for the AFCARS foster care population. However, the post-site analysis of the program code, test cases, and case file review found some discrepancies that the State needs to further assess and discuss with the Federal team. These items are noted both in the findings matrices and the improvement plan documents. The items were given a rating factor of “2” as a result of the analysis. Most often, the errors found in the case file review involved data reported for children who were placed with a non-custodial parent or children whose removal episodes lasted less than 24 hours.

Also, the State amended its title IV-E plan to define “child” for purposes of the title IV-E program to include youth ages 18 – 21. The effective date of the amendment is April 1, 2011. Modifications must be made to the selection code to reflect the State’s definition of a child prior to April 1, 2011 (2011B). For the prior report periods, the only youth over age 18 to be reported to AFCARS are those up to age 19 if they were eligible for title IV-E. The foster care data files submitted for report period periods prior to the change in the title IV-E plan include youth over the age of 18 who were not receiving title IV-E funds and youth who were over the age of 19.

Adoption Reporting Population

The State is correctly including records of children adopted from the State’s foster care system. The State also correctly includes records of children for whom DCF has an adoption agreement for subsidy and/or services and who were adopted by a family residing in Oregon through a private agency (either in Oregon or another State).

However, Oregon is not reporting records of children adopted through a private agency when the only subsidy paid to the family is the non-recurring expenses. The State has made corrections to the extraction and code and these records are now identified for inclusion in the adoption file.

Technical Requirements

Unlike the foster care file, the AFCARS adoption file does not have a timeliness standard for data entry. If information related to the finalization of an adoption is entered late (i.e., the adoption occurred in the “regular” report period but the information was not entered into the system until after the end of the report period), these records are not currently included in the State’s adoption file. The program code or the system needs to be changed to ensure that information on these adoptions are identified and included in the State’s next “regular” file.

Data Quality

For some data elements there is a need for improved oversight to ensure that all applicable information is entered into the system in a timely manner. Through the case file review conducted as part of the AAR, we identified elements that were underreported (e.g. circumstances associated with the child's removal from home and child's diagnosed conditions) or where data were not entered and a default value was used for the field. The technical corrections that are needed for the system and the extraction code may be masking further data quality issues related data entry.

The agency is encouraged to incorporate a review of its AFCARS data as well as other data as part of the periodic reviews conducted for children in foster care. Also, the agency should incorporate a review and analysis of the data as part of its quality assurance process. It is important that the information being used not only for AFCARS reporting but for the agency's own performance measures and other program evaluation is reliable, consistent, and accurate. Accurate data collection and quality of data was addressed in the Children's Bureau's Information Memorandum (IM) on Continuous Quality Improvement in title IV-B and IV-E programs (ACYF-CB-IM-12-07) issued August 27, 2012. While the purpose of that IM was to provide State title IV-B and IV-E child welfare agencies with information on Continuous Quality Improvement (CQI) systems, the data quality component is applicable to all title IV-E and IV-B agencies. In order to demonstrate quality data collection, the agency needs to ensure it has accurate, complete, and timely data that is consistent in definition and usage across the agency. The State must describe how it intends to ensure accurate AFCARS data quality on an ongoing basis in the General Requirements Improvement Plan under item #21.

Corrections needed for the foster care and adoption data elements require the State to resubmit AFCARS data for past report periods. The State and the Children's Bureau will discuss which reports will be required for resubmission. After the technical corrections are made to the system and the extraction code, the data will need to be further analyzed for accuracy and to assess the need for additional monitoring and training. In addition to the technical corrections, many data elements need improvement in the quality of the data. The State will need to develop and implement a method to ensure accurate and timely entry of data into the systems. We encourage the agency to continue its work on ensuring that caseworkers understand the importance of entering this information, not only for federal reporting, but for DCF's own use for program evaluation, individual case reviews, and for assuring successful outcomes for children.

Data Elements

There were several elements, as noted in the chart above, which require technical corrections. Many of these corrections are relatively minor corrections in the mapping of the State's information to the correct AFCARS value. For instance, corrections need to be made to the extraction of placement information. The program code is not including a locked facility (e.g., detention) when reporting the date of a placement or including these settings in the number of placements. There were other situations where the date of the placement was not correctly reported to AFCARS, such as the beginning date of a "trial home visit," runaway episode, or non-acute hospitalizations. As noted in the section "Information System," the program code is

not extracting the data from the medical section of the system. This represents a significant change that is needed to the program code.

There are some fields in the system that may need to be re-evaluated and made more consistent. For instance, the caretaker's family structure and the foster parent family structure both are a list of a person's marital status but the two fields have very different options. The options list for the foster parents is more consistent with the laws of the State and appears to be a more concise list. Also, the agency has made an effort to place children with relatives and "fictive kin" who are eligible to obtain legal guardianship of the child. However, the program code does not make a distinction between individuals who are related to the child from those who are not. For AFCARS reporting purposes, a relative is defined only as those individuals related to the child by blood or marriage.

Another field that needs to be modified is the field that identifies the prior relationship of the individuals adopting the child. Currently, only one relationship between the child and the adoptive parent can be selected. Either the field needs to be modified to allow more than one selection or the program code needs to also check other fields to determine if the adoptive parents are relatives of the child and/or whether they were previously foster parents.

Conclusion

As noted in the Background section of this report, the AAR ascertains the extent to which a title IV-E agency meets all of the AFCARS requirements and examines the quality of its data, as well as, the accuracy of the data related to the foster care and/or adoption case of a child. Title IV-E agencies that fail to meet any of the standards set forth in 45 CFR 1355.40(a-d) are considered to be in substantial noncompliance with the requirements of the title IV-E Plan².

This report identifies the most significant areas the State needs to address in order to meet the AFCARS requirements. In addition to the ones noted in the previous section, several other areas need improvement. See Tab A, Detailed Findings, for information related to the General Requirements, the Data Elements, and the Case File Review for additional information. Many of the issues identified in the Oregon AAR are the same ones identified in the review of other States using the CGI system. One of the overall issues is that the program code used to extract the data is basically the same code written for Wisconsin and includes all the same errors identified with it by the Federal review teams in all subsequent States. In some sections the program code was not written specifically to match Oregon's program. The State had made considerable progress prior to the onsite review and after to make necessary corrections to the extraction code. These corrections are reflected in the data transmitted for the report period April 1, 2013 through September 30, 2013. The information provided by this AAR will enable the State to bring its data collection and AFCARS reporting into compliance with the AFCARS standards.

The general requirements and elements that received a rating factor of "3" or lower are the items in the enclosed AFCARS Improvement Plan (AIP). Action items include program extraction code and/or screen modifications, modifications to data element mapping, system interface development, caseworker training, supervisory oversight, and development of an appropriate

² 45 CFR 1355.40(e)

AFCARS quality assurance process. The AIP included with the report does not include due dates. As part of the post-site visit phase the State was to begin its own evaluation of the preliminary findings and determine what actions are needed to correct the identified problem and the time it will take to complete the tasks. Within 30 days of receipt of the final report, title IV-E agency staff must submit the initial AIP electronically to the Children's Bureau with estimated dates for completing each action item. Additionally, the State's plan for implementing the changes to the system and for caseworker training must be included in the State's title IV-B Child and Family Services Plan and Annual Progress and Services Report as part of the information required by 45 CFR 1357.15(t) and 45 CFR 1357.16(a)(5).

All items in the improvement plan must have a rating of four before the AIP is considered completed. Once the AIP is completed and approved, a letter will be sent to the title IV-E agency from the Children's Bureau's acknowledging the completion of the AFCARS Improvement Plan.

The Children's Bureau is committed to assisting title IV-E agencies to develop child welfare information systems and to collect quality data. The Regional Office will work with the State to determine if technical assistance is needed and available, to implement the AFCARS Improvement Plan (AIP).

Tab A

Detailed Findings

Section 1: General Requirements

Section 2: Foster Care and Adoption Elements

Section 3: Case File Review

INSTRUCTIONS

This section includes the final findings of the State's AFCARS Assessment Review. These findings include post-site visit analysis of the AFCARS general requirements, the foster care and adoption elements, and the case file review. The tables include the AFCARS data elements, the findings, and the rating factors. Some rating factors may differ from the factors given on the draft on-site findings matrices.

The findings include all notes and comments that the Federal review team received during the review. Not all comments address non-compliance issues. Some comments are notes on how the State conducts child welfare practice and are for reference purposes only. Frequency numbers are also provided in the "findings/notes" column for some elements.

It is possible that the problem with the data element and data are due to both system issues and case worker data entry issues. In this case, the element will be given a "2" to denote the need for technical changes. Once the technical corrections are made and approved, the data needs to be re-analyzed. If it appears problems related to caseworker training or data entry still exist, then a "3" will be assigned to the requirement. A finding of full compliance (a factor of "4") will not be given to the element until all system issues and/or data quality issues have been addressed.

When assessing the general requirements, all specifications for the requirement must be met in order for the requirement to be found in full compliance. If the issue is a programming logic problem, then a "2" will be assigned. If it appears the problem is due to data entry, then a "3" will be assigned to the requirement.

Some data elements have a direct relationship with each other. When this occurs, all related elements are given the same rating factor. This is because incorrect programming logic could affect the answers to all of the related data elements.

The State is required to make the changes to the information system and/or data entry in order to be found in compliance with applicable requirements and standards.

Section 1

General Requirements

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
Foster Care Population			
1	<p>For the purpose of foster care reporting, each data transmission must include all children in foster care for whom the title IV-E agency has responsibility for placement, care, or supervision (45 CFR 1355.40(a)(2)).</p> <p>The [foster care] population to be included in this reporting system includes all children in foster care under the responsibility of the title IV-E agency administering or supervising the administration of the title IV-B Child and Family Services State plan and the title IV-E plan; that is, all children who are required to be provided the assurances of section 422(b)(8) of the Social Security Act (Appendix A to Part 1355--Foster Care Data Elements, Section II--Definitions).</p>	3 4	<p>The Department of Human Services (DHS) is the designated IV-B/E agency and includes:</p> <ul style="list-style-type: none"> • Aging and People with Disabilities • Child Welfare • Developmental Disabilities • Self Sufficiency • Vocational Rehabilitation <p><u>Person Management/Characteristics Screen</u> There is a section labeled “child information.” This section identifies if the child is a teen parent and if the teen parent’s child resides with him/her.</p> <p><u>Program Code Paragraph (Para.) 2100 – Identify AFCARS Children</u> The AFCARS foster care population is made up of all children who have had an open out-of-home foster care placement at any time during the reporting period. These records are selected from the episode table.</p> <p>The extraction code correctly does not include the case type “FSS Guardianship” (20).”</p> <p>The episode type has to be “out-of-home placement” (1), the discharge reason (end reason) is blank or not “opened in error” (100), the begin date of the removal episode is prior or equal to the end of the report period, or the end of the episode date is greater than or equal to the episode’s begin date.</p> <p>If the placement count is “0” or “1” and the placement setting code is “8” (incarceration) or “9” (hospitalization), the record is correctly excluded from the current reporting population.</p> <p>Children whose only placement is “runaway” are included in the reporting population.</p> <p>The State is correctly excluding the records of children/infants of minor parents if their child lives with them in the same foster care setting.</p>
2	[The AFCARS foster care reporting population] includes American Indian children covered under the assurances in section 422(b)(8) of the Act on the same basis as any other child (45 CFR 1355.40(a)(2)).	4	The State includes Tribal children who are under their responsibility for placement and care or who are covered by an interagency agreement (item #4).
3	For children in out-of-State/Tribal Service area placement, the title IV-E agency placing the child and making the foster care payment submits and continually	4	The logic only reports on children who are entered in the State’s foster care system and would not include any that are being tracked by another State.

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
4	<p>updates the data (45 CFR 1355.40(a)(2)).</p> <p>[The foster care] population includes all children supervised by or under the responsibility of another public agency with which the title IV-E agency has an agreement under title IV-E and on whose behalf the title IV-E agency makes title IV-E foster care maintenance payments (Appendix A to Part 1355--Foster Care Data Elements, Section II--Definitions).</p>	4	<p>The State has an interagency agreement with the Confederated Tribes of Siletz, Confederated Tribes of the Grand Ronde, Confederated Tribes of Warm Springs, Confederated Tribes of Umatilla, Confederated Tribes of the Coquille, and Klamath Tribes.</p> <p>The Confederated Tribes of Siletz has submitted a title IV-E plan. As of the onsite review, the process for transfer of information to Siletz was still being negotiated.</p> <p>There is no inter-agency agreement with the Juvenile Delinquency agency for title IV-E funds. The State is currently negotiating an agreement with the Multnomah County court/juvenile agency. If the agencies do enter into an interagency agreement, then the records of youth covered by the agreement must be reported to AFCARS.</p> <p><u>Program Code</u> The program code includes logic to look up workers and supervisors differently if the office/division code for the child's case is "200" (Tribe).</p>
5	<p>The reporting system includes all children who have or had been in foster care at least 24 hours (Appendix A to Part 1355--Foster Care Data Elements, Section II--Definitions).</p>	3 2	<p><u>Screen: Placement</u> On the tab "Placement" are fields for the date of a removal. There are no time fields and no checkbox to identify the episode as being one that is 24 hours or less.</p> <p>The State team indicated that caseworkers are to enter a removal that is for less than 24 hours as a service placement instead of a foster care placement. Training staff are doing weekly messages on "themes of the month." During the onsite review, 24-hour removals were added to this message list.</p> <p><u>Program Code</u> When identifying removal dates (FC18 and 21), the program code checks that the removal (discharge) end date is at least one day more than the removal date.</p> <p><u>Case File Review:</u> There was one case in the sample that had a start and end date on the same day. This was reported in FC18 and 20. Element #19 indicated the child had two removal episodes. There was another record in which the first removal was identified as less than 24 hours and the dates were 1 day apart.</p> <p><u>Post-Site Visit Analysis</u> Based on the program code and the case file findings this element is rated a "2." The approach of using a service placement instead of entering the record as a removal episode does not guarantee that a record will be entered correctly.</p>

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
6	<p>Foster care does not include children who are in their own homes under the responsibility of the title IV-E agency (Appendix A to Part 1355--Foster Care Data Elements, Section II—Definitions).</p> <p>A removal is either the physical act of a child being taken from his or her normal place of residence, by court order or a voluntary placement agreement and placed in a substitute care setting, or the removal of custody from the parent or relative guardian pursuant to a court order or voluntary placement agreement which permits the child to remain in a substitute care setting. (CWPM, 1.2B.3 Question #4).</p>	<p>4 2</p>	<p>Also, the State team needs to provide a list of the service placements.</p> <p><u>Program Code</u> Paragraph (Para.) 2100 – Identify AFCARS children</p> <p>The selection logic for the foster care population only includes children whose episode type is “1” (out-of-home placement). The State value “2” is a service type for any service that is provided and these are not included in the selection logic.</p> <p>Children who are in the title IV-E agency’s responsibility for placement and care who were first placed with a non-custodial parent appear to be included in the reporting population. There were cases in the review sample where the child was either initially placed with a non-custodial parent or was discharged to a non-custodial parent and later re-entered foster care.</p>
7	<p>[The foster care population] includes youth over the age of 18 if a payment is being made on behalf of the child (CWPM, 1.3).</p> <p>A title IV-E agency that exercises the option to extend assistance to youth age 18 or older must collect and report data to AFCARS on all youth receiving a title IV-E foster care maintenance payment. (ACYF-CB-PI-10-11, Issued July 9, 2010).</p>	<p>2</p>	<p>The State’s age of majority is 18. The State has been claiming title IV-E foster care funds for youth who are 18 and eligible for title IV-E. The State has exercised the option to extend title IV-E foster care maintenance payments to youth through age 21. The effective date of the State’s title IV-E plan amendment is April 1, 2011 (2011B).</p> <p>At this time, the State’s title IV-E program only covers youth who remain in foster care at the time they turn 18. The State is evaluating whether to extend the program for title IV-E funds to cover youth who exit foster care and then later return. If this changes occurs, there will be additional items the State will need to address in regard to AFCARS reporting.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are records with a year of birth with a range from 1968 to 1990 (29 (.26%) records). A review of prior report periods indicates the State has been including records of youth over the age of 18 and 19. There should be no records of youth older than 19 in a report period prior to 2011B.</p> <p><i>Case File Review Findings:</i> There were error cases of youth over age of 18 who are not eligible for IV-E but were included in the reporting population.</p> <p><u>Post-site Corrections:</u> A new procedure (p_load_cm21_afcars_child) excludes records of youth with an age greater than 21. There were no changes made to the program code to ensure only those youth who are receiving title IV-E are included.</p> <p>Beginning April 1, 2011 (2011B), the AFCARS reporting population must include only those records of youth who receive title IV-E funds who are 18 and older up through the age of 21.</p>

AFCARS Assessment Review Findings – General Requirements

State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
			<p>The extraction code will need to be modified to include logic that will correctly differentiate the reporting population based on age for before and after April 1, 2011.</p> <p>The reporting population for prior report periods (2011A and older) must include <u>only</u> those youth who are 18 and receiving title IV-E.</p> <p>Additional information is included in the foster care findings matrix related to how to report youth who turned 18 or 19 before April 1, 2011, were reported as discharged in AFCARS, and who are/were in foster care and now meet the title IV-E eligibility requirements.</p>
8	Include all children who are in the placement, care, or supervision responsibility of the title IV-B/E agency that are on “trial home visits” (CWPM 1.3).	3	<p>The State team indicated caseworkers end trial reunification placements at six months even if the agency has placement and care responsibility and whether or not the case is ordered back to court for review. The State team indicated there is a mixed approach by the counties regarding reviews - some do all their periodic reviews in court and others have a combination of the CRB and court reviews. The State needs to ensure that the caseworker does not end the removal episode if the county conducts all periodic reviews in court, or if a judge orders the case back to court for review.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> There were issues identified in the case file analysis regarding children reported on “trial home visit” and the date of discharge in the majority of the cases was six months after the placement. Reviewers noted the agency’s custody was dismissed later. It was not clear in all of the cases whether the county conducted periodic reviews in court or if the judge had ordered the case back to court for a review after a specific time frame.</p> <p><u>Program Code</u> Children who are on trial home visits are reported. They are identified in the system as having an episode placement setting code of “22.” There is no logic done by the extraction code to “automatically” report these cases as discharged. See FC 56 and 58 for additional notes.</p>
Adoption Population			
9	<p>For the purposes of adoption reporting, data are required to be transmitted by the title IV-E agency on all adopted children who were placed by the title IV-E agency. (45 CFR 1355.40(a)(3)).</p> <p>The title IV-E agency must report on all children who are adopted in the State or Tribal service area during the reporting period and in whose adoption the title IV-E</p>	4	<p><u>Program Code Para. 2100</u> The program code identifies records entered as an adoption from foster care. See GR14 for information on whether files are correctly identified for the regular and subsequent report periods.</p>

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
	<p>agency has had any involvement. ...reports on the following are mandated: (a) All children adopted who had been in <u>foster care</u> under the responsibility and care of the child welfare agency and who were subsequently adopted whether special needs or not and whether subsidies are provided or not; (Appendix B to Part 1355--Adoption Data Elements, Section II - Definitions).</p>		
10	<p>For a child adopted out-of-State, the title IV-E agency which placed the child submits the data. Similarly, the Tribal title IV-E agency which placed the child outside of the Tribal service area for adoption submits the data.(45 CFR 1355.40(a)(3) I - Definitions).</p>	4	<p>The State correctly includes their children placed out-of-State for adoption.</p>
11	<p>For the purposes of adoption reporting, data are required to be transmitted by the title IV-E agency ... on all adopted children for whom the agency is providing adoption assistance (either ongoing or for nonrecurring expenses), care or services directly or by contract or agreement with other private or public agencies. (45 CFR 1355.40(a)(3)).</p> <p>The title IV-E agency must report on all children who are adopted in the State or Tribal service area during the reporting period and in whose adoption the title IV-E agency has had any involvement. ...reports on the following are mandated: (b) All special needs children who were adopted in the State or Tribal service area, whether or not they were in the public foster care system prior to their adoption and for whom non-recurring expenses were reimbursed; and (c) All children adopted for whom an adoption assistance payment or service is being provided based on arrangements made by or through the title IV-E agency. (Appendix B to Part 1355--Adoption Data Elements, Section I).</p>	2	<p>The State agency enters into adoption assistance agreements with families adopting from a private agency if the child qualifies for adoption assistance.</p> <p><u>Program Code: Para. 2100, LN 2143 - 2206</u> The extraction code includes logic to select private adoptions when these are registered on the agreement table and have service codes indicating the State is providing adoption assistance. The case type must be "23" (AA non-DHS finalized), service codes of either "174" (AA subsidy and medical – DHS), "175" (AA medical only – DHS), or "176" (AA subsidy only – DHS), the agreement type is "1" (Adoption Assistance Agreement), the adoption sub-type is not null, the agreement flag is "Y," and the agreement date is within the reporting period.</p> <p>The program code is including some but not all of the records. Non-agency adoptions in which the State pays just the non-recurring cost cases are not being included in AFCARS. Also, adoptions that are "AA Agreement Only - DHS" (177) are not included.</p> <p><u>Post-site Corrections:</u> The program code now includes the value for non-recurring adoption subsidies for private adoptions. The code also now includes the value for adoptions with an agreement only.</p> <p>The program code also checks for the value "AA non DHS pre-finalized." The State needs to clarify why a pre-finalized private adoption is now included and how this correlates with the check for a date of a final judgment of adoption. Also, the program code checks for a "related payment record" and the payment request date is within the reporting period. Clarify why a payment request date is used to identify the reporting population for private agency adoptions in which the State has involvement.</p>

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
			See GR14 for selection of regular and subsequent adoption files.
Technical Requirements			
12	<p>The data must be extracted from the data system as of the last day of the reporting period (45 CFR 1355.40(b)(1)):</p> <p>For foster care information [regular files¹], the child-specific data to be transmitted must reflect the data in the information system when the data are extracted. (45 CFR 1355.40(b)(2)).</p> <p>Report the status of all children in foster care as of the last day of the reporting period. Also, provide data for all children who were discharged from foster care at any time during the reporting period, or in the previous reporting period, if not previously reported. (Appendix D, 45 CFR 1355 Foster Care and Adoption Record Layouts Section A.1.b(5)); (AFCARS Technical Bulletin #6, Data Extraction).</p>	4	<p><u>Program Code:</u> The reporting period for regular submissions is controlled by date values read from a single record file that is manually updated for each run. Most selection logic in the program checks to ensure that the data applies to the specific reporting period.</p>
13	<p>The data must be extracted from the data system as of the last day of the reporting period (45 CFR 1355.40(b)(1)):</p> <p>For foster care information [subsequent files], the child-specific data to be transmitted must reflect the data in the information system when the data are extracted. (45 CFR 1355.40(b)(2)).</p> <p>Report the status of all children in foster care as of the last day of the reporting period. (AFCARS Technical Bulletin #6, Data Extraction)</p>	3	<p><u>Program Code:</u> The identification of the report period for subsequent submissions is manually updated for each extraction. The extraction logic checks to ensure that selected data is within the report period. However, there are some elements that the program code does not have a routine to either check for a date or that the date is prior to the end of the report period being selected. See foster care and adoption findings for additional details.</p>
14	<p>The data must be extracted from the data system as of the last day of the reporting period. (45 CFR 1355.40(b)(1)):</p> <p>Adoption data [regular or subsequent] are to be reported during the reporting period in which the adoption is</p>	2	<p><u>Program Code Paragraph 2100-Identify AFCARS children</u> For State agency adoptions the program code identifies whether the adoption match create timestamp is within the reporting period. The program code also identifies if there is a related court disposition record with a disposition date and selects the record with the most recent “create” timestamp. For the regular file, this approach will not identify records that were entered late. If an adoption is entered late (i.e., the date of adoption was in one report period but</p>

¹ See AFCARS Technical Bulletin #9, Data File Format for file naming conventions and the definition of each type of file.

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
	<p>legalized or, at the title IV-E agency's option, in the following reporting period if the adoption is legalized within the last 60 days of the reporting period. For a semi-annual period in which no adoptions have been legalized, the title IV-E agency must report such an occurrence.(45 CFR 1355.40(b)(3)).</p>		<p>data entry did not occur until the next report period) will not be included unless the State resubmits the prior report period. When a regular file is extracted, there is no flag or other indicator to identify adoptions that have not yet been reported to AFCARS; the program code only considers adoption finalization dates that occur within the report period being selected.</p> <p>Because the State is using dates that fall within a report period, a subsequent file will correctly include adoptions that occurred in that report period.</p> <p>For <u>private agency adoptions</u>, the agreement date (not a system-generated) must be within the reporting period. The same issue identified above for the State agency adoptions apply to the private agency adoptions as well.</p> <p><u>Post-site Corrections - Regular Files</u> The program code was modified and the adoption match date is no longer used. Instead, the adoption finalization court hearing date is used for State agency adoptions and must be within the reporting period in order for the child to be reported. This does not address the issue of adoption finalization dates entered after the end of a regular report period for an adoption that occurred within that period. These will be identified if the State submits a subsequent file. The program code/system must be modified for regular submission to determine if there are adoptions records that had not been previously included with the prior regular file.</p> <p>For <u>private agency adoptions</u>, the program code checks for a payment record and the date of the payment. The State needs to clarify why payments (payment request date) are checked and how does this relates to the code using legalization dates? See the notes for GR11.</p> <p><u>Post-site Corrections - Subsequent Files</u> The changes to the program code will not affect the selection of the file for a subsequent submission; a subsequent file will correctly include adoptions that occurred in that report period.</p>
15	<p>The title IV-E agency extracts all records based on the transaction date of discharge (foster care element #57) or the date of latest removal (foster care element #21), if the child has not been discharged. (ACYF-PI-CB-95-09, Reissued May 23, 1995 and Technical Bulletin #6, AFCARS Data Extraction)</p>	4	<p><u>Program Code</u> The discharge transaction date is used in the selection but the removal transaction date is not. The episode begin date is used instead.</p>
16	<p>A summary file of the semi-annual data transmission must be submitted and will be used to verify the</p>	4	<p><u>Program Code</u> This is done correctly.</p>

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State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
	<p>completeness of the title IV-E agency's detailed submission for the reporting period. (45 CFR 1355.40(b)(4)).</p> <p>The values for these data elements are generated by processing all records in the semi-annual detailed data transmission and computing the summary values for Elements #1 and #3-22. Element #2 is the semi-annual report period ending date. In calculating the age range for the child, the last day of the reporting period is to be used. (Appendix D, 45 CFR 1355 Foster Care and Adoption Record Layouts Sections A.2 and B.2).</p>		
17	<p>[Files] must be submitted in electronic form as described in appendix C to Part 1355 and in record layouts as delineated in appendix D to Part 135545 CFR 1355.40(b)(1)</p> <p>Records must be written using ASCII standard character format. (Appendix C, 45 CFR 1355 Electronic Data Transmission Format).</p>	4	<p><u>Program Code</u> This is done correctly.</p>
18	<p>[Files] must be submitted in electronic form as described in appendix C to Part 1355 and in record layouts as delineated in appendix D to Part 135545 CFR 1355.40(b)(1) (2) All elements must be comprised of integer (numeric) value(s). (Appendix C, 45 CFR 1355 Electronic Data Transmission Format).</p>	4	<p><u>Program Code</u> This is done correctly.</p>
19	<p>[Files] must be submitted in electronic form as described in appendix C to Part 1355 and in record layouts as delineated in appendix D to Part 1355.(45 CFR 1355.40(b)(1)).</p> <p>All records must be a fixed length. The Foster Care Detailed Data Elements Record is 150 characters long and the Adoption Detailed Data Elements Record is 72 characters long. The Foster Care Summary Data Elements Record and the Adoption Summary Data Elements Record are each 172 characters long. (Appendix C, 45 CFR 1355 Electronic Data Transmission Format).</p>	4	<p><u>Program Code</u> This is done correctly.</p>

AFCARS Assessment Review Findings – General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
NR	[Files] must be submitted in electronic form as described in appendix C to Part 1355 and in record layouts as delineated in appendix D to Part 1355. (45 CFR 1355.40(b)(1)) All title IV-E agencies must inform the Department, in writing, of the method of transfer they intend to use. (Appendix C, 45 CFR 1355 Electronic Data Transmission Format).		
20	The title IV-E agency must use correct file name for transmission. (Technical Bulletin #2, File Format).	4	<u>Program Code</u> This is done correctly.
21	General Data Quality For data to be considered “quality” it must be accurate, complete, timely, and consistent in definition and usage across the entire IV-E agency and State/Tribal service area. The quality of the AFCARS data is assessed by the agency on a regular and continuous basis in order to sustain a high level of quality data. The agency incorporates AFCARS data into its quality assurance/continuous quality improvement plan. The agency involves staff from every level of the organization, and other stakeholders from outside of the agency.	3	The overall quality of the data needs to be addressed; twenty-nine percent of the data elements received a rating factor of “3.” Additionally, there are technical issues contributing to the inaccuracy of the data; forty-eight percent of the elements are rated a “2.” In addition to correcting the technical issues, the State must focus attention on completing data cleanup on open converted cases as well as training and supervisory oversight of data entry. Note that a date in foster care element #5 is not only the date of a periodic review, but is a certification by the State that the data in the system are accurate and up-to-date. Specific elements that will need to have increased supervisory oversight to ensure accuracy are: 24 hour removal episodes, trial reunification, accurate placement recording, and accurate case set-up – who are the members of the home from which the child was removed as opposed to who are the biological/legal family members, case planning/assessments to ensure accurate goal selection and that older youth have a permanent connection with an adult. The State uses AFCARS elements to populate their dashboards and are currently utilizing a scorecard. There are plans to focus on CQI improvements to their feedback loops and information dissemination. There are several elements that while rated a “4” need to be incorporated into ongoing data quality improvement activities.
22	Data Conversion The information system has the capability of recording historical information, as applicable. This primarily applies to closed cases, if the agency did not convert all cases (open and closed), that re-open after conversion, and these cases must be entered into the system. The title IV-E agency transfers historical information on open cases. Specifically, it includes information on: date of first removal, total number of removals, and whether the child’s mother was married at the time of the	3	Oregon used the Integrated Information System (IIS), the Family and Children Information System (FACIS), and various ancillary applications to manage its child welfare programs. IIS, FACIS, and associated systems tracked clients, providers and services statewide, provided fiscal functions, and produced management and federal reports. On August 29, 2011, the State replaced all existing child welfare systems by implementing OR-KIDS as their new child welfare information system. As noted in item 21, there is a need for continued data clean-up due to conversion. The State needs to ensure that all information is in the electronic case record. As a case comes up for a periodic review, the caseworker and supervisor should, at a minimum, review the information that is used for the AFCARS data file to ensure that the data has been converted and entered properly. For example, one element that should be verified is the field related

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State: Oregon

No.	Requirement	Rating Factor	Findings/Notes
	child's birth. If the case was open at the time of conversion, information on the number of placement settings is included.		whether the child had been previously adopted prior to entering foster care.

Section 2

Foster Care and Adoption Elements

AFCARS Assessment Review Findings: Foster Care Elements
State: Oregon

Data Element	Rating Factor	Findings
1. Title IV-E Agency	4	<u>Program Code</u> The State code "41" is hard coded in the record.
2. Report Period Ending Date	4	<u>Program Code</u> The report period end date is manually entered into one record file that contains other control data used by the program. This file is read into the program in its initialization process.
3. Local Agency (FIPS Code)	4	<u>Program Code</u> The program code uses the code for the county office responsible for the child's case.
4. Record Number	4	The number used by the State is unique to the child and does not change.
5. Date of Most Recent Periodic Review (if applicable)	3 2	<p><u>Screen: Legal Status</u> Under the section "Legal Status Dates" there are fields for the date of the hearing and ASFA Review Type. The options are: Not an ASFA Review, Permanency Plan Hearing, Substitute Care Placement Review – Court, and Substitute Care Placement – CRB.</p> <p><u>Data Quality</u> <i>Frequency Report¹ (n=11,068):</i> There are 167 (1.5%) records with old dates reported for this element. The range is from 1985 through 2011.</p> <p><i>Test Deck:</i> There were dates reported that were court dates but not a periodic review.</p> <p><i>Case File Review Findings:</i> 13 (21%) of the records analyzed did not match what was reported in AFCARS. There were several errors with the dates; ranging from the element being blank but a review was held to incorrect dates reported. There were four errors that the date reported to AFCARS was not a periodic review. In two cases, the permanency hearing date was not reported.</p> <p><u>Program Code Paragraph (Para.) 2800</u> The program code contains two routines to select the most recent periodic review date. The primary logic selects the most recent hearing date from the legal screen/child's court disposition record. The program code checks for a hearing type of "1, permanency plan hearing," "2, substitute care placement review – court," or "3, substitute care placement review – CRB" and the hearing date is after the current removal date and before the end of the report period.</p> <p>Since there are errors in the test cases and found during the case file review, this element is rated a "2." It appears that court hearings that are not periodic reviews may be reported. It is not clear if this is a data entry</p>

¹ The Frequency Report is based on the data for the 2013A report period.

AFCARS Assessment Review Findings: Foster Care Elements
State: Oregon

Data Element	Rating Factor	Findings
		issue or due to the second routine used to identify the periodic review. There should be no instances in which a child in foster care does not have a removal from home date entered into the system.
6. Date of Birth	3	<p><u>Screen:</u> Person Management, Basic Tab The Person Management Screen has seven tabs – Basic, Parent/Caregiver Information, Additional, Address, Education, Characteristics, and Medical/Mental Health.</p> <p>The Basic Tab contains the general demographic information on individuals the agency has involvement with either in a case or as a provider. There is a field to record the person's birth place; this is a text box. This screen has a field for Primary Language with a drop-down of options.</p> <p>There also is a field "Whereabouts" with a drop-down option list.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are records with a year of birth with a range from 1968 to 1990 (29 (.26%) records).</p> <p><i>Case File Review Findings:</i> There was one error case where the child's actual year of birth was a year later than what was reported to AFCARS.</p> <p><u>Program Code</u> The program code extracts the date of birth from the child's person screen. There is no edit or verification logic in the system or the extraction code to ensure that out-of-range dates are not reported. An error tickler is generated for birthdates making the child older than 21.</p>
7. Sex 1 = Male 2 = Female	4	<p><u>Screen:</u> Person Management, Basic Tab The options for gender are blank, female, male, and unknown.</p> <p><u>Program Code: Para. 2900 and 4000</u> The child's gender code is obtained from their person record. An "M" is mapped to "1," an "F" is mapped to "2," and any other value is mapped to blank.</p>
8. Child's Race 0=No 1=Yes a. American Indian or Alaska Native	2	<p><u>Screen:</u> Person Management, Basic Tab - The State is aware of needed changes to this section of the system and has a change request to resolve the issue. (See notes below in the State Change Request (CR) section.)</p> <p>There are five fields with drop-down list to record all of the person's races. The options in the first field are: blank, American Indian or Alaskan Native, Asian, Black or African American, Declined, Native Hawaiian/Other Pacific Islander, Unable to determine, Unknown, White.</p>

AFCARS Assessment Review Findings: Foster Care Elements
State: Oregon

Data Element	Rating Factor	Findings
b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		<p>The State team indicated the value “unknown” cannot be selected in combination with known race values. This is a limit in the Client Index system and not in OR-KIDS. If the intent is that this value is the one for the National Youth in Transition Database (NYTD), a person indicates they are multi-racial but do not know all other races, the State may want to change the terminology to something like “multi-racial/other race not known.” This value maps to blank in AFCARS.</p> <p>If the caseworker does not know the person’s race, the fields should be left blank.</p> <p>The State needs to consider removing “unable to determine” and “unknown” and replace with language suggested in the National Resource Center for Child Welfare Data and Technology’s (NRC-CWDT) AFCARS/NYTD race mapping Tip Sheet.</p> <p>The menu options on the second field, and presumably on the other race fields, are the same race choices as those in the first field. In the screen shot provided, Native Hawaiian other Pacific Islander was selected in the first field and is still available in the second. Once a race is selected, it should be disabled in the other fields. The options “declined” and “unable to determine” are only available in the first field. The State may want to check the Systems Catalogue developed by the NRC-CWDT and is available from their web page for suggestions from similar systems that collect race in multiple fields.</p> <p>There is a section on the Person Management/Characteristics screen labeled “child information.” There is a checkbox to identify if the child is a Safe Haven child.</p> <p>Below the Race/Ethnicity section is a section for Tribal Enrollment History.</p> <p>The last section is for Refugee Status. There are fields to enter whether the person is a refugee, the date and reason for entering the United States, and the country of origin.</p> <p><u>State’s Change Request (CR) Document (Also, see CR for FC9)</u> Issue: The mapping of values for Race in AFCARS reports need to be updated. This affects elements #8a-8f in the foster care report and #7a-7f on the adoptions report. Technical Assistance from NRC-CWDT pointed out a variety of issues with the mapping of these elements that require both reference value updates and mapping updates. Requested Resolution: -Update the reference values for Race so that they correctly reflect two unknown values - multi-race not known and incapacitated unknown (confirm exact values with program/reporting staff). -Update mapping for those reference values for AFCARS, NCANDS, NYTD and Client Index so that all report</p>

AFCARS Assessment Review Findings: Foster Care Elements
State: Oregon

Data Element	Rating Factor	Findings
		<p>accurately.</p> <p>-Review technical bulletins and findings from Washington/New Jersey reviews to validate that mapping is correct for these values.</p> <p>-There may also be a mapping issue with whether the persons reported in 8F as unable to determine should be listed as not reported in 8a-8e or if they are included in those elements.</p> <p>Children's Bureau (CB) Response: If the response to "f, unable to determine" is "yes," then the responses to the races in "a" through "e" is "no."</p> <p><u>Data Quality</u></p> <p><i>Frequency Report (n=11,068):</i> There are 1,024 (9%) records reported as "unable to determine." There are 1,377 (12%) records reported as having two or more races.</p> <p><i>Case File Review Findings:</i> 4 (6%) of the records analyzed did not match what was reported in AFCARS. In three error cases the reviewers found an additional race (white (1) and American Indian (2)). In one error case, the response should have been "white" instead of "unable to determine." There was no indication that the parent's had declined to provide the information.</p> <p><u>Program Code Para. 2900, 4000, and 4020</u></p> <p>The program code checks each of the race fields and maps them to the AFCARS race category. If a race is found in any of the five fields, it is mapped to the appropriate AFCARS field as "yes." AFCARS values not selected are mapped to "no." A final checks reset values as follows:</p> <ul style="list-style-type: none"> • If declined is "yes" and AFCARS "unable to determine" is "no" then AFCARS "unable to determine" is set to "yes." - Correct • If unknown is "yes" and AFCARS "unable to determine" is "no," then AFCARS "unable to determine" is set to "yes." This is incorrect. If unknown is selected it is to be mapped to blank. • If any of the five actual race values are set to "yes" then AFCARS "unable to determine" is set to "no;" and, - Correct • If after reading each race field and if each is set to no because a race was not found, then the code sets race to the AFCARS value "unable to determine." This is incorrect as it is a default and if there are no races selected, the program code is to set the race values to blank. <p>There are items listed in the ethnicity field that are races and languages. The program code needs to check this field and if one is selected map it to the appropriate AFCARS race values.</p>
<p>9. Child's Hispanic or Latino Ethnicity</p> <p>1 = Yes</p> <p>2 = No</p>	3	<p><u>Screen:</u> Person Management, Basic Tab - The State is aware of needed changes to this section of the system and has a change request to resolve the issue. (See notes below in the State CR section.)</p>

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Data Element	Rating Factor	Findings
3 = Unable to Determine		<p>There is a field for Ethnicity that is a drop-down list and a field Hispanic/Latino that also has drop-down options. A review of the options indicates there are values that are considered races for federal reporting.</p> <p>The options for the Hispanic/Latino field are: space, declined, no, undetermined, unknown, and yes. See notes above in FC8 regarding terminology and suggested alternatives.</p> <p><u>State's CR Document</u> Issue: The value of unknown needs to be more clearly differentiated for Unknown - Incapacitated and Unknown - Cannot Communicate. This value (and Race values) are based on self-declaration by the client and not based on the observations or conclusions of DHS staff. In order to more clearly convey to users [the meaning of "unknown"], the decision to select "unknown" needs to be couched in the additional information around why it is unknown. Requested Resolution: Gather approved reference values from program/reporting staff. Update the mapping for AFCARS to the new reference values. Also update the mapping for the Client Index interface, NCANDS and NYTD for the new values as appropriate. -Corresponding element on the Adoption AFCARS report (#8) also needs to be updated.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 1,694 (15%); No = 8,541 (77%); Unable to determine = 646 (6%); Not reported = 187 (2%)</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS. In three error cases the response should have been "no" instead of "unable to determine. There was no indication the parent's declined to provide the information. In one error case the response should have been "yes" instead of "no." In the third error case, the response should have been "no" instead of "yes."</p> <p><u>Program Code Para. 2900, 4000, and 4030</u> The program code maps the State's values for the values yes and no to the appropriate AFCARS value. The values "undetermined" (U) and "declined" (D) are mapped to the AFCARS value "3." Any other value is mapped to blank.</p>
10. Has the Child Been Clinically Diagnosed with a Disability(ies)? 1 = Yes 2 = No 3 = Not Yet Determined	2	<p>The State's policy is that a child is to have a health exam within 30 days of removal and a mental health assessment exam within 60 days.</p> <p><u>Screen:</u> Person Management/Characteristics - The State is aware of needed changes to this section of the system and has a change request to resolve the issue.</p>

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Data Element	Rating Factor	Findings
		<p>On the Person Management/Characteristics tab is a section "Child's Disability Information" with a field for the question "child has a clinically diagnosed disability." The drop-down list of options are: No, Not Yet Determined, Pending, and Yes. The agency is currently using the characteristics tab for the recording and extraction of the information related to FC10 - 15. This is not the best approach for meeting the requirements for these elements. There is another tab "Medical/Mental Health" that is more comprehensive and better meets the AFCARS requirements. This section contains fields for information on the health care providers, basic health information including immunizations, treatment history, and psychotropic medications. Under the section for treatment history there are fields for dates, the service received, and diagnosis. From the function of "maintain treatment/diagnosis" the caseworker can enter who the provider was that examined the child; a diagnosis and procedures, the service as well as the begin and date of the service; and, the condition per each of the DSM Axis categories.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 1,754 (16%); No = 5,412 (49%); Not yet determined = 3,902 (35%); Not reported = 0 <i>Case File Review Findings:</i> 27 (42%) of the records analyzed did not match what was reported in AFCARS. In 22 error cases the response in the AFCARS files was "not yet determined." In 10 cases the response should have been "yes" and in 12, "no." In the error cases, the child had been in foster care for at least one year and up to six years. In five error cases the response should have been "yes" instead of "no."</p> <p><u>Program Code: Para. 2900, 4000, and 4030</u> This element is extracted from the person record. The routine used to map the State's values for this field is done in a general routine used for any element/field with responses of "yes," "no," "unable to determine/unknown," "declined," and "A." The values for yes and no are mapped to the related AFCARS values. It is assumed that for the purposes of this element, a value "U" is the same as "not yet determined" and the value "A" is "Pending." Any other value is mapped to blank. The program code does not include logic for dates. The program code will need to be modified to determine if during the report period being extracted the child had an active diagnosis that is one reported to AFCARS.</p>
Diagnosed Conditions		<p><u>Screen:</u> Person Management/Characteristics The child's disability information section contains the five AFCARS categories plus learning disability. See the notes in FC10 for additional information regarding the collection/reporting of this data.</p> <p><u>Program Code Para. 2900, 4000, and 4040</u> Paragraph 4040 converts Y/N responses to 1/0 for applicable elements. "Y" is mapped to "1" (applies), "N" is mapped to "0" (does not apply) and any other value is mapped to blank. If the value is "U," elements #11 through 15 are set to "0" (does not apply).</p>

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Data Element	Rating Factor	Findings
		There are no dates associated with selecting the diagnosed condition flag.
11. Mental Retardation 0 = Condition Does Not Apply 1 = Condition Applies	2	<p><i>Case File Review Findings:</i> 1 (2%) of the records analyzed did not match what was reported in AFCARS. The response should have been “condition applies” instead of “condition does not apply.”</p> <p><u>Program Code</u> If the flag for “mental retardation” is set, this element is mapped to “condition applies.” Otherwise, it is reported as “condition does not apply.”</p>
12. Visually or Hearing Impaired 0 = Condition Does Not Apply 1 = Condition Applies	2	<p><u>Program Code</u> If the flag for “visual/hearing impaired” is set, this element is mapped to “condition applies.” Otherwise, it is reported as “condition does not apply.”</p>
13. Physically Disabled (Child) 0 = Condition Does Not Apply 1 = Condition Applies	2	<p><i>Case File Review Findings:</i> 1 (2%) of the records analyzed did not match what was reported in AFCARS. The response should have been “condition applies” instead of “condition does not apply.”</p> <p><u>Program Code</u> If the flag for “physical disabled” is set, this element is mapped to “condition applies.” Otherwise, it is reported as “condition does not apply.”</p>
14. Emotionally Disturbed (DSM- IV)	2	<p><i>Case File Review Findings:</i> 15 (23%) of the records analyzed did not match what was reported in AFCARS. In 13 error cases the response should have been “condition applies” instead of “condition does not apply.” In two error cases the response should have been “condition does not apply” instead of “condition applies.”</p> <p><u>Program Code</u> If the flag for “emotionally disturbed” is set, this element is mapped to “condition applies.” Otherwise, it is reported as “condition does not apply.”</p>
15. Other Medically Diagnosed Conditions Requiring Special Care 0 = Condition Does Not Apply 1 = Condition Applies	2	<p><i>Case File Review Findings:</i> 4 (6%) of the records analyzed did not match what was reported in AFCARS. The response should have been “condition applies” instead of “condition does not apply.”</p> <p><u>Program Code</u> If the flag for “other special care” is set, this element is mapped to “condition applies.” Otherwise, it is reported as “condition does not apply.”</p> <p>The program code does not check the field “learning disability.” It should be mapped to this element.</p>
16. Has this Child Ever Been Adopted?	2	<p><u>Screen:</u> Person Management/Characteristics There is a section on this screen “Adoption History.” There is a field “child was previously adopted” with</p>

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Data Element	Rating Factor	Findings
1 = Yes 2 = No 3 = Unable to Determine		<p>options of unable to determine, no, yes, declined. Declined is not an option that should be on this list. The State needs to remove it.</p> <p>There is not a blank space on the options list and most likely it is preset to "not determined." Based on the program code it appears this will be mapped to blank in the extraction logic.</p> <p>Additional information that is on this section:</p> <ul style="list-style-type: none"> • A field "adopted by" that has a drop-down list. • A field "internationally adopted" that has a checkbox. • There are fields also for "adoption due to maltreatment" and "adoption due to abandonment." <p>The Federal and State teams discussed this section of the system would be a logical place to collect the Inter-Country Adoption Act information. Other States have also added a list of States and countries to select where the adoption occurred and a field for the type of agency or person who handled the adoption.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 337 (3%); No = 9,823 (89%); Unable to determine = 187 (2%); Not reported = 721 (7%)</p> <p><i>Case File Review Findings:</i> 4 (6%) of the records analyzed did not match what was reported in AFCARS. The response should have been "no" instead of being left blank.</p> <p><u>Program Code Para. 2900, 4000, and Para. 4030</u> This element is extracted from the person record. The general section to map values of "yes," "no," and "U" is used to directly map the value from the screen. Any other value is mapped to blank. In section 4000, the program code will map an "A" to blank if one is found. The State needs to determine why the program code is confirming an adoption when the program code finds a "yes" for this element. Note that this element is to reflect any adoption - public or private.</p>
17. If Yes, How Old was the Child when Adoption was Legalized? 0 = Not Applicable 1=less than 2 years old 2=2-5 years old 3=6 to 12 years old 4=13 years or older	2	<p><u>Screen:</u> Person Management/Characteristics There is a field "age adopted" with a drop-down list. The options are blank, the AFCARS age categories, not applicable, and unable to determine.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Not applicable = 9,823 (89%); Unable to determine = 19 (.17%); Age categories = 318 (3%); Not reported = 908 (8%)</p>

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Data Element	Rating Factor	Findings
5 = Unable to Determine		<p>The frequencies between elements #16 and 17 do not match. If the family does not know the age of the child at the time of adoption, and is unable to provide an estimated age, then element #17 is to be reported as blank. If the response to element #16 is yes, the option equivalent to “unable to determine” needs to be disabled in element #17.</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS. In four error cases the response should have been “not applicable” instead of being left blank. In one error case the wrong age category was reported.</p> <p><u>Program Code Para 2900 and 4000</u> The child’s age at adoption is obtained from their person record. The information is a code that corresponds to the AFCARS values “1” through “5.”</p> <p>If no value is present, the field is set to blank and if any value other than “1” through “5” is present the element is mapped to “5” (unable to determine). If any value other than 1 – 5 is present, it should be mapped to blank. If the response to whether the child was previously adopted is “no,” then element #17 is to be set to “not applicable.”</p>
Removal Episodes		<p><u>Screen: Placement</u> On the Placement screen there are three tabs – Placement, Provider, and Financial.</p> <p>On the placement tab there are fields for the start date of a placement, the date the child was removed from his/her home, the placement end date, service categories, service type, and placement setting.</p> <p>There is a screen for placement history that includes placement and removal history. The screen displays the date a child was removed and the closure date. If the closure date is blank, then this is the current open removal episode.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> There were several errors related to incorrect identification of an AFCARS removal episode. One case was incorrect because the child was initially placed with a non-custodial parent (there was never a foster care placement). In two error cases the child had a removal episode that was less than 24 hours included in the AFCARS report. There was one error case of a child that had been in foster care in Oregon, adopted, and then re-entered foster care. Element #18, 19, and 20 were incorrect because the AFCARS file did not include the child’s prior removal history.</p>
18. Date of First Removal from Home	3 2	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991.</p>

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Data Element	Rating Factor	Findings
		<p><i>Case File Review Findings:</i> 6 (11%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 2400 and 4000</u> The child's first removal date comes from the removal date of the earliest removal episode record found. The program code checks if the episode type is "1" (foster care placement), the removal flag is "Y" (this placement marks a removal from the home), the removal end date is at least one day more than the begin date, the end reason is null or not equal "100" (opened in error), and the removal date is less than or equal to the report period end date.</p> <p>If the record selected as the first removal has as the initial placement of a locked facility or hospital, the code checks for a foster care placement setting that is the first one after the hospital or locked facility. The start date of this placement is used as the date of the first removal from home date. If the first removal from home began with a child on runaway, the program code will pick up the removal date and include the record.</p> <p>If the first ever removal from home was an episode that is 24 hours or less, because the worker enters a service code it should not be picked up. However, based on findings of the case file review and other documentation, there needs to be modifications to the system. See GR item 5 for further notes. The program code needs to be modified according to the changes required for GR5.</p> <p>Removal episodes prior to an adoption from the State's foster care system are to be reported. The AFCARS requirement is for all removals experienced by the child within the State's jurisdiction. The program code must be modified to check for the first ever removal episode for the child.</p>
19. Total Number of Removals from Home To Date	2	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 8,413 records with one removal.</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code: Para. 2420 – 2440 and 4000</u> The program code determines the total number of removals by counting each removal episode record found that has a removal flag of "Y" and an episode type of "1" (foster care), that is either open or has a valid end reason and where the episode start date is less than or equal to the report period end date.</p> <p>If the only placement in a removal episode is "8" (incarceration) or "9" (hospital), it is correctly not counted.</p> <p>There were two error cases from the case file review that the number of removals indicated two but the first</p>

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Data Element	Rating Factor	Findings
		<p>episode was one that was less than 24 hours. See GR5 for needed modifications and modify the extraction code for the removal count accordingly.</p> <p>Modify the program code to include all removals, including those that occurred prior to an adoption from the State's foster care system.</p> <p>If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re-enters foster care under the existing court order, this is considered a new removal for AFCARS and modifications are needed for this element to increment the removal count.</p>
20. Date Child was Discharged from Last Foster Care Episode	2	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are two records with a discharge date of 1985 and 1987. There are 8,347 records reported as blank (indicating the child has not had a previous removal episode) but there were 8,413 records reported in FC19 as having only one removal episode. The number of records with one removal should be the same number reported as blank in this element.</p> <p><i>Case File Review Findings:</i> 8 (13%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 2600 and 4000</u> The program code selects the end date of the most recent removal episode within the report period where the end reason is not null, the placement discharge flag is "Y" and the episode begin date is less than the current episode's begin date. There appears to be a problem with the logic for this element based on the frequency report and the case file review. This may include cases that are in the Developmental Disabilities Division. When open cases were converted to OR-Kids, a date was set for this element when it was actually a single removal episode.</p> <p>If the prior removal episode only had a placement in a hospital or a locked facility, it is possible that the program code is incorrectly including the end date of that removal episode in this element.</p> <p>If there was a prior removal episode that was for 24 hours or less, the State need to modify the program code to not select the end of that episode for this element.</p> <p>The program code must be modified to check for the discharge date of the prior episode that ended in an adoption.</p> <p>If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re-enters foster care under the existing court order, this is considered a new removal for AFCARS and</p>

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Data Element	Rating Factor	Findings
		modifications are needed for this element to reflect the date the child was placed with the non-custodial parent.
21. Date of Latest Removal from Home	3 2	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991. <i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 2400 and 4000</u> The program code selects the latest removal from home date as the latest episode record. The program code checks that the episode type is "1" (foster care placement), the removal flag is "Y," the removal end date is at least one day more than the begin date, the end reason is null or not equal "100" (opened in error), and the removal date is prior or equal to the end of the report period.</p> <p>The date check in the code seems to be an artifact from other State versions of this code written by CGI. The State needs to look at this language and comment out as it is not correct. See also GR5. Once modifications are made to address issues related to the 24 hour removal episodes, records will not be selected and reported for FC21.</p> <p>If the record selected as the latest removal episode has an initial placement of a locked facility or hospital, the program code checks for a foster care placement setting. If one is found, the start date of this setting is used for the date of latest removal from home.</p> <p>If the current removal episode for a child began with a placement with a non-custodial parent, and then the child was placed into a foster care setting, the date of removal is to be the date the child was placed in the foster care setting.</p> <p>If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re - enters foster care under the existing court order, this is considered a new removal for AFCARS and modifications are needed for this element to reflect the new entry into foster care.</p> <p>The rating factor was changed to a "2" due to corrections needed to this element.</p>
22. Removal Transaction Date	4	<p><u>Program Code: Para. 2400 and 4000</u> The removal transaction date is set to the date created timestamp of the episode selected for the date of latest removal.</p>
23. Date of Placement in Current Foster Care Setting	2	<p><u>Screen: Placement</u> There are three tabs – Placement, Provider, and Financial. On the placement tab there are fields for the start date of a placement, the placement end date, service categories, service type, and placement setting.</p>

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Data Element	Rating Factor	Findings
		<p>There is a screen for placement history. It portrays the placements by removal episodes.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991. <i>Case File Review Findings:</i> 18 (29%) of the records analyzed did not match what was reported in AFCARS. The majority of the errors were due to the date that a placement of "trial home visit" not reported to AFCARS. Three cases were in error because the date reflected a change in the status of the home and not a placement move. There were three error cases that the day reported to AFCARS was a day later than the actual placement date.</p> <p><u>Program Code Para. 2200 and 4000</u> The date of placement is set to the begin date of the last placement found in the routine that calculates the total number of placements in the current removal.</p> <p>The date will either be the actual begin date of the placement or an adjusted begin date if the placement is not counted. The placement cursor excludes placement codes "7" (runaway), "8" (incarceration), "9" (institution) and "22" (trial reunification). The program code must be modified to set the placement date for runaway, incarceration, hospitalizations (number of days to be determined), and trial reunification if these are the settings as of the end of the report period. Also, see the findings for FC41. There is not an option on the screen for "institution." This was noted as a change in the State's CR document. The program code has not been updated to reflect the actual placement settings.</p> <p>If the child is placed back into the same foster home/group home setting they were in prior to runaway or a trial reunification, the program code has to be modified to report the date the foster care placement started and not the date the child was returned after the runaway or trial reunification. (This assumes there were no other placement changes afterward and prior to the end of the report period.)</p> <p>If a child is moved from one cottage to another on the same campus, the placement date is not to change. The program code will need to be modified to report the same date.</p> <p>If there is a change in the status of the home (e.g., the home goes from a foster home to a pre-adoptive home or there is a change in the level of care), the date of the placement is changed in the extraction to reflect the status change. Modify the program code to check if there is a status change for the same provider and if so, to continue reporting the original date of placement in the setting.</p>
24. Number of Previous Placement Settings During this Removal Episode	2	<p><u>Screen</u> See notes in element FC23 and 41.</p>

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Data Element	Rating Factor	Findings
		<p><u>Data Quality</u> <i>Frequency Report (n=11,068)</i>: There are 15 records reported with zero placements. CB will verify in the State's data that these are initial/only placements of runaway.</p> <p><i>Case File Review Findings</i>: 10 (16%) of the records analyzed did not match what was reported in AFCARS. In six error cases the reviewer identified more placements than what was reported to AFCARS. In four error cases the reviewer identified fewer placements than what was reported to AFCARS. One case it was not clear if the placement moves were on the same campus.</p> <p><u>Program Code Para. 3200 - 3280 and 4000</u> The program code calculates the number of placements by reading sequentially and adding to the count for each one not deliberately excluded. The program code is correctly excluding from the number of placements "7" (runaway) and "22" (trial reunification).</p> <p>The program code correctly excludes from the placement count settings with the same provider number as the previous placement.</p> <p>The program code is incorrectly excluding "8" (incarceration) and "9" (institution) from the placement count. Institution is not a placement setting option the worker selects (see FC41). If it is the higher level category, the program code must check the actual placement location. The only setting that is treated differently as an institution is a hospital (medical and psychiatric). If the child is placed in a locked facility it is to be counted as a placement move. If the child is in a hospital, the number of days needs to be calculated (based on decision made by the State and CB) and if the child is in the hospital for more than the determined number of days the count is incremented by one.</p>
25. Manner of Removal from Home for Current Removal Episode 1 = Voluntary 2 = Court Ordered 3 = Not Yet Determined	3	<p><u>Screen</u>: Placement/Placement Tab There is a section "Child Removed From Home Information." The field "Manner" has drop-down options of blank, court ordered, temporary physical custody, and voluntary.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068)</i>: Voluntary = 479 (4%); Court Ordered = 10,584 (96%); Not Yet Determined = 0; Not reported = 5</p> <p><i>Case File Review Findings</i>: 2 (3%) of the records analyzed did not match what was reported in AFCARS. In one error case the response should have been "court ordered" instead of "voluntary." In one error case the response should have been "voluntary" instead of "court ordered."</p>

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Data Element	Rating Factor	Findings
		<p><u>Program Code Para. 3100 and 4000</u> The program code selects the value from the child's most recent episode record and maps them as follows: a "1" is mapped to "voluntary," a "2" (court ordered) and "3" (temporary physical custody) are mapped to "court ordered," and any other value is mapped to "3" (not yet determined). When zero, this element is set to blank.</p>
<p>Actions or Conditions Associated With Child's Removal</p> <p>0=Does not Apply 1=Applies</p>		<p><u>Screen: Placement/ Placement Tab</u> On the placement tab there is a hyperlink to access the "Removal Reasons." There are instructions on the screen that the list is to only be used at the initial removal of a child from his/her home and placement into substitute care. The list is the same as the AFCARS categories.</p> <p>There are sections on the Person Management/Characteristics screen, Substance Use and Child Information, where similar information can be entered. In the substance abuse section there checkboxes for drug addicted at birth and fetal alcohol syndrome. These specific options are not on the "Removal Reasons" screen. There also is a field to indicate when this information was last updated. In the section for the child's information there is a checkbox to identify if the child is a Safe Haven child, if there is a behavior problem, and if the child was adjudicated delinquent.</p> <p>The State may need to identify if these other fields should be checked for the reporting of elements 26 - 40. Also, the State needs to build upon its current process of case planning to ensure that the reasons for removal are being incorporated into the family and individual plans and that there is a plan around resolving the identified areas and if they were resolved.</p> <p>The State may want to re-evaluate the reasons listed on the "Removal Reasons" screen and determine if they meet their business needs or if other reasons need to be added.</p> <p>If the agency does modify the IV-E plan to allow youth over age 18 who have left foster care but later return to be eligible for title IV-E, then new reasons need to be added to the screen.</p> <p>On the Person Management: Parent/Caretaker Info screen there is a section "Primary Caretaker's Information." The options are: emotionally disturbed, physically disabled, <i>drug abuse</i>, learning disability, other medical condition, mental retardation, visually or hearing impaired, and <i>alcohol abuse</i>. These fields have a drop-down option but we do not have a copy of what is in this list. What is the purpose of this information? How is the information integrated into assessments/family plans?</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> There were errors in all but two of the elements in this group. In the majority of the cases, the reviewers found additional conditions that contributed to the child's removal from home. See the</p>

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Data Element	Rating Factor	Findings
		Case File Review Findings for specific errors. <u>Program Code: Para. 3100, 4000 and 4040</u> Elements 26 through 40 are all obtained from the child's most recent removal episode record. If the item is checked on the list then the appropriate element is set to "applies." Those not selected are set to "does not apply." Any other value is mapped to blank.
26. Physical Abuse (alleged/reported)	3	
27. Sexual Abuse (alleged/reported)	3	
28. Neglect (alleged/reported)	3	If the reason for removal includes mental/emotional abuse or domestic violence they are to be included as "neglect" for AFCARS reporting. The State may want to add these options to their list if they are reasons the agency has removed a child from his/her home. If the information is included elsewhere in the system, provide the information to the Federal team and modify the program code to check the field(s).
29. Alcohol Abuse (parent)	3	
30. Drug Abuse (parent)	3	
31. Alcohol Abuse (child)	3	This element should include infants addicted at birth and those exposed in-utero to alcohol. Since this information is also included in the substance abuse section as checkboxes for drug addicted at birth and fetal alcohol syndrome, the program code should also be checking these fields.
32. Drug Abuse (child)	3	This element should include infants addicted at birth and those exposed in-utero to drugs. Since this information is also included in the substance abuse section as checkboxes for drug addicted at birth and fetal alcohol syndrome, the program code should also be checking these fields.
33. Child's Disability	3	
34. Child's Behavior Problem	3	
35. Death of Parent(s)	3	
36. Incarceration of Parent(s)	3	
37. Caretaker's Inability to Cope Due to Illness or Other Reason	3	
38. Abandonment	3	
39. Relinquishment	3	The agency operates a Safe Haven program. Infants entering under this program are to be reported as this element "applies." Since this information is collected elsewhere in the system, the program code should be modified to check the field and if selected, set this element to "applies."
40. Inadequate Housing	3	
41. Current Placement Setting	2	<u>Screen:</u> Placement/Placement Tab

AFCARS Assessment Review Findings: Foster Care Elements
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Data Element	Rating Factor	Findings
<p>1 = Pre-Adoptive Home 2 = Foster Family Home (Relative) 3 = Foster Family Home (Non-Relative) 4 = Group Home 5 = Institution 6 = Supervised Independent Living 7 = Runaway 8 = Trial Home Visit</p>		<p>The options on the drop-down list for placement setting are:</p> <ul style="list-style-type: none"> • Blank • Group Home • Hospitalization • Incarceration • Independent Living • Pre-Adoptive Home • Regular Family Foster Care –non relative • Relative Family Foster Care • Residential Treatment – Facility • Residential Treatment – Home • Trial Reunification. <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Pre-Adoptive Home = 1100 (10%); Foster Family Home (Relative) = 2,942 (27%); Foster Family Home (Non-Relative) = 4,255 (38%); Group Home = 84 (.76%); Institution = 388 (4%); Supervised Independent Living =132 (1%); Runaway = 136 (1%); Trial Home Visit = 2,025 (18%); Not reported = 6</p> <p><i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 2500 and 4000</u> The placement setting code is mapped as follows: “1” (pre-adoptive home) is mapped to the AFCARS value “1,” “2” (relative family foster care) is mapped to the AFCARS value “2,” “3” (regular family foster care – non relative) is mapped to the AFCARS value “3,” “4” (independent living) is mapped to the AFCARS value “6,” “6” (group home) is mapped to the AFCARS value “4,” “7” (left placement without permission) is mapped to the AFCARS value “7.” This is not an option on the screen in the field “placement setting.” “8” (incarceration), “9” (institution), and “10” (residential treatment facility) are mapped to “5,” “11” (residential treatment home) is mapped to “3,” “22” (trial reunification) is mapped to “8.”</p> <p>Any other value is mapped to blank.</p>

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Data Element	Rating Factor	Findings
		<p>There is not an option on the screen for “institution” but the program code includes it. This was noted as a change in the State’s CR document. The program code has not been updated to reflect the actual placement settings. The State also needs to evaluate the size of certain settings to determine if the setting is between 7 and 12 beds and should be mapped as a group home, or if more than 12 as an institution.</p> <p>The program code does not check for the length of stay in a hospital. The agency and CB will need to finalize the number of days that will be considered an acute care hospitalization. The code will use that number and calculate when to include a hospitalization as a placement.</p> <p><u>Post-site Analysis: Placement Settings Mapping (Spreadsheet)</u> <u>Clarifications:</u></p> <ol style="list-style-type: none"> 1. Are the Service Types of ICPC settings in another State/Tribe that the agency has placed one of its own children? 2. Foster Care Other/Adult DD Foster Care SPD Pd, Home/Regular Family Foster Care - Non-Relative: Are these only reported for youth 18 to 21? 3. All Residential Care BRS Placement that are homes are mapped to AFCARS foster home, non-relative. Is it ever possible that a relative is licensed as a therapeutic home? There were also some settings for the Tribes that were only mapped to non-relative FC. Is it possible that a relative could be a therapeutic home? 4. There are settings identified as “kin.” Provide your definition of who this covers. (In all cases these were mapped to “relative.” Tribal and State values.) 5. Foster Care Other/Adult DD Foster Care SPD Pd, Facility/Group Home: Are these only reported for youth 18 to 21? Also, what is the size of this facility? 6. Explain “Other Substitute Care/Unauthorized Taking of Child/Hospitalization. 7. Explain “Residential Care BRS Placement/BRS Independent Living - Target Fclty & Umbrella Facility/Residential Treatment - Facility” what is meant by “independent living?” (These are mapped to “institution.”) 8. “Residential Care BRS and non-BRS Placement/Facility” are all mapped to institution. What are the sizes of these facilities? Is it possible some are between 7 and 12 beds? 9. There are several settings that the AFCARS column indicates “multiple.” Please provide the specific mapping for these items. Including the Tribal ones. 10. There are only 2 settings mapped to “pre-adoptive home.” Adoptive Placement/AA Open Pre-Adoptive Plcmnt Certified and Non-Certified. Clarify if the AA means these are only cases where and adoption assistance payment/service is being made.
42. Is Current Placement Setting Outside of the State or Tribal Service Area?	2	<p><u>Screen:</u> Placement/Provider</p> <p><u>Data Quality</u></p>

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Data Element	Rating Factor	Findings
1 = yes 2 = no		<p><i>Frequency Report (n=11,068): Yes = 486 (4%); No = 10,582 (96%)</i></p> <p><u>Program Code Para. 2500 and 4000</u> The child's current out-of-state flag is obtained from their most recent episode record and mapped as: "Y" is "1," "N" is "2," and any other value is blank. The program code is not checking the provider's address. The State needs to evaluate the approach used in the extraction logic as there appears to be a problem. The simplest solution is to have the program code check if the state address is Oregon or not.</p>
<p>43. Most Recent Case Plan Goal</p> <p>1 = Reunify with Parent(s) or Principal caretaker(s) 2 = Live with Other Relative(s) 3 = Adoption 4 = Long-term Foster Care 5 = Emancipation 6 = Guardianship 7 = Case Plan Goal Not Yet Established</p>	2	<p><u>Screen: Permanency Plan</u> This screen has five tabs – Basic, ASFA Review, TPR Exceptions, Placement, and Youth Transitions. On the tab Basic there is a field to identify if the plan is the original or a newer plan. There is a section "Child's Current Permanency Plan" and field "Permanency." The options in the list are:</p> <ul style="list-style-type: none"> • Blank • Adoption • APPLA-Perm Connections and Support • APPLA-Permanent Foster Care • APPLA-Perm Foster Care w/Relative • Emancipation • Guardianship • Remain Home • Reunification <p>There is no way to distinguish if a goal of guardianship is with a relative or non-relative. The State needs to add an option on the screen to distinguish between a goal of guardianship with a relative from non-relative guardianships. Relative is defined for AFCARS purposes as a person related to the child through marriage or by blood.</p> <p>There is a field for the concurrent goal.</p> <p>There is a field for when a child is ICWA eligible if the Tribe is in agreement with the plan.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Reunify with Parent(s) or Principal Caretaker(s) = 4,981 (45%), Live with Other Relatives = 59 (.53%), Adoption = 2,094 (19%), Long Term Foster Care = 1,974 (185), Emancipation = 26 (.23%), Guardianship = 400 (4%), Case Plan Goal Not Yet Established = 965 (9%) and Not Reported = 569 (5%)</p>

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Data Element	Rating Factor	Findings
		<p>The number of records reported with the AFCARS goal of “live with other Relatives” seems low. The State needs to conduct quality assurance on all case plans.</p> <p><i>Case File Review Findings:</i> 14 (22%) of the records analyzed did not match what was reported in AFCARS.</p> <p>The State team indicated there was some conversion issues with the appropriate plans converting to OR-Kids. Since a periodic review is required every six months, the case plan goals should be reviewed at that time and if not accurate, it should be corrected.</p> <p><u>Program Code Para. 3500 - 3550 and 4000</u> The program code checks for a plan begin date that is after the date of latest removal from home and before the end of the report period. The mapping of the State’s goals were correct with the following exceptions. The goals “APPLA- permanent foster care” (4) and “APPLA – perm connections and support” (5) are both mapped to “long term foster care.” The goal “APPLA – perm connections and support” should be mapped to “emancipation” if the child does have a permanent connection to an adult. There may be additional fields in the system the agency will want to use to ensure that the child has someone who has made that commitment. Or, through the case planning process ensure a decision logic exists that guides the worker through questions in order to select a goal that reflects there is a permanent connection to an adult.</p> <p>If the program code does not identify a case plan goal, then it checks the number of days between the child’s removal and the end of the report period. If it is less than 60 days, this element is set to “not yet established.” If it is more than 60 days, this element is set to blank.</p>
<p>44. Caretaker Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male 5 = Unable to Determine</p>	2	<p><u>Screens:</u> There are multiple screens that a marital status can be entered.</p> <p><i>Person Management: Basic</i> There is a field “Marital Status” it has a drop-down list. We do not have a copy of the list but the reference values spreadsheet for the table “person” has listed: Married (1), single (3), unknown (5), separated (6), divorced (7), not applicable/deceased (8), and putative (9).</p> <p><i>Placement/Placement Tab</i> In the section “Child Removed From Home Information” there are fields for “Caregiver Structure,” “Primary Caregiver,” and “Secondary Caregiver.” The “Caregiver Structure” field has the options: blank, married couple, single female, single male, unable to determine, and unmarried couple. This is a narrow list and does not cover all possibilities for Oregon. There is a better, comprehensive list used for providers. See element #49.</p> <p>The State team indicated that the “Removal Structure” cannot remain as “unable to determine” for system</p>

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Data Element	Rating Factor	Findings
		<p>processing. A marital status has to be entered. The State team indicated this is on the list of things to fix.</p> <p><i>Person Management: Parent/Caregiver Info</i> This screen has fields for Legal Mother Information and Legal Father Information. For Child's Mother there is a search feature. What is the purpose of this search function? There are the fields: Current relationship of Parents to Each Other and Mother's Current Marital Status. These have drop-down selection lists. There is also a field for "Spouse" where the information can be typed in. These fields are in both legal mother and father sections.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Married Couple = 2,832 (26%); Unmarried Couple = 3,132 (28%); Single Female = 4,519 (41%); Single Male = 476 (4%); Unable to Determine = 94 (.85%); Not reported = 15 (.14%)</p> <p><i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3100 and 4000</u> The caretaker codes are equivalent to the AFCARS caretaker family structure element values, "1" through "5" and are directly mapped to the AFCARS values. If no information is entered, this element is set to blank.</p>
45. Year of Birth (1 st Principal Caretaker)	3	<p><u>Screen: Person Management/Basic</u> See the information in FC44 regarding screens. A date of birth is only found on the <i>Person Management/Basic</i> screen. The State team noted that due to the design of the system, if the caseworker does not enter the members of the case and the relationships correctly, the wrong people may be listed for the caretaker fields and then the wrong years of birth would be reported for FC45 and 46.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 10,991 records reported with a year of birth and only 77 as blank. In element #44, there were 15 records blank and 94 records reported as "unable to determine;" a total of 109.</p> <p><u>Program Code Para. 3100 and 4000</u> The program code gets the 1st principal caretaker's year of birth from their person record.</p>
46. Year of Birth (2 nd Principal Caretaker - if applicable)	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 4,904 records reported as blank. In element #44 there were 5,964 records reported as married and unmarried couple.</p> <p><i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS.</p>

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Data Element	Rating Factor	Findings
		<p><u>Program Code: Para. 3100 and 4000, LN 7950 - 7964</u> The program code gets the 2nd principal caretaker's year of birth from their person record.</p>
47. Date of Mother's Parental Rights Termination (if applicable)	4	<p><u>Screen: Legal Status</u></p> <p><i>Case File Review Findings:</i> 3 (5%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code: Para. 3000 - 3070 and 4000, LN 7950 - 7964</u> If the mother is deceased the date of death is used as the TPR date. Otherwise, the program code checks if there is a legal action of "relinquishment-parental rights" (40) or "petition-termination of parental rights" (85). There must be a new legal status of "TPR judgment granted" (56) and the result is "granted" (3). The program code checks that the person the petition applies to is "adjudicated," "birth," "adoptive" or "alleged" parents. The program code selects the most recent action and the effective date is prior or equal to the end of the report period. If no legal record is found, the child's adoption tracking records are searched and the most recent date stamped for document codes "18," "21," "25" or "26" is selected. The State needs to provide the meaning of these values.</p> <p>If no date is found the field is left blank.</p>
48. Date of Legal or Putative Father's Parental Rights Termination (if applicable)	4	<p><u>Screen: Legal Status</u></p> <p><i>Case File Review Findings:</i> 4 (6%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3000 – 3070 and 4000</u> If the father is deceased the date of death is used as the TPR date. Otherwise, the program code checks if there is a legal action of "relinquishment-parental rights" (40) or "petition-termination of parental rights" (85). There must be a new legal status of "TPR judgment granted" (56) and the result is "granted" (3). The program code checks that the person the petition applies to is "adjudicated," "birth," "adoptive" or "alleged" parents. The most recent action and the effective date is prior or equal to the end of the report period is selected. If no record is found the child's adoption tracking records are searched and the most recent date stamped for document codes "19," "22," "23," "27," "28" or "52" is selected. The State needs to provide the meaning of these values.</p> <p>If no record is found the child's legally free date, if not null, is obtained from their adoption tracking record and used for the father's TPR date. Note: this last query is only applied for the father and not the mother.</p> <p>If no date is found the field is left blank.</p>

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Data Element	Rating Factor	Findings
<p>49. Foster Family Structure</p> <p>0=Not Applicable 1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male</p>	2	<p><u>Screen:</u> Home Provider There are five tabs – Home, Members, Characteristics, Services, and Training.</p> <p>In the section “Further Information” of the Home Tab, there is a field for Marital Status. The options are: Divorced, Domestic Partnership, Legally Separated, Married Couple, Registered Domestic Partners, Single Female, Single Male, Unmarried Couple, Widow, and Widower.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Not Applicable = 2,867 (26%); Married Couple = 5,707 (52%); Unmarried Couple = 259 (2%); Single Female = 1,997 (18%); Single Male = 238 (2%); Not reported = 0</p> <p><i>Case File Review Findings:</i> 3 (5%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3400 - 3460 and 4000</u> The program code checks the marital status on the provider record for the foster parent(s). If element #41 has a value reflecting a non-foster home setting (4-8), or the home flag is “N,” this element is set to “not applicable.” The program code checks the gender of the person when the value “divorced” is selected and maps it to the appropriate AFCARS values. All other values are correctly mapped to AFCARS.</p> <p>When “other” this element is set to “unmarried couple.” This is incorrect and it should be set to blank.</p>
50. Year of Birth (1 st Foster Caretaker)	3	<p><u>Program Code Para. 3400 - 3460 and 4000</u> If the current placement setting is a foster family home, the first foster caretaker’s year of birth is obtained from the information entered in their person record. Otherwise, the field is set to spaces.</p> <p><i>Case File Review Findings:</i> 4 (7%) of the records analyzed did not match what was reported in AFCARS.</p>
51. Year of Birth (2 nd Foster Caretaker)	3	<p><u>Program Code Para. 3400 - 3460 and 4000</u> If the current placement setting is a foster family home, the second foster caretaker’s year of birth is obtained from their person record. If not, or if the foster family structure is not a couple, the field is set to spaces.</p> <p><i>Case File Review Findings:</i> 4 (7%) of the records analyzed did not match what was reported in AFCARS.</p>
<p>52. Race of 1st Foster Caretaker</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American</p>	2	<p><u>Screen:</u> See notes in FC8.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> The number of records reported as blank (i.e., “not reported”) is not equal to the number of records reported in FC49 as “Not Applicable” (2,867 (26%)). The number of records reported</p>

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Data Element	Rating Factor	Findings
d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		<p>as “not reported” in FC52 is 2,765.</p> <p><i>Case File Review Findings:</i> 6 (11%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3400 - 3460, 4000, and 4020</u> These fields are initialized to blanks. If the current placement setting is a foster family home, the first foster caretaker’s race is obtained from their person record.</p> <p>If the setting is not a foster family home, the field is set to spaces. For this element, “unknown” is commented out of the routine.</p>
53. Hispanic or Latino Ethnicity of 1 st Foster Caretaker 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2	<p><u>Screen:</u> See notes in FC9.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Not Applicable = 0; Yes = 570 (5%); No = 4,867 (44%); Unable to determine = 1,507 (14%); Not reported = 4,124 (37%)</p> <p><i>Case File Review Findings:</i> 15 (34%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3400 - 3460, 4000 and 4030</u> If the current placement setting is a foster family home, the first foster caretaker’s Hispanic or Latino Ethnicity is obtained from their person record. If not the field is set to spaces.</p> <p>There is no logic to set this element to “not applicable” when the child is in a non-foster home setting. Modify the program code to set this element to “not applicable” when the child’s placement setting as of the end of the report period is a non-foster home setting.</p>
54. Race of 2 nd Foster Caretaker (if applicable) a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	2	<p><u>Screen:</u> See notes in FC8.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> The number of records reported as blank (i.e., “not reported”) is not equal to the number of records reported in FC49 as “Not Applicable” (2,867 and single foster parent (2,235). The number of records reported as “not reported” in FC54 is 3,508.</p> <p><i>Case File Review Findings:</i> 11 (20%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3400 - 3460, 4000, and 4020</u> If the current placement setting is a foster family home, the second foster caretaker’s race is obtained from</p>

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Data Element	Rating Factor	Findings
		their person record. If the setting is not a foster family home, or if the foster family structure is not a couple, the field is set to spaces.
55. Hispanic or Latino Ethnicity of 2 nd Foster Caretaker (if applicable) 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2	<p><u>Screen:</u> See notes in FC9.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Not Applicable = 0; Yes = 604 (6%); No = 4,250 (38%); Unable to determine = 1,366 (12%); Not reported = 4,848 (43%)</p> <p><i>Case File Review Findings:</i> 24 (44%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3400 - 3460, 4000, and 4030</u> If the current placement setting is a foster family home, the second foster caretaker's Hispanic or Latino Ethnicity is obtained from their person record. If the setting is not a foster family home, or if the foster family structure is not a couple, the field is set to spaces.</p> <p>There is no logic to set this element to "not applicable" when the child is in a non-foster home setting or when the marital structure of the foster parent is single. Modify the program code to set these two situations to "not applicable."</p>
56. Date of Discharge from Foster Care	2	<p><u>Screen:</u> Placement/Placement End Data is collected in the OR-Kids Placement ending window. The fields are: End Date, Ending Purpose, End Reason, Is the end of this child's placement a closure of all placements, and Closure Reason.</p> <p>There are three options for "Ending Purpose": Administrative Change, End of Placement, and Placement Service Change. Once one of these dropdown values is selected, the filtered end reasons list displays depending on which end purpose was selected. The option "end of placement" is what is to be selected when a discharge is entered.</p> <p>The caseworkers are instructed to end trial reunification after six months but this is not always accurate for AFCARS reporting requirements. Some counties/courts are not always using the CRB and the case is being reviewed in court. This equates to a specified period of time and so for AFCARS purposes the child is still in the AFCARS reporting population and will remain in the population until the agency no longer has responsibility for placement and care of the child.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS. There are cases of 18 year olds who are not eligible for title IV-E that are not being reported as discharged on</p>

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Data Element	Rating Factor	Findings
		<p>their 18th birthday.</p> <p><u>Program Code Para. 3300 - 3320 and 4000</u> If the discharge flag on the current placement is "Y," the program checks the current placement end date. If the placement end date is blank or is a date after the end of the report period, this element is left blank. If a placement end is found, it is reported for this element.</p> <p>If the discharge flag is not "Y" but there is an adoption recorded on the court disposition record for the child with an effective date within the reporting period, it is used for the date of discharge. This is an issue as there should not be an effective date of an adoption with no discharge date from foster care. The agency needs to address this as a training/oversight issue. The routine should be removed from the extraction code in order to accurately identify records that are actually closed but have not been closed on the system.</p> <p>Children who were in foster care but are then placed with a non-custodial parent are to be reported to AFCARS as discharged. The agency will need to identify a method to properly report these cases for AFCARS. Also, if the child re-enters foster care under the existing court order, this is considered a new removal for AFCARS and modifications are needed for FC19 - 21.</p>
57. Foster Care Discharge Transaction Date	4 3	<p><u>Program Code Para. 3300 - 3320 and 4000</u> The State identified an issue with this element.</p> <p><u>Post-site Visit Corrections</u> This has been corrected to select the initial date the caseworker entered the date of the discharge and not the date the supervisor approved the data entry. Also, the transaction date is not reflecting an end in a placement.</p>
<p>58. Reason for Discharge</p> <p>0 = Not Applicable 1 = Reunification with Parent(s) or Primary Caretaker(s) 2 = Living with Other Relative(s) 3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Transfer to Another Agency 7 = Runaway 8 = Death of Child</p>	2	<p><u>Screen: Placement/Placement End</u> There are three fields on this screen: Ending Purpose, End Reason, and Closure Reason. The State team indicated the field "closure reason" is used to identify the child's discharge reason.</p> <p>The caseworker also has to indicate if ending of the child's placement (living arrangement) is the "closure of all placements." The response has to be "yes" for the extraction code to identify the record for reporting discharge information.</p> <p>Children who were in foster care then placed with a non-custodial parent are to be reported to AFCARS as discharged, even if the agency still has responsibility for placement and care. Since the caseworker would not select the indicator that this is a closure of all placements, the agency will need to identify a method to properly report these cases for AFCARS. Also, if the child re-enters foster care under the existing court order, this is</p>

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Data Element	Rating Factor	Findings
		<p>considered a new removal for AFCARS and modifications are needed for FC19 - 21.</p> <p>Explain the purpose of the field "Closure Reason."</p> <p><u>Data Quality</u> <i>Frequency Report:</i> There are 8,687 records reported as blank in #56 and "not applicable" for this element, but there are 8,696 records reported as blank in element #57.</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3300 - 3320 and 4000</u> The discharge reasons are mapped as follows:</p> <ul style="list-style-type: none"> • "1" (adoption) is mapped to "adoption." • "2" (aged out), "5" (legal emancipation), "7" (married), "11" (youth turned 21) and "12" (youth, under 21 self-sufficient) are mapped to "emancipation." • "3" (child deceased) is mapped to "death of child." • "4" (guardianship) is mapped to "guardianship." • "6" is mapped to "living with other relative(s)." • "8" (reunification) is mapped to "reunification." • "9" (runaway) is mapped to "runaway." • "10" (transferred to another agency) is mapped to "transferred to another agency." <p>Any other value is mapped to "not applicable."</p>
59. Title IV-E (Foster Care)	2	<p><u>Screen:</u> The State did not provide a copy of the screens used for determining title IV-E foster care eligibility.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 6,160 (56%); Applies = 4,908 (44%)</p> <p>The State team indicated the frequency rate seems low for this element. As noted below there are issues and one is that the program code is not including the Tribal cases. See notes in the section for the State's CR changes.</p> <p><i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p>The State generally determines eligibility within 45 days of a child entering foster care.</p>

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State: Oregon

Data Element	Rating Factor	Findings
		<p><u>Program Code Para. 3610 and 4000</u></p> <p>If a reimbursable payment request record is found for the child on the payment and related eligibility date tables with a payment begin date that falls during the reporting period, or if the value of element #60 is “applies” this element is set to “applies.” Otherwise, it is set to “does not apply.”</p> <p>This element should not be set to applies if FC60 is applies. It is possible in one report period that the two are both applicable, but not in subsequent time frames. The only time the two would be applicable at the same time is the report period that the State switches from using foster care maintenance funds to adoption subsidy funds to pay for the foster care placement.</p> <p>Also, this approach will not correctly report if the child was determined eligible towards the end of the report period.</p> <p>Other issues identified by the State are causing this data to be inaccurate. The State is scheduled for a title IV-E review in FFY14 and the correction of this element must be a high priority. The change will ensure that children entering foster care at the end of the report period and determined eligible will be reported as “applies” for this element. For those that IV-E is pending, either element #61 or #65 will be applies depending on situation.</p> <p><u>State’s CR Document:</u></p> <p>Issue: The current mapping for these elements is based on payments that have been made, not the eligibility for payment. Additionally, the current mapping for this element only includes codes 1 and 2 from the Reimbursement Funding sources list. There are additional IV-E funding sources that need to be added to the mapping in this element. These include Tribal foster care, subsidized guardianships and other IV-E funding sources.</p> <p>Requested Resolution: The coding for these elements needs to do two things -</p> <p>1: Check if the child was IV-E eligible in any month of the report period when they were in placement.</p> <p>2: If they were IV-E eligible, check the placement service (in that same month) and see if it is eligible for IV-E reimbursement from any IV-E reimbursement source. Then map to the elements as follows:</p> <p>#59: This is a yes if IV-E eligible child and placement is IV-E eligible</p> <p>#60: Child is IV-E AA Federally eligible and is in one of these two placements:</p> <p>186 AA Open Pre-Adoptive Plcmnt Certified</p> <p>370 AA Open Pre-Adoptive Plcmnt Non-Crtfd</p> <p>NOTE: When this element is a yes, it also sets 59 to a yes.</p> <p><u>Post Site Visit Corrections</u></p>

AFCARS Assessment Review Findings: Foster Care Elements
State: Oregon

Data Element	Rating Factor	Findings
		The program code was modified to now check the child's eligibility and the placement's eligibility. If the child is IV-E eligible and in an IV-E reimbursable placement on any day (i.e.: both are true on that day) during the report period then element 59 is a yes. Payments are no longer associated with these elements.
60. Title IV-E (Adoption Assistance)	4	<p><u>Screen:</u> The State provided a partial copy of the screen used to determine adoption assistance. See notes in adoption element 9.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,787 (97%); Applies = 281 (3%)</p> <p>The State does claim title IV-E Adoption Assistance prior to an adoption for the foster care placement.</p> <p><u>Program Code Para. 3620 and 4000</u> The program code looks at children who are in the process of being adopted but whose adoption is not finalized and sets element #60 to "applies" if a reimbursable payment request record is found on the payment and related eligibility date tables with a payment begin date that falls during the reporting period. If not found the value is set to "does not apply."</p> <p><u>Post Site Visit Corrections</u> The program code now checks IV-E AA Federal eligibility and presence of an AA Pre-Adoptive placement using the same logic (both true on same day) as element 59.</p>
61. Title IV-A	4	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 11,064 (99.96%); Applies = 4 (.04%) The State team indicated that most likely all records will be reported as "does not apply" in the near future.</p> <p><u>Program Code Para. 3630 and 4000</u> The extraction code sets the value of Title IV-A to "applies" if a record is found in the child's episode records with a service code of "201" (unpaid relative foster care), a null end reason or one not ended in error, and a begin date less than or equal the report period end date and an end date that is either null or greater than or equal the report period begin date. If not found the value is set to "does not apply."</p>
62. Title IV-D (Child Support)	2	<p><u>Screen:</u> Trust Account</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,959 (99%); Applies = 109 (1%)</p> <p>The State team indicated the interface with the child support system is not working properly. The State must</p>

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State: Oregon

Data Element	Rating Factor	Findings
		<p>report this information to AFCARS regardless of the status of the interface. If the agency has received a child support payment on behalf of the child, then this is to be reported as “applies.”</p> <p><i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code:</u> Para. 3640 and 4000 Title IV-D applies for the child if the extraction code finds a payment with related trust account ledger entry for the child where the payment type is “11” (Title IV-D support amount) and the date the payment begins is any time during the reporting period. If not found the value is set to “does not apply.”</p>
63. Title XIX (Medicaid)	2 4	<p>Screen: Medical Eligibility Determination</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 508 (5%); Applies = 10,560 (95%)</p> <p><u>Program Code Para. 3650 and 4000</u> The extraction code sets Title XIX to “applies” if a medical eligibility and related medical redetermination record is found for the child that has been completed (flag completed = “Y” and the date completed is not null), that has not been voided, and where the eligibility status codes are “1,” “2,” “3,” “5” or “7” (Medical_Elig Medical_REDET table) with an effective date less than or equal to the report period end date and an end date that is either null or within the reporting period. If no record is found the same query is performed against the medical eligibility record alone without matching it to a redetermination record. If still not found the value is set to “does not apply.”</p>
64. SSI or Other Social Security Benefits	4	<p><u>Screen:</u> Trust Account</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,278 (93%); Applies = 790 (7%)</p> <p><u>Program Code Para. 3660 and 4000</u> The extraction code sets element #64 to “applies” if a non-zero amount record is found on the AFCARS payment and related trust account ledger for the child where the id payments match, the benefit type code is either “2” (SSI – State) or “7” (SSA – Survivors), and the payment begin date is within the reporting period. If not found the value is set to “does not apply.”</p>
65. None of the Above	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,949 (99%); Applies = 119 (1%)</p>

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State: Oregon

Data Element	Rating Factor	Findings
		<p><i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3670 and 4000</u> The extraction code sets element #65 to “applies” if a non-zero amount record is found on the AFCARS payment and related trust account ledger for the child where the id payments match, the benefit type code is anything other than “2” or “7”, and the payment begin date is within the reporting period. If not found the value is set to “does not apply.”</p> <p><u>Post-site Visit Modifications</u> The logic has been moved to a called procedure p_get_afcars_e65 and additional funding sources for the child during the reporting period are checked. If no other sources of income are found, and elements #59 - 64 are “does not apply,” then this element is set to “does not apply.” The change also now overrides the results for other sources of income if any of #59 - 64 are “applies.” This should be commented out of the code and element 65 should be set to “applies” regardless of what is found in 59 - 65.</p>
66. Amount of Monthly Foster Care Payment	3	<p><u>Program Code Para. 3680 and 4000</u> The monthly amount is calculated in several steps. First the program finds the most recent payment begin date on the AFCARS payment and related service type table for the child where the payment subcategory code is “2” (placement services) and the code service category is “1” (adoption assistance), “47” (personal care) or “103” (enhanced supervision) and the payment type is “G” or “C” (code values not found). It then finds the first full month within the reporting period prior to the payment found. Then it determines the first and last day of that month. Finally, it reads all payment records that match the selection criteria described above that occur within the month selected and sums the amount. The final tally for the month is the value used for element #66.</p>

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Data Element	Rating Factor	Findings/Notes
1. Title IV-E Agency	4	<u>Program Code</u> The State code ("41") is hard coded in the output record definition.
2. Report Period Ending Date	4	<u>Program Code: Para. 0110</u> The report period end date is manually entered into a one record file that contains other control data used by the program. This file is read into the program in its initialization process.
3. Record number	4	
4. Did the title IV-E Agency have any involvement in this adoption? 1=Yes 2=No	3	<u>Program Code: Para. 4000</u> This element is determined by the responses in elements #31, 34, or 35. If the relationship of the adoptive parent is foster parent, or the child was placed by a public agency, or is receiving a subsidy element #4 is set to "yes." Otherwise it is set to "no." <u>Data Quality</u> <i>Frequency Report (n=484)</i> : There were three records reported as "no." Since the State indicated they are only reporting adoptions that they have involvement, these are likely errors due to the errors with the extraction code for elements 35 - 37. Since this element is depended on the accuracy of adoption elements 31, 34, or 35, it is critical that the data for these elements is accurate. <u>Post-site Modifications</u> The program code was modified and the check of elements 31, 34, or 35 has been removed.
5. Child's Date of Birth	4	<u>Program Code Para. 2400 and 4000</u> The child's date of birth is obtained from their person record.
6. Sex 1=Male 2=Female	4	<u>Program Code Para. 2400 and 4000</u> This element is obtained from the child's person record and mapped as "M" = "1" and "F" = "2."
7. Child's Race 0=No 1=Yes a. American Indian or Alaska Native b. Asian	2	<u>Screen: Person Management, Basic Tab</u> - The State is aware of needed changes to this section of the system and has a change request to resolve the issue. See the findings for FC8 for additional information regarding needed and suggested system changes. <u>Data Quality</u> <i>Frequency Report (n=484)²</i> : There are 31 (6%) records reported as "unable to determine." There are 117 (24%) records reported as having two or more races.

² The Frequency Report is based on the data for the 2013A report period.

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Data Element	Rating Factor	Findings/Notes
c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		<p><i>Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS. In the two error cases an additional race was identified by the reviewers.</p> <p><u>Program Code Para. 2400, 4000, and 4020 - 4025</u> The child's race codes are obtained from their person record and mapped the related AFCARS categories. See the findings for FC8 for additional issues and needed corrections.</p>
8. Child's Hispanic or Latino Ethnicity 1=Yes 2=No 3=Unable to determine	3	<p><u>Screen:</u> Person Management, Basic Tab - The State has noted modifications to this section of the system and has a change request to resolve the issue. See the findings for FC9 for additional information regarding suggested system changes.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 72 (15%); No = 369 (76%); Unable to determine = 43 (9%); Not reported = 0</p> <p><i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. The error case indicated "unable to determine" in the AFCARS file but the reviewer found no indication that the parent/child had declined to provide the information or that the child was a Safe Haven infant.</p> <p><u>Program Code Para. 2400, 4000, and Para. 4030</u> The mapping for this element is the same as FC9. See those notes for additional information.</p>
9. Has the title IV-E agency determined that the child has special needs? 1=Yes 2=No	4	<p><u>Screen:</u> Certification of Special Needs</p> <p>There are screens for the adoption agreement and for determining eligibility. The Adoption Assistance Determination screen includes both federal and state. It is not clear if there is a link/connection between the information entered on the Certification of Special Needs and the Adoption Assistance Determination screens. If not, the State should consider ensuring a method that links this information to avoid conflicts in data entry.</p> <p>The Adoption Assistance Determination screen has a field to identify if the "Title IV-E Eligibility Status" is Federal, State, or Pending. Should the field be titled "Eligibility Status"? There are fields for effective, completed and end dates.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 480 (99%); No = 4 (1%)</p> <p><u>Program Code Para. 2400 and 4000</u> The program code selects the most recent special needs record based on the creation timestamp, where the related approval record's action and status codes are not "N," work type code is not "31," and the number of</p>

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating Factor	Findings/Notes
		<p>the work type does not equal the number of the special needs. If the number for special needs is "1," the value is set to "yes." Otherwise it is set to "no" and elements #10 – 15 are set to "0." There is an option "no" on the list. The State should consider modifying the code to map it to the value "2" in AFCARS.</p> <p>While it appears that this logic is correct and the data appear accurate, it is not clear that this is the best logic for setting this element. One option might be to check the eligibility page and determine if the child was determined to be eligible for adoption subsidy. Another option is to check the primary basis field on the screen for a response. If the response is one of the values listed in element #10, then set this element to "yes." If the response in the field is "no," set this element to "no."</p>
<p>10. Primary Factor or Condition for Special Needs</p> <p>0=Not applicable 1=Racial/Ethnic Background 2=Age 3=Membership in a Sibling Group 4=Medical conditions or Mental, Physical or Emotional Disabilities 5=Other</p>	4	<p><u>Screen:</u> Certification of Special Needs</p> <p>There is a section for Special Needs with an effective date, options to choose foster care or adoption, and a field "Primary Basis for Special Needs." This field has a drop-down list and the options are:</p> <ul style="list-style-type: none"> • Age of child, 8 or over • Autism • Child at Risk-Gen/Env Factors • Clinically Diagnosed Disability • Developmental Disability • Doc Abus/Neg-Likely Req Further Treat • Drug Affected • Emotionally Disturbed • Fetal Alcohol Syndrome • Member of a Sib Grp (2, 1 Age 6 or more) • Member of Ethnic/Racial/Cultural Minority • Mental Retardation • No • Other Med Diagnosed Cond Req Special Care • Physically Disabled • Specific learning Disability • Speech Impairment • Visually or Hearing Impaired. <p>Below this section is an area "Additional Basis for Special Needs." The options are listed with a checkbox. The options are the same as those in the primary field minus the option "no."</p> <p>The selection list in the drop-down is long. The State may want to consider consolidating the health related</p>

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Data Element	Rating Factor	Findings/Notes
		<p>items into one. Also, the agency could consider removing them from the check box area as well. Once the child is determined to be eligible for adoption subsidy and determined to be special needs due to health/mental health/behavior reasons, the child's diagnosed conditions are already in the system in the medical module. The mapping for elements 11 - 15 should then go to this part of the system for information.</p> <p>In the Special Needs section, there also is a checkbox for "Child has established emotional ties with foster parent." This option is not on the drop-down list nor is it listed in the section for additional bases for primary needs. Since the full screen for "Adoption Assistance Determination" was not provided, it is not clear if this item is on that list. If this can be a reason a child is determined eligible for adoption assistance, and if it can be the only the reason, then it needs to be added to the drop-down options for primary basis.</p> <p><u>Frequency Report</u> (n=484): Not applicable = 4; Race/Original Background = 21 (4%); Age = 36 (7%); Sibling group = 84 (17%); Medical, etc. = 81 (17%); Other = 258 (53%)</p> <p><u>Program Code Para. 3050 and 4000</u> The program code obtains this information from the child's primary basis code and maps it as follows:</p> <ul style="list-style-type: none"> • "1" (age of child, 8 or over) is mapped to "2" (age), • "2" (member of sib grp) is mapped to "3" (membership in a sibling group), • "3" (membership of ethnic/racial minority) is mapped to "1" (racial/ethnic background), • "4" (emotionally disturbed), "5" (physically disabled), "6" (specific learning disability), "8" (visually or hearing impaired), "9" (other med diagnosed cond req spec care), "10" (developmental disability), "11" (autism), "12" (clinically diagnosed disability), "15" (fetal alcohol syndrome), and "17" (mental retardation) are all mapped to "4" (medical conditions or mental, physical or emotional disabilities), or • "7" (child at risk-gen/env factors), "13" (doc abuse/neg-likely req further treatment), "14" (drug affected), and "18" (speech impaired) are mapped to "5" (other). <p>If element #9 is set to "no" the value of element #10 is set to "0" (not applicable). Since "no" is an option on the list, if selected that should be used to set this element to "not applicable."</p> <p>If "Child has established emotional ties with foster parent" can be the only basis for determining a child eligible for adoption assistance, then this option needs to be included in the extraction routine.</p>
<p>Elements #11 – 15</p> <p>0=Does not Apply 1=Yes, applies</p>		<p><u>Program Code: Para. 3050 and 4000</u> These elements are incorrectly reported regardless of the value of element #10. If the response in AD10 is a value other than "4," these elements are to be set to "does not apply."</p> <p>The program code should go to the medical module and map the diagnosed conditions from what is entered</p>

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Data Element	Rating Factor	Findings/Notes
		there when element #10 is "4, medical conditions or mental, physical or emotional disabilities."
11. Type of Disability-Mental Retardation	2	<p><u>Program Code Para. 3050 and 4000</u> If the primary basis code is "10" (developmental disability), "17" (mental retardation), or the mental retardation flag retrieved from the special needs table is "Y," element #11 is set to "applies." Otherwise, this element is set to "does not apply."</p>
12. Type of Disability-Visually or Hearing Impaired	2	<p><u>Program Code Para. 3050 and 4000</u> If the primary basis code is "8" (visually or hearing impaired) or the visually/hearing impaired flag retrieved from the special needs table is "Y," element #12 is set to "applies." Otherwise, this element is set to "does not apply."</p>
13. Type of Disability-Physically Disabled	2	<p><u>Program Code Para. 3050 and 4000</u> If the primary basis code is "5" (physically disabled) or the physically disabled flag retrieved from the special needs table is "Y," element #13 is set to "applies." Otherwise, this element is set to "does not apply."</p>
14. Type of Disability-Emotionally Disturbed	2	<p><u>Program Code Para. 3050 and 4000</u> If the primary basis code is "4" (emotionally disturbed), "11" (autism), or the emotionally maladjusted flag retrieved from the special needs table is "Y," element #14 is set to "applies." Otherwise, this element is set to "does not apply."</p>
15. Type of Disability-Other Medically Diagnosed Condition Requiring Special Care	2	<p><u>Program Code Para. 3050 and 4000</u> If the primary basis code is "9" (other med diagnosed cond req spec care), "6" (specific learning disability), "15" (fetal alcohol syndrome), or the other medical condition flag retrieved from the special needs table is "Y," element #15 is set to "applies." Otherwise, this element is set to "does not apply."</p>
16. Mother's Year of Birth	4 3	<p><u>Screen: Person Management/Basic</u> See the information in FC44 - 46 regarding screens. A date of birth is only found on the <i>Person Management/Basic</i> screen. The State team noted that due to the design of the system, if the caseworker does not enter the members of the case and the relationships correctly, the wrong people may be listed for the caretaker fields and then the wrong years of birth would be reported for FC45 and 46. Since the information in the adoption file is based on the parents as opposed to the caretakers, there may be less issues with the accuracy of the data but since there were issues identified, this element has been re-evaluated and rated a "3."</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3010 and 4000</u> The mother's date of birth is retrieved from her person record and formatted to extract the year portion of the date.</p>

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Data Element	Rating Factor	Findings/Notes
17. Father's Year of Birth	4 3	<p><u>Screen</u> See notes in AD16.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3010 and 4000</u> The father's date of birth is retrieved from his person record and formatted to extract the year portion of the date.</p>
18. Was the Mother married at the time of the child's birth? 1=Yes 2=No 3=Unable to determine	2	<p><u>Screen:</u> Person Management/Parent-Caregiver Info. There is a field "Mother Married at Child's Birth" with the options: Blank, No, Unable to determine, and Yes. See previous notes regarding the use of "unable to determine" as a screen option.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 101 (21%); No =320 (66%); Unable to determine = 63 (13%)</p> <p><i>Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 2400, 4000, and Para. 4030</u> The program code extracts this information from the child's person record. Information is mapped as follows: "Y" is mapped to "1" (yes), "N" is mapped to "2" (no) and "U" is mapped to "3" (unable to determine).</p> <p>The program code incorrectly maps any other value and missing data to a "3." Other values and missing data are to be mapped to blank.</p> <p>There may be data conversion errors that needs to be addressed for this element once the mapping is corrected to set this to blank.</p>
19. Date of Mother's Termination of Parental Rights	4	<p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There are 11 (2%) records without a TPR date. There were only 2 records missing a TPR date in the 2013B report.</p> <p><i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. The reviewer found the date of TPR for the mother was earlier than the date reported to AFCARS (a week earlier).</p> <p><u>Program Code Para. 3000 - 3025 and 4000</u> The extraction code first determines if there is a deceased date. If there is a deceased date for the mother,</p>

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Data Element	Rating Factor	Findings/Notes
		<p>the date of death is used for the TPR date.</p> <p>If a deceased date is not identified, the program code checks for the most recent effective date on the court disposition record for a disposition action of either “40” (relinquishment) or “85” (petition – termination of parental rights); a legal status of “56” (adoption finalized); a result code of “3” (petition granted); and, a code indicating that the record applies to a parent of types “adjudicated,” “birth,” “adoptive” and “alleged.”</p> <p>If a date still is not identified, the program code attempts to get the mother’s TPR date from the child’s adoption document record with the adoption tracking number for the child’s person id where document code is “18,” “21,” “25” or “26”. The Federal team does not have the translation of these values.</p> <p>If no date is found the field is set to spaces.</p> <p>While the federal team does not have these codes, it is likely this last section is not executed. For an adoption to be reported in the AFCARS adoption file, it has to be finalized. Consequently, the routine checking the court table will find a date of parental rights termination.</p>
20. Date of Father’s Termination of Parental Rights	4	<p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There are 13 (3%) records without a TPR date. There were no records missing a TPR date in the 2013B report.</p> <p><i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. The reviewer found the date of TPR for the father was earlier than the date reported to AFCARS (a week earlier).</p> <p><u>Program Code Para. 3000 - 3025 and 4000</u> The extraction code first determines if there is a deceased date. If there is a deceased date for the father, the date of death is used for the TPR date.</p> <p>If a deceased date is not identified, the program code for the most recent effective date on the court disposition record for the child with a disposition action of either “40” (relinquishment) or “85” (petition – termination of parental rights), a legal status of “56” (adoption finalized), a result code of “3” (petition granted) and a code indicating that the record applies to a parent of types “adjudicated,” “birth,” “adoptive” and “alleged.” It will use the most recent date found as the TPR date.</p> <p>If no date is found, the program code attempts to get the father’s TPR date from the child’s adoption document record with the adoption tracking number for the child’s person id where document code is “19,” “22,” “23,” “27,” “28” or “29.” The Federal team does not have the translation of these values.</p>

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Data Element	Rating Factor	Findings/Notes
		<p>If no date is found the field is set to spaces.</p> <p>While the federal team does not have these codes, it is likely this last section is not executed. For an adoption to be reported in the AFCARS adoption file, it has to be finalized. Consequently, the routine checking the court table will find a date of parental rights termination.</p>
21. Date Adoption Legalized	4	<p><u>Program Code Para. 2500</u> The adoption legalized date is obtained from the court disposition table. The adoption finalization date of the most recently created court record is selected where the person number is the pre-adopt person number; the case number is the same; the legal status is "59" (adoption finalized); and, the effective date is not null. If no date is found the field is set to blanks.</p>
22. Adoptive Parents' Family Structure 1=Married couple 2=Unmarried couple 3=Single female 4=Single male	2	<p><u>Screen</u> These are the same fields as for the foster parent provider. See FC49 for additional information.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Married Couple = 357 (74%); Unmarried Couple = 21 (4%); Single Female = 105 (22%); Single Male = 0; Not reported = 1 In the 2013B file there were three records reported for "single male."</p> <p><u>Program Code Para. 3100 – 3460 and 4000</u> This field is initialized to zero. If the adoptive parent(s) are foster parents the marital status from their provider record is used. If they are not, the marital status from the relevant person record is used. The mapping is different from the mapping in FC49. The State's values are mapped to AFCARS as:</p> <ul style="list-style-type: none"> • Married couple (1) is mapped to AFCARS "married couple." • Unmarried couple (2) is mapped to AFCARS "unmarried couple." • Single Female (3) to AFCARS Single Female. <p>Single Male (4) is not included. Also, Legally Separated (6) and Divorced (7) are incorrectly mapped to AFCARS single female. Legally separated is to be mapped to married couple. For a status of divorced, need to check the person's gender.</p> <p>Domestic Partnership (8) and Registered Domestic Partner (9) are mapped to "unmarried couple." In the foster care file for the foster parents these are mapped to married couple.</p> <p>When any other value is found, this element is set to single female; including single and divorced males.</p>

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Data Element	Rating Factor	Findings/Notes
		<p><u>Post-site Corrections:</u> The program code was modified to use two new procedures (p_get_afcars_adopt_e22_e28 and "convert family structure"). A status of separated is mapped to married couple. Also, the routines includes a check for marital structure based on gender. If the gender is "female" the marital status is "single female." Otherwise, it is mapped to "single male." Clarify why the program code was rewritten in this manner instead of using the same routine as in FC49 (the field is the same for both elements). The values for Domestic Partnership and Registered Domestic Partner are incorrectly mapped to "unmarried couple" (based on the mapping for FC49).</p>
23. Adoptive Mother's Year of Birth	3 4	<p><u>Screen</u> This is the same field as for the foster parent provider. See findings for FC50.</p> <p><u>Program Code Para. 3100 – 3460 and 4000</u> The adoptive mother's year of birth is extracted from the birth date on her person record.</p>
24. Adoptive Father's Year of Birth	3 4	<p><u>Screen</u> This is the same field as for the foster parent provider. See findings for FC51.</p> <p><u>Program Code Para. 3100 – 3460 and 4000</u> The adoptive father's year of birth is extracted from the birth date on his person record.</p>
25. Adoptive Mother's Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	2	<p><u>Screen</u> This is the same field as for the foster parent provider. See the findings for FC52, 54, as well as FC8.</p> <p><u>Program Code Para. 3100 – 3460 and 4000</u> The mother's race is obtained from her person record and mapped as described for foster care element #52.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS.</p>
26. Adoptive Mother's Hispanic Origin 0=Not Applicable 1=Yes 2=No 3=Unable to determine	2	<p><u>Screen</u> This is the same field as for the foster parent provider. See the findings for FC53, 55, as well as FC9.</p> <p><u>Program Code Para. 3100 – 3460 and 4000</u> The mother's Hispanic origin is obtained from her person record and mapped as described for foster care element #53.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS.</p>
27. Adoptive Father's Race	2	<p><u>Screen</u></p>

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating Factor	Findings/Notes
a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		This is the same field as for the foster parent provider. See the findings for FC52, 54, as well as FC8. <u>Program Code Para. 3100 – 3460 and 4000</u> The father's race is obtained from his person record and mapped as described for foster care element #54. <u>Data Quality</u> <i>Case File Review Findings:</i> 3 (23%) of the records analyzed did not match what was reported in AFCARS.
28. Adoptive Father's Hispanic Origin 0=Not Applicable 1=Yes 2=No 3=Unable to determine	2	<u>Screen</u> This is the same field as for the foster parent provider. See the findings for FC53, 55, as well as FC9. <u>Program Code Para. 3100 – 3460 and 4000</u> The father's Hispanic origin is obtained from his person record and mapped as described for foster care element #55. <u>Data Quality</u> <i>Case File Review Findings:</i> 3 (23%) of the records analyzed did not match what was reported in AFCARS.
Elements #29 – 32, Relationship to Adoptive Parent 0 = Does not Apply 1 = Applies		<u>Screen: Case Closure</u> Based on the documentation sent by the State, this screen is used as the basis for the adoption extraction for these elements. The options for the field "adopted by" are: adoption by foster family, adoption by other non-relative, adoption by relative, and adoption by stepparent. These are only single select and more than one option cannot be selected. Either the system or the program code must be modified to identify all of the relationships that exist between the child and the adoptive parents. <u>Program Code Para. 3030 and 4000</u> The extraction code identifies the value from the child's case closure record for a pre-adopt case with a close reason of "16" (adoption). Prior to mapping, elements #29 – 32 are initialized to "0" so that if not set as "applies, they will be "does not apply."
29. Relationship to Adoptive Parent-Stepparent 0=Does not apply 1=Yes, Applies	2	<u>Program Code 3030 and 4000</u> If the code adopted by is "1, stepparent" element #29 is set to "applies."
30. Relationship to Adoptive Parent -Other Relative	2	<u>Program Code 3030 and 4000</u> If the code adopted by is "2, adoption by relative" element #30 is set to "applies."

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating Factor	Findings/Notes
0=Does not apply 1=Yes, Applies		<u>Data Quality</u> <i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS.
31. Relationship to Adoptive Parent -Foster Parent 0=Does not apply 1=Yes, Applies	2	<u>Program Code 3030 and 4000</u> If the code adopted by is "3, adoption by foster family" element #31 is set to "applies." <u>Data Quality</u> <i>Case File Review Findings:</i> 5 (39%) of the records analyzed did not match what was reported in AFCARS.
32. Relationship to Adoptive Parent -Other Non-relative 0=Does not apply 1=Yes, Applies	2	<u>Program Code 3030 and 4000</u> If the code adopted by is "4, adoption by other non-relative" element #32 is set to "applies." <u>Data Quality</u> <i>Case File Review Findings:</i> 4 (31%) of the records analyzed did not match what was reported in AFCARS.
33. Child was placed from 1=Within State or Tribal Service Area 2=Another State or Tribal Service Area 3=Another Country	1	<u>Screen</u> There is not a field specifically addressing this element but there are fields for the address of an agency. If the agency is involved in an adoption that was through a private agency, whether the agency was in Oregon, another State, a Tribe or another country DHS should be able to enter the agency's information. The State identified this as an issue in the Change Request Document that needs to be corrected. However, instead of a field being added as suggested in the CR, the program code may need to be modified instead to check an agency address field. If the child identified for reporting in the Adoption file was in the State's foster care system, then the element would be set to "1." If the child was placed for adoption through a private agency, the address of the agency would determine the response of either a 1, 2, or a 3. <u>Program Code Para. 2200 and 4000</u> The extraction code uses the region code associated with the child's pre-adoption case to determine where the child was placed from. However, this is the original routine provided by the vendor and the values used to identify another State, a Tribe, or another country are no longer on the county drop-down list. See the notes for the screen. This element remains rated a "1" but it may actually be a "2."
34. Child was placed by 1=Public agency 2=Private agency 3=Tribal Agency 4=Independent person 5=Birth parent	3	<u>Screen: Adoption Referral/General</u> There are six tabs to the Adoption Referral screen: General, Birth Parents, Background, Placement, Child Summary, and Matches. The General tab has the field "This Child Placed By" and the options are: Birth parent, child placed by DHS, Independent person, Private agency/not under contract with DHS, Private agency/under contract with DHS, and Tribal agency. The Federal does not have copies of the individual Adoption Referral screen tabs.

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating Factor	Findings/Notes
		<p><u>Data Quality</u> All records were reported as "public agency." Since there are issues noted with identifying and correctly reporting private agency adoptions, this element is rated a "3."</p> <p><u>Program Code Para. 3040 and 4000</u> The information is extracted from the adoption referral screen. The State's values are mapped correctly to the corresponding AFCARS value. Any other value is set to blank.</p>
<p>35. Is the Child Receiving a Monthly Subsidy?</p> <p>1=Yes 2=No</p>	2	<p><u>Screen: Agreement</u> There is a section "Agreement Information." One of the fields is Subsidy Type. The options are: Blank, Agreement Only, Medical Only, No Agreement Requested, Subsidy and Medical, and Subsidy only.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 479 (99%); No = 5 (1%) <i>2013B (n=394):</i> Yes = 373 (95%); No = 21 (5%)</p> <p><u>Program Code</u> The extraction code looks for an agreement amount and a funding source on the payment and related eligibility date and reimbursement fund tables for the child where the payment begin date is within the reporting period, the amount requested is greater than zero, the reimbursement fund source matches the eligibility date fund source and the payment type is either "G" or "C" (code values not found). If these conditions are met the value of element #35 is set to "1" (yes).</p> <p>The vendor had the routines swapped for AD35 and 36. The State's plan is to use the service screen for this element. The State may also want to consider using the Agreement screen noted above.</p>
36. Monthly Amount	2	<p><u>Screen: Agreement</u> There is a field for the Agreement Total.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There are 444 records reported with no payment amount. <i>2013B (n=394):</i> There were 323 records reported as a zero payment.</p> <p><i>Case File Review Findings:</i> 11 (85%) of the records analyzed did not match what was reported in AFCARS. In one error case the amount found in the adoption agreement was different than the amount reported to AFCARS. In two error cases the AFCARS file indicated all zeroes but the reviewer found an amount. In eight cases this element and element 37 were both incorrect. The reviewers found an amount.</p>

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code</u> This code is identical to that performed for foster care element #66, which is not the correct method for determining this element. Also, the routine checks medical eligibility. See the note in element #35 regarding the vendor.</p> <p>The program code needs to check the field on the agreement screen for the amount of the subsidy agreed upon by the agency and the family. The amount reported should be the amount in affect as of the date of the adoption.</p>
<p>37. Is the Child receiving a title IV-E adoption subsidy?</p> <p>1=Yes 2=No</p>	2	<p><u>Screen:</u> The State provided a partial copy of the screen used to determine adoption assistance eligibility. See notes in adoption element 9.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 24 (5%); No = 460 (95%) <i>2013B (n=394):</i> Yes = 53 (13%); No = 341 (87%)</p> <p><i>Case File Review Findings:</i> 8 (62%) of the records analyzed did not match what was reported in AFCARS. In all eight cases this element and element 37 were both incorrect. The reviewers found that the response should have been "yes" instead of "no."</p> <p><u>Program Code</u> If the child is not receiving a monthly subsidy (#35 = "no") this element is set to "no."</p> <p>If AD35 is "yes," then medical eligibility is checked by reading the medical eligibility table where eligibility status is: "Adoption Subsidy and TXIX/State Medicaid" (1), "Adoption Subsidy" (2), "Medical Subsidy" (3), "IV-E subsidy" (5), or "Legal Subsidy One-Time Only" (7), the completed flag is "Y," the completed date is not null and the date effective is less than or equal the report period end date, the end date is null or within the reporting period.</p> <p>If the eligibility status returned is greater than zero, then zero is moved to #36 and element #37 is set to "no." Otherwise the program checks for a requested amount on the payment and related eligibility dates and reimbursement fund source tables. If found, the value of #37 is set to "yes." If not found it is set to "no"</p> <p>The routine is incorrect and may be the cause of the errors found in the case review.</p>

Section 3

Case File Review

Case File Summary Report

State: Oregon

Background

The purpose of the case file review is to assess the accuracy of the data reported to AFCARS by comparing what was reported to what is found in the child's paper file. A sample of 80 foster care records and 30 adoption records is selected from the most recent AFCARS report period prior to the onsite review. . The AFCARS data submitted to the Children's Bureau for each record is then compared to information found in the paper case file. The process involved all members of the State and Federal teams, technical and program. Additionally, the State incorporated field staff, including supervisors and staff from training units, etc., as part of the State team for the purpose of reviewing cases.

For States that have converted from an older information system (or a paper recordkeeping method) to a new electronic case file, the case file review process identifies any issues with the accuracy of the data due to conversion. The information that is submitted to AFCARS should reflect what is in the paper case records. The case file review is the only means for the Federal team to assess the accuracy and the level of completeness of the State's conversion process from a paper or legacy system to its new information system.

The Children's Bureau recognizes for those States that chose to implement a statewide case management system (both SACWIS and non-SACWIS models) there will be far less data in the paper file since the electronic case management system is the official record. However, there are some documents that may not be part of the State's information system, such as medical reports, court reports, home studies, etc. These documents usually provide a significant amount of the information for the case file reviewers. Additionally, this process identifies issues related to timely data entry as well as how well the system is being used to record information on each case.

The Children's Bureau has found that while there may be challenges to identifying the information in the paper file, the process provides very valuable information to the review teams. The findings often provide additional information that increases the Federal team's understanding of the data reported to AFCARS. Also, this process allows the review team to assess how well records are being kept up-to-date, the accuracy of the AFCARS data, and usage of the State's information system. Typically, this process does not identify new problems, but confirms findings from the other components of the AAR.

Since the case file review is the only means to assess conversion, the cases selected for the review were primarily those in which the most recent removal date, or the first removal date, precedes the date the State's system went operational. If the State phased in its operational status, then the sample may reflect these dates.

Summary

This summary report provides information on the number of cases selected in the sample, the number of cases reviewed, and any relevant general information regarding the analysis of the

Case File Summary Report
State: Oregon

results. The matrices that follow provide detailed findings. There are six columns in the matrices, they are:

- AFCARS Element - This is the name of each AFCARS element with the corresponding values.
- Data in AFCARS Matches Paper File - The number of records in which the reviewer found that the data submitted to AFCARS matched what was found in the paper file.
- Data in AFCARS Does Not Match Paper File - The number of records in which the reviewer found that the data submitted to AFCARS did not match what was found in the paper file.
- Questionable - The number of records where either the reviewer was not sure whether the data were the correct or based on final analysis there was some type of inconsistency between what was reported and what was noted by the reviewer. Comments are provided in the comment column for these situations.
- Not Found - Indicates that the reviewer was not able to locate the information pertaining to the element in the paper file. This can either be due to a missing file or sections of the file, or the data are now only recorded in the information system and there are no paper documents with the data. This is not considered a negative finding.
- Comments - This column includes findings regarding the errors that were identified in the column “Data in AFCARS Does Not Match Paper File” as well as any other pertinent information pertaining to the element and the findings.

Foster Care

Number of Cases in Sample	80
Number of Cases Reviewed	65
Number of Cases Analyzed	65

Date of Most Recent Periodic Review (if applicable)

There were several errors with the dates; ranging from the element being blank but a review was held to incorrect dates reported. In four error cases the date reported to AFCARS did not represent a periodic review. In two error cases the reviewers found a permanency hearing that was not reported and the date was after the date reported to AFCARS.

Child’s Race

In three error cases the reviewers found an additional race (white (1) and American Indian (2)). In one error case, the response should have been “white” instead of “unable to determine.” There was no indication that the parent’s had declined to provide the information.

Child’s Hispanic or Latino Ethnicity

In three error cases the response should have been “no” instead of “unable to determine. There was no indication the parent’s declined to provide the information. In one error case the response should have been “yes” instead of “no.” In the third error case, the response should have been “no” instead of “yes.”

**Case File Summary Report
State: Oregon**

Has the Child Been Clinically Diagnosed with a Disability(ies)?

In 22 error cases the response in the AFCARS files was “not yet determined.” In 10 cases the response should have been “yes” and in 12, “no.” In the error cases, the child had been in foster care for at least one year and up to six years. In five error cases the response should have been “yes” instead of “no.”

Removal Information (FC18 -21)

There were errors related to incorrect identification of an AFCARS removal episode. One case was incorrect because the child was initially placed with a non-custodial parent (there was never a foster care placement). The first removal from home date (FC18) reflected this situation. Elements 19 and 20 were also incorrect because the child had returned to the previous (custodial) parent and was later removed and placed into foster care. This error case should have indicated the child had only one removal episode.

In two error cases the child had a removal episode that was less than 24 hours included in the AFCARS report. In one of the cases, the start and end dates of the removal episode was on the same day. Also, in this cases it appears the agency only had protective supervision at the time and not placement and care authority. In both error cases, the AFCARS report indicated the child had two removals from home instead of one.

There was one error case of a child that had been in foster care in Oregon, adopted, and then re-entered foster care. Element #18, 19, and 20 were incorrect because the AFCARS file did not include the child’s prior removal history.

Date of Placement in Current Foster Care Setting

The majority of the errors were due to the date that a placement of “trial home visit” not reported to AFCARS. Three cases were in error because the date reflected a change in the status of the home and not a placement move. There were three error cases that the day reported to AFCARS was a day later than the actual placement date.

Number of Previous Placement Settings during this Removal Episode

In six error cases the reviewer identified more placements than what was reported to AFCARS. In four error cases the reviewer identified fewer placements than what was reported to AFCARS. One case it was not clear if the placement moves were on the same campus.

Adoption

Number of Cases in Sample	20
Number of Cases Reviewed	19
Number of Cases in Analyzed	13

Six cases were removed from the final analysis because in each case the reviewer had marked the child’s date of birth as incorrect. Further review of the cases indicated several other errors marked by the reviewers. It appears that the wrong file was sent or the reviewer was reviewing information on the wrong child and not the child that was in the sample.

Case File Summary Report
State: Oregon

There were several records that the analysis was incomplete because the complete case file was not sent to the review site. This included the legal information as well as information on the adoptive parents.

The area with the most significant errors was in adoption elements 29 - 32, relationship between the child and the adoptive parents. The State is only reporting one of the categories and not all that are applicable. The relationship with the most errors, indicating the relationship should have been selected, was for foster parents.

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
5. Date of Most Recent Periodic Review (if applicable)	49	13	3	0	<p>In one record the AFCARS field was blank but the reviewer found a date for a periodic review.</p> <p>In four error cases the date reported to AFCARS did not represent a periodic review.</p> <p>In two error cases the reviewers found a permanency hearing that was not reported and the date was after the date reported to AFCARS.</p> <p>In three error cases the date found by the reviewer was earlier than the one reported to AFCARS. In one the date was three days earlier, one was six days earlier and the other was two months earlier.</p>
6. Date of Birth	64	1	0	0	The child's year of birth was actually a year later than the date reported to AFCARS.
7. Sex 1 = Male 2 = Female	65	0	0	0	
8. Child's Race 0=No 1=Yes a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	61	4	0	0	<p>In three error cases the reviewers found an additional race (white (1) and American Indian (2)).</p> <p>In one error case, the response should have been "white" instead of "unable to determine." There was no indication that the parent's had declined to provide the information.</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
9. Child's Hispanic or Latino Ethnicity 1 = Yes 2 = No 3 = Unable to Determine	60	5	0	0	In three error cases the response should have been "no" instead of "unable to determine. There was no indication the parent's declined to provide the information." In one error case the response should have been "yes" instead of "no." In one error case the response should have been "no" instead of "yes."
10. Has the Child Been Clinically Diagnosed with a Disability(ies)? 1 = Yes 2 = No 3 = Not Yet Determined	38	27	0	0	In 10 error cases the response should have been "yes" instead of "not yet determined." In 12 error cases the response should have been "no" instead of "not yet determined." For both instances in which the response in AFCARS was "not yet determined," the child had been in foster care for at least one year and up to six years. In five error cases the response should have been "yes" instead of "no."
11. Mental Retardation 0 = Condition Does Not Apply 1 = Condition Applies	64	1	0	0	In the error case the response should have been "condition applies" instead of "condition does not apply."
12. Visually or Hearing Impaired 0 = Condition Does Not Apply 1 = Condition Applies	65	0	0	0	
13. Physically Disabled (Child)	64	1	0	0	In the error case the response should have been

AFCARS Assessment Review Case File Findings: Foster Care Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
0 = Condition Does Not Apply 1 = Condition Applies					"condition applies" instead of "condition does not apply."
14. Emotionally Disturbed (DSM-IV)	50	15	0	0	In 13 error cases the response should have been "condition applies" instead of "condition does not apply." In two error cases the response should have been "condition does not apply" instead of "condition applies."
15. Other Medically Diagnosed Conditions Requiring Special Care 0 = Condition Does Not Apply 1 = Condition Applies	61	4	0	0	In the error cases the response should have been "condition applies" instead of "condition does not apply."
16. Has this Child Ever Been Adopted? 1 = Yes 2 = No 3 = Unable to Determine	61	4	0	0	In the error cases the response should have been "no" instead of being left blank.
17. If Yes, How Old was the Child when Adoption was Legalized? 0 = Not Applicable 1=less than 2 years old 2=2-5 years old 3=6 to 12 years old 4=13 years or older 5 = Unable to Determine	60	5	0	0	In four error cases the response should have been "not applicable" instead of being left blank. In one error case the wrong age category was reported.
18. Date of First Removal from Home	58	6	1	0	One case was in error because the child had been adopted previously and the removal information did not include the child's prior history (FC18 - 20). The

AFCARS Assessment Review Case File Findings: Foster Care Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					<p>reviewer did not have the child's complete file, including the legal file, and was not able to determine the exact date of the first removal from home.</p> <p>One case was incorrect because the child was initially placed with a non-custodial parent (there was never a foster care placement). The first removal from home date (FC18) reflected this situation. The child did later enter foster care and so that was the date that should have been reported for FC18.</p> <p>There were two error cases due to removal episodes that were less than 24 hours. In one case, the dates reported to AFCARS for FC18 and 20 were the same date. The reviewer confirmed the dates. (There was a subsequent removal episode after this one.) In the other error case, the dates in FC18 and 20 were one day apart but the reviewer noted the length of time was less than 24 hours. There was a subsequent removal in this case as well.</p> <p>In one error case, it appears there was a data entry error. The date reported was 10 days after the actual removal date.</p> <p>In one error case, the actual physical removal date was the day prior to the one reported to AFCARS.</p>
19. Total Number of Removals from Home to Date	59	5	1	0	Two error cases were related to children who were in foster care and then placed with a non-custodial parent. In one case, the child had two removal

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					<p>episodes instead of the one reported to AFCARS. When the child was placed into foster care again, this was a second removal. In the other case, the child had four instead of three removal episodes.</p> <p>There was one case that should have had less removal episodes (1 instead of 2) because the first "episode" was a placement with the non-custodial parent.</p> <p>There were two error cases due to removal episodes that were less than 24 hours and the number of removals included the episode that was less than 24 hours.</p>
20. Date Child was Discharged from Last Foster Care Episode	54	8	2	1	<p>In one error case the reviewer found a later date than the one reported to AFCARS. It was four and half months after the AFCARS date.</p> <p>Two error cases were related to children who were in foster care and then placed with a non-custodial parent. The date the child was placed with the non-custodial parent is considered the date of discharge for AFCARS purposes.</p> <p>Another case that was in error was related to the case of the child whose first "episode" was with a non-custodial parent.</p> <p>There were two error cases due to removal episodes that were less than 24 hours. The date the child returned home was reported for this element.</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					<p>In one error case, FC18, 19 and 21 were correct. The date in FC18 and 21 were the same date, the count indicated one removal but there was a date reported to this element. The reviewer noted that there was a case of siblings who entered foster care but the child in the review sample was placed with a non-custodial parent. This date was what was reported in FC20.</p> <p>In one error case the reviewer found that the agency's placement and care responsibility was terminated two months later than the date reported for this element. The child had been placed in their own home (trial home visit) under the agency's responsibility and this was four months prior to the date noted by the reviewer as the end of the agency's care and placement responsibility.</p> <p>In one case, since there was no information on whether the periodic review was conducted in court or not, the case was marked questionable for the date. The child had been placed in their own home under the agency's responsibility (trial home visit) and the date reported to AFCARS was six months from this date. However, the agency's placement and care did not end for another seven months.</p>
21. Date of Latest Removal from Home	59	5	1	0	<p>One error case is the child who had been removed from his father, placed into foster care then placed with his mother. The date the child was removed from his mother and placed into foster care should have been reported for FC21.</p> <p>There were two error cases due to removal episodes that were less than 24 hours. In one</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					<p>case, the dates reported to AFCARS for FC18 and 20 were the same date. The reviewer confirmed the dates. (There was a subsequent removal episode after this one.) In the other error case, the dates in FC18 and 20 were one day apart but the reviewer noted the length of time was less than 24 hours. There was a subsequent removal in this case as well.</p> <p>In one error case, it appears there was a data entry error. The date reported was 10 days after the actual removal date.</p> <p>In one error case, the actual physical removal date was the day prior to the one reported to AFCARS.</p>
23. Date of Placement in Current Foster Care Setting	42	18	2	0	<p>There were three error cases that the day reported to AFCARS was a day later than the actual placement date.</p> <p>There was one error record that the actual placement date was three days earlier than the date reported to AFCARS.</p> <p>There were 10 records in error because the date the child began a "trial home visit" was not reported to AFCARS.</p> <p>Three cases were in error because the date reflected a change in the status of the home and not a placement move.</p> <p>One case was in error because the child was placed with a non-custodial parent.</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
24. Number of Previous Placement Settings During this Removal Episode	52	10	2	1	In six error cases the reviewer identified more placements than what was reported to AFCARS. In four error cases the reviewer identified fewer placements than what was reported to AFCARS. One case it was not clear if the placement moves were on the same campus.
25. Manner of Removal from Home for Current Removal Episode 1 = Voluntary 2 = Court Ordered 3 = Not Yet Determined	63	2	0	0	In one error case the response should have been "court ordered" instead of "voluntary." In one error case the response should have been "voluntary" instead of "court ordered."
Actions or Conditions Associated With Child's Removal 0=Does not Apply 1=Applies 26. Physical Abuse (alleged/reported)	59	6	0	0	The response should have been "applies" instead of "does not apply."
27. Sexual Abuse (alleged/reported)	61	4	0	0	In three error cases the response should have been "applies" instead of "does not apply." In one error case the response should have been "does not apply" instead of "applies."
28. Neglect (alleged/reported)	52	13	0	0	The response should have been "applies" instead of "does not apply."
29. Alcohol Abuse (parent)	61	4	0	0	The response should have been "applies" instead of "does not apply."
30. Drug Abuse (parent)	53	12	0	0	In 10 error cases the response should have been "applies" instead of "does not apply."

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					In two error cases the response should have been "does not apply" instead of "applies."
31. Alcohol Abuse (child)	60	5	0	0	The response should have been "does not apply" instead of "applies."
32. Drug Abuse (child)	62	3	0	0	The response should have been "applies" instead of "does not apply."
33. Child's Disability	62	3	0	0	The response should have been "applies" instead of "does not apply."
34. Child's Behavior Problem	56	9	0	0	The response should have been "does not apply" instead of "applies."
35. Death of Parent(s)	65	0	0	0	
36. Incarceration of Parent(s)	59	6	0	0	The response should have been "applies" instead of "does not apply."
37. Caretaker's Inability to Cope Due to Illness or Other Reason	54	11	0	0	In nine error cases the response should have been "applies" instead of "does not apply." In two error cases the response should have been "does not apply" instead of "applies."
38. Abandonment	65	0	0	0	
39. Relinquishment	65	0	0	0	
40. Inadequate Housing	62	3	0	0	In two error cases the response should have been "applies" instead of "does not apply." In one error case the response should have been "does not apply" instead of "applies."
41. Current Placement Setting 1 = Pre-Adoptive Home 2 = Foster Family Home (Relative)	57	7	1	0	In two error cases the living arrangement should have been "foster family home (relative)" instead of "foster family home (non-relative)."

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
3 = Foster Family Home (Non-Relative) 4 = Group Home 5 = Institution 6 = Supervised Independent Living 7 = Runaway 8 = Trial Home Visit					In two error cases the living arrangement should have been "foster family home (non-relative)" instead of "foster family home (relative)." In one error case the living arrangement should have been "foster family home (relative)" instead of "trial home visit." In one error case the living arrangement should have been "trial home visit" instead of "foster family home (non-relative)." In one error case the living arrangement should have been "pre-adoptive home" instead of "foster family home (relative)."/>
42. Is Current Placement Setting Outside of the State or Tribal Service Area? 1 = yes 2 = no	65	0	0	0	
43. Most Recent Case Plan Goal 1 = Reunify with Parent(s) or Principal caretaker(s) 2 = Live with Other Relative(s) 3 = Adoption 4 = Long-term Foster Care 5 = Emancipation 6 = Guardianship 7 = Case Plan Goal Not Yet Established	50	14	1	0	In two error cases the case plan goal reported was "not yet established." In both instances the child had been in foster care for more than 60 days. In one case, the child was in care for five months and then discharged to the legal custody of the parents. In both cases the goal reported should have been "reunify with parent(s) or principal caretaker(s)." In six error cases the case plan goal should have been "emancipation" instead of "long-term foster care." In one error case the case plan goal should have been "guardianship" instead of "reunify with

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					<p>parent(s) or principal caretaker(s)."</p> <p>In one error case the reviewer noted the case plan goal should have been "permanent guardianship" instead of "reunify with parent(s) or principal caretaker(s)."</p> <p>In one error case the case plan goal should have been "adoption" instead of "reunify with parent(s) or principal caretaker(s)."</p> <p>In one error case the case plan goal should have been "reunify with parent(s) or principal caretaker(s)" instead of reported as blank.</p> <p>In one error case the case plan goal should have been "case plan goal not yet established" instead of reported as blank.</p>
<p>44. Caretaker Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male 5 = Unable to Determine</p>	57	8	0	0	<p>In two error cases the response should have been "single female" instead of "unmarried couple."</p> <p>In two error cases the response should have been "unmarried couple" instead of "single female."</p> <p>In two error cases the response should have been "unmarried couple" instead of "married couple."</p> <p>In one error case the response should have been "married couple" instead of "single female."</p> <p>One error case is the child who had been removed from his father, placed into foster care then placed with is mother. The mother's marital status should have been reported for this element.</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
45. Year of Birth (1 st Principal Caretaker)	64	0	0	1	The questionable case is the child who had been removed from his father, placed into foster care then placed with is mother. The mother's birth year should have been reported for this element.
46. Year of Birth (2 nd Principal Caretaker - if applicable)	57	7	1	0	In three error cases the AFCARS field was blank but there should have been a birth year. In two error cases the response should have been a blank and not a birth year. In two error cases the wrong year was reported.
47. Date of Mother's Parental Rights Termination (if applicable)	62	3	0	0	In one error case the date of parental rights termination should have been two months earlier.
48. Date of Legal or Putative Father's Parental Rights Termination (if applicable)	61	4	0	0	In two error cases an earlier date was found.
49. Foster Family Structure 0=Not Applicable 1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male	60	3	1	1	In one error case the response should have been "married couple" instead of "not applicable." In one error case the response should have been "married couple" instead of "single female." In one error case the response should have been "single female" instead of "married couple."
50. Year of Birth (1 st Foster Caretaker)	57	4	3	1	In three error cases the wrong year was reported. One had the year 1911. In one error case the field was blank but the child was in a foster home setting (FC49 was reported as "trial home visit").
51. Year of Birth (2 nd Foster	57	4	3	1	In one error case the AFCARS field was blank but

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
Caretaker)					the reviewer found the child was a foster home and not on a trial home visit. In one error case the wrong year was reported. In one error case field should have been blank instead of the year 1911. In one error case the field should have been blank.
52. Race of 1 st Foster Caretaker a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	49	6	9	1	In four cases the reviewers found the race should have been "white" instead of "unable to determine." In two cases the reviewers found the race should have been "Black or African American" instead of "unable to determine." There were no indications the foster parent had declined to give their race information.
53. Hispanic or Latino Ethnicity of 1 st Foster Caretaker 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	38	15	9	3	In two cases the reviewer noted the foster parent declined to give this information but the AFCARS response was "no" instead of "unable to determine." In two cases the reviewers found the response should have been "no" instead of "unable to determine." There were no indications the foster parent had declined to give the information. In 11 error cases the response should have been "not applicable" instead of blank.
54. Race of 2 nd Foster Caretaker (if applicable) a. American Indian or Alaska Native b. Asian	44	11	9	1	In five error cases the response in FC49 was a single foster parent but there was a race reported for this element. In one case the reviewers found the race should

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine					<p>have been "Native Hawaiian or Other Pacific Islander" instead of "unable to determine."</p> <p>In one error case, the reviewer found that the marital status in FC49 should have been "married couple" instead of "single female."</p> <p>In four cases the reviewers found the race should have been "white" instead of "unable to determine."</p> <p>In one case the reviewer found the race should have been "Black or African American" instead of "unable to determine."</p>
<p>55. Hispanic or Latino Ethnicity of 2nd Foster Caretaker (if applicable)</p> <p>0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine</p>	30	24	9	2	<p>In 16 cases the response should have been "not applicable" instead of blank.</p> <p>In two error cases the reviewer noted the foster parent declined to give this information but the AFCARS response was "no" instead of "unable to determine."</p> <p>In one error case the response should have been "yes" instead of blank.</p> <p>In three cases the response was "no" and it should have been "not applicable" because the response reported in FC49 was a single foster parent.</p> <p>In two error cases the response should have been "not applicable" instead of "unable to determine." The response in FC49 was a single female.</p> <p>In one error case the response should have been</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					"no" instead of "unable to determine."
56. Date of Discharge from Foster Care	57	7	0	1	<p>In one error case the date found was later than the one reported to AFCARS.</p> <p>In one error case the element was blank but the child was discharged from the agency's responsibility for placement and care during the report period.</p> <p>In two error cases the youth turned 18 and based on element #59 was not receiving title IV-E funds. The element was blank instead of the youth's 18th birthday.</p> <p>In one error case the date reported was not the youth's 18th birthday (youth not receiving title IV-E funds).</p> <p>In one error case a date was reported and the field should have been blank. The response in FC41, living arrangement, indicated "trial home visit" but the reviewer found the child was in the foster home of a relative as of the end of the report period.</p> <p>In one error case the actual date of discharge was three months earlier than the date reported to AFCARS.</p>
58. Reason for Discharge 0 = Not Applicable 1 = Reunification with Parent(s) or Primary Caretaker(s) 2 = Living with Other Relative(s)	59	5	0	1	<p>In one error case the response should have been "emancipation" and not reunification. The youth turned 18, was not receiving title IV-E funds. The date reported was after the youth's 18th birthday.</p> <p>In two cases the response should have been</p>

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon
Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Transfer to Another Agency 7 = Runaway 8 = Death of Child					<p>"emancipation" instead of "not applicable." The youth turned 18.</p> <p>In one error case the response should have been "reunification" instead of "not applicable."</p> <p>In one error case the response should have been "not applicable" instead of having an outcome. The child was still in the agency's responsibility for placement and care.</p>
Source(s) of Federal Financial Support/assistance for Child: 59 - 65 0-Does not apply 1-Applies 59. Title IV-E (Foster Care)	37	1	27	0	In the error case the response should have been "applies" instead of "does not apply."
60. Title IV-E (Adoption Assistance)	63	2	0	0	In the error case the response should have been "does not apply" instead of "applies."
61. Title IV-A	39	0	26	0	
62. Title IV-D (Child Support)	38	1	26	0	In the error case the response should have been "applies" instead of "does not apply."
63. Title XIX (Medicaid)	39	0	26	0	
64. SSI or Other Social Security Benefits	39	0	26	0	
65. None of the Above	38	1	26	0	In the error case the reviewer found an additional source of income besides the ones listed for AFCARS. The response should have been "applies."
66. Amount of Monthly Foster Care Payment	20	6	39	0	In one case the amount reported to AFCARS was zeroes but the child had been in the same foster

AFCARS Assessment Review Case File Findings: Foster Care Elements
State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (2013A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					home since entering foster care. In five error cases the reviewer determined the amount was incorrect.

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (13A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
5. Child's Date of Birth	13	0	0	0	
6. Sex 1=Male 2=Female	13	0	0	0	
7. Child's Race 0=No 1=Yes a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	10	2	1	0	In the two error cases an additional race was identified by the reviewers. In one, Black or African American should have been selected and in the other Asian.
8. Child's Hispanic or Latino Ethnicity 1=Yes 2=No 3=Unable to determine	11	1	1	0	The error case indicated "unable to determine" in the AFCARS file but the reviewer found no indication that the parent/child had declined to provide the information or that the child was a Safe Haven infant.
9. Has the title IV-E agency determined that the child has special needs? 1=Yes 2=No	10	0	3	0	
10. Primary Factor or Condition for Special Needs	9	1	3	0	The error case indicated "medical conditions or mental, physical or emotional disabilities." However, it was not found that the child had an actual health

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

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Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
0=Not applicable 1=Racial/Ethnic Background 2=Age 3=Membership in a Sibling Group 4=Medical conditions or Mental, Physical or Emotional Disabilities 5=Other					condition but was at-risk of future health issues. The response based on this information should have been "other."
11. Type of Disability-Mental Retardation	12	0	0	1	In one case the child's diagnosis was developmentally delayed but the reviewer did not note if it was cognitive, physical, or both.
12. Type of Disability-Visually or Hearing Impaired	13	0	0	0	
13. Type of Disability-Physically Disabled	12	0	0	1	In one case the child's diagnosis was developmentally delayed but the reviewer did not note if it was cognitive, physical, or both.
14. Type of Disability-Emotionally Disturbed	13	0	0	0	
15. Type of Disability-Other Medically Diagnosed Condition Requiring Special Care	11	2	0	0	Response was "applies" because element #10 was incorrectly reported. The response should have been "does not apply." In the other error case the response should have been "does not apply" instead of "applies." The response in element 10 was "age."
16. Mother's Year of Birth	12	1	0	0	The wrong year was reported.
17. Father's Year of Birth	12	1	0	0	The AFCARS response was blank but the reviewer found a year of birth.
18. Was the Mother married at the time of the child's birth? 1=Yes	10	2	0	1	In the two error cases the response should have been "yes" instead of "no." In the questionable cases, the response in

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (13A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
2=No 3=Unable to determine					AFCARS was "unable to determine" but the reviewer could not find any information to support the response.
19. Date of Mother's Termination of Parental Rights	6	1	6	0	The reviewer found the date of TPR for the mother was earlier than the date reported to AFCARS (a week earlier).
20. Date of Father's Termination of Parental Rights	7	1	5	0	The reviewer found the date of TPR for the father was earlier than the date reported to AFCARS (a week earlier).
21. Date Adoption Legalized	2	0	11	0	
22. Adoptive Parents' Family Structure 1=Married couple 2=Unmarried couple 3=Single female 4=Single male	10	0	3	0	
23. Adoptive Mother's Year of Birth	7	0	6	0	
24. Adoptive Father's Year of Birth	7	0	6	0	
25. Adoptive Mother's Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	6	2	5	0	In one error case the AFCARS report indicated "unable to determine" was reported as "yes" but the reviewer found the mother's race as "white." In the other error case the race should have been "Asian" instead of "white."
26. Adoptive Mother's Hispanic Origin	6	2	5	0	In the two error case the response should have been "no" instead of "unable to determine."

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (13A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
0=Not Applicable 1=Yes 2=No 3=Unable to determine					
27. Adoptive Father's Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	4	3	5	0	In two error cases the response in AD22 was "single female." The adoptive father's race fields were not blank and had "unable to determine" marked as "yes." In the other error case the race should have been "Asian" instead of "white."
28. Adoptive Father's Hispanic Origin 0=Not Applicable 1=Yes 2=No 3=Unable to determine	4	3	5	0	In two error cases the response in AD22 was "single female." The adoptive father's Hispanic Origin was reported as "unable to determined" instead of "not applicable." In the other error case the response should have been "no" instead of "unable to determine."
29. Relationship to Adoptive Parent-Stepparent 0=Does not apply 1=Yes, Applies	9	0	4	0	
30. Relationship to Adoptive Parent - Other Relative 0=Does not apply 1=Yes, Applies	7	1	5	0	The response should have been "applies" instead of "does not apply." This was an additional relationship identified between the child and the adoptive parents. In the cases that the reviewers could not verify the

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (13A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					information, all only had one relationship between the child and the adoptive parent identified.
31. Relationship to Adoptive Parent - Foster Parent 0=Does not apply 1=Yes, Applies	4	5	4	0	The responses should have been "applies" instead of "does not apply." This was an additional relationship identified between the child and the adoptive parents.
32. Relationship to Adoptive Parent - Other Non-relative 0=Does not apply 1=Yes, Applies	4	4	5	0	The responses should have been "applies" instead of "does not apply." This was an additional relationship identified between the child and the adoptive parents.
33. Child was placed from 1=Within State or Tribal Service Area 2=Another State or Tribal Service Area 3=Another Country	13	0	0	0	
34. Child was placed by 1=Public agency 2=Private agency 3=Tribal Agency 4=Independent person 5=Birth parent	13	0	0	0	
35. Is the Child Receiving a Monthly Subsidy? 1=Yes 2=No	13	0	0	0	
36. Monthly Amount	2	11	0	0	In one error case the amount found in the adoption agreement was different than the amount reported

AFCARS ASSESSMENT REVIEW CASE FILE FINDINGS: Adoption Elements

State: Oregon

Report Period Reviewed: October 1, 2012 - March 31, 2013 (13A)

Data Element	Data In AFCARS Matches Case File	Data In AFCARS Does Not Match Paper File	Not Found	Questionable	Notes
					to AFCARS. In two error cases the AFCARS file indicated all zeroes but the reviewer found an amount. In eight cases this element and element 37 were both incorrect. The reviewers found an amount.
37. Is the Child receiving a title IV-E adoption subsidy? 1=Yes 2=No	5	8	0	0	In all eight cases this element and element 37 were both incorrect. The reviewers found that the response should have been "yes" instead of "no."

Tab B

AFCARS Improvement Plan

Section 1: General Requirements

Section 2: Foster Care and Adoption Elements

IMPROVEMENT PLAN INSTRUCTIONS

The Improvement Plan is the working document for recording progress on each task by the State, comments, and the Children's Bureau's response. It is to reflect the history of the improvement plan phase with all related notes, approvals, questions, etc. An electronic copy of the document will be e-mailed to the State once it has received the hard copy of the report.

The State is to provide its initial estimated completion dates for each task within 30 days of receiving the report. The document is then to be emailed to the Federal review team.

In the foster care and adoption data element matrices, the data elements have been organized by rating factors, with data elements receiving a "1" listed first. Elements that received a "4" are not included on the corrective action workplans. However, the State should review the findings document (see Tab A). The data element may contain notes that the State may want to consider in order to more efficiently collect the AFCARS data. Additionally, elements that are related are grouped together in one row, since a change in one element will result in a change to the related elements. In the case where both a system problem (factor #2) and a possible worker entry problem (factor #3) exist, the lower rating factor will be given to the data element. If the system error is corrected, but the worker entry problem still exists, the element will be re-evaluated and given a "3" by the Federal Regional Office. In order for the element to be determined to be in compliance, it must meet the criteria in factor #4.

Each task is numbered. Dates and any comments are to be numbered according to the corresponding task. If a date changes, do not delete it. Instead, use the strike-through function and type in the new date.

The Improvement Plan contains five columns:

Element/Requirement: This column lists every AFCARS adoption and foster care data element, and general requirement with a rating factor of a 1, 2, or 3.

Rating Factor: This is the final rating factor based on the findings for the data element/general requirements.

Findings: This column includes the findings that need corrections.

Tasks: This column includes the actions that must be taken in order to bring the data element/general requirement into compliance with the AFCARS requirements. Some task items may include suggestions for changes and are, therefore, optional items for the State to consider implementing. Each task is numbered.

Estimated/Completed Date: This column is to be used by the State to list the dates by which it intends to complete each action item, and is updated by the State to reflect the actual completion date. The corresponding task number should be included with the date. The State should use "strikethrough" of the old dates when updating information. Once ACF has reviewed changes made by the State, it will list the approval date in this column.

Notes: This column may be used either by the State or the Federal staff to record follow-up notes, etc. This column may also contain follow-up questions of the Federal review team based on post-site visit analysis. The corresponding task number should be included with the note.

Sample

Data Element	Rating Factor	Findings	Tasks	Estimated & Completion Date	Notes
#, element	2	1) Finding	1) Task	1) m/day/yr	1) <u>CB, m/yr</u> : This is a blank example. <u>ST, m/yr</u> : The State made the modifications to the program code at line/section number.

Section 1

General Requirements

AFCARS Assessment Review Improvement Plan: General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
Foster Care Reporting Population						
5	The reporting system includes all children who have or had been in foster care at least 24 hours. (Appendix A to Part 1355--Foster Care Data Elements, Section II--Definitions).	2	<p>Based on the program code and the case file findings this element is rated a "2." The approach of using a service placement instead of entering the record as a removal episode does not guarantee that a record will be entered correctly.</p> <p><u>Screen:</u> Placement The screen does not include fields that would delineate the removal episode as being one that is 24 hours or less.</p> <p><u>Program Code</u> When identifying removal dates (FC18 and 21), the program code checks that the removal (discharge) end date is at least one day more than the removal date.</p> <p><u>Case File Review:</u> There was one case in the sample that had a start and end date on the same day. This was reported in FC18 and 20. Element #19 indicated the child had two removal episodes. There was another record in which the first removal was identified as less than 24 hours and the dates were 1 day apart.</p>	<p><u>Screen/Program Code</u> 1) For the existing process: The program code needs to be modified to flag for follow-up records that have a removal episode start and end date on the same day and those that are a day apart. 1a) If the state does not make changes to the system, then the identified records must be re-entered properly as a service case.</p> <p>2) Also, the State team needs to provide a list of the service placements.</p> <p>3) Recommendations: Add either time fields or a check box that would be selected if the removal episode is 24 hours or less. The program code would then be modified to check the new field to determine if the removal is one that is 24 hours or less and if so, exclude the record.</p>		
6	Foster care does not include children who are in their own homes under the responsibility of the title IV-E agency. (Appendix A to Part	2	<p><u>Program Code</u> Paragraph (Para.) 2100 – Identify AFCARS children Children who are in the title IV-E agency's responsibility for placement and care who were first placed with a</p>	<p><u>Program Code</u> 1) Identify if there is a data entry issue that is occurring and if caseworkers are entering these incorrectly.</p>		

AFCARS Assessment Review Improvement Plan: General Requirements
State: Oregon

No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
	<p>1355--Foster Care Data Elements, Section II—Definitions).</p> <p>A removal is either the physical act of a child being taken from his or her normal place of residence, by court order or a voluntary placement agreement and placed in a substitute care setting, or the removal of custody from the parent or relative guardian pursuant to a court order or voluntary placement agreement which permits the child to remain in a substitute care setting. (CWPM, 1.2B.3 Question #4).</p>		<p>non-custodial parent appear to be included in the reporting population. There were cases in the review sample where the child was either initially placed with a non-custodial parent or was discharged to a non-custodial parent and later re-entered foster care.</p>	<p>2) Modify the program code to exclude records of children whose only placement is with a non-custodial parent.</p>		
7	<p>[The foster care population] includes youth over the age of 18 if a payment is being made on behalf of the child (CWPM, 1.3).</p> <p>A title IV-E agency that exercises the option to extend assistance to youth age 18 or older must collect and report data to AFCARS on all youth receiving a title IV-E foster care maintenance payment. (ACYF-CB-PI-10-11, Issued July 9, 2010).</p>	2	<p>The State has exercised the option to extend title IV-E foster care maintenance payments to youth through age 21. The effective date of the State's title IV-E plan amendment is April 1, 2011 (2011B).</p> <p>At this time, the State's title IV-E program only covers youth who remain in foster care at the time they turn 18. The State is evaluating whether to extend the program for title IV-E funds to cover youth who exit foster care and then later return. If this change occurs, there will be additional items the State will need to address in regard to AFCARS</p>	<p>1) Data files for report periods prior to 2011B (prior to April 1, 2011): Modify the extraction code with logic that will correctly identify records of youth that meet the title IV-E requirements for the State's program prior to April 1, 2011.</p> <p>1a) Prior to 4/1/2011 (up through 3/31/2011) the data file should only include 18 year olds that receive title IV-E.</p> <p>1b) FC56 and 58 addresses the discharge of youth who are 18 and not receiving title IV-E funds.</p>		

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No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
			<p>reporting.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are records with a year of birth with a range from 1968 to 1990 (29 (.26%) records). A review of prior report periods indicates the State has been including records of youth over the age of 18 and 19. There should be no records of youth older than 19 in a report period prior to 2011B.</p> <p><i>Case File Review Findings:</i> There were error cases of youth over age of 18 who are not eligible for IV-E but were included in the reporting population.</p> <p><u>Post-site Corrections:</u> A new procedure (p_load_cm21_afcars_child) excludes records of youth with an age greater than 21. There were no changes made to the program code to ensure only those youth who are receiving title IV-E are included.</p>	<p>The selection logic is not to include these youth for report periods prior to 2011B.</p> <p>1c) FC56 and 58 addresses the discharge of youth who are 18 and were receiving title IV-E funds and how to report the records as discharged when the child is no longer eligible for title IV-E.</p> <p>2) Report periods on or after April 1, 2011 (11B) are to include youth over the age of 18 through age 21 if they are receiving title IV-E foster care maintenance funds.</p> <p>2a) See foster care elements 56 and 58 for reporting the discharge information for these youth.</p>		
8	Include all children who are in the placement, care, or supervision responsibility of the title IV-B/E agency that are on "trial home visits" (CWPM 1.3).	3	The State team indicated caseworkers end trial reunification placements at six months even if the agency has placement and care responsibility and whether or not the case is ordered back to court for review. The State team indicated there is a mixed approach by the counties regarding reviews - some do all their periodic reviews in court and	Implement training/practice guidance to address accurate entry of the end of a removal episode for children who are returned home while still in the agency's responsibility for placement and care. a) If the county/court conducts all periodic reviews in court, then the child's removal episode remains		

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No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
			<p>others have a combination of the CRB and court reviews.</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> There were issues identified in the case file analysis regarding children reported on "trial home visit" and the date of discharge in the majority of the cases was six months after the placement. Reviewers noted the agency's custody was dismissed later. It was not clear in all of the cases whether the county conducted periodic reviews in court or if the judge had ordered the case back to court for a review after a specific time frame.</p>	<p>open until the judge dismisses the agency of care and placement responsibility.</p> <p>b) If there is not a standard practice of conducting all reviews in court: > If the judge does not order the case back to court, and there is no other language by policy or court order specifying the length of time of the "trial reunification," and the child is home for six months, the six month date is used as the discharge date. > If the judge orders the case back into court or there is language in policy or the court order specifying the length of the "trial reunification," then this case is to remain open for AFCARS reporting and the discharge date is the date the agency's responsibility for placement and care ends.</p>		
Adoption Population						
11	For the purposes of adoption reporting, data are required to be transmitted by the title IV-E agency ... on all adopted children for whom the agency is providing adoption assistance (either ongoing or for nonrecurring expenses), care or services directly or by contract or agreement with other private	2	<p><u>Program Code Post-site Corrections:</u></p> <p>1) The program code also checks for the value "AA non DHS pre-finalized."</p> <p>2) Also, the program code checks for a "related payment record" and the payment request date is within the reporting period.</p> <p>The program code now includes the value for non-recurring adoption</p>	<p>1) The State needs to clarify why a pre-finalized private adoption is now included and how this correlates with the check for a date of a final judgment of adoption.</p> <p>2) Clarify why a payment request date is used to identify the reporting population for private agency adoptions in which the State has involvement.</p>		

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	<p>or public agencies. (45 CFR 1355.40(a)(3)).</p> <p>The title IV-E agency must report on all children who are adopted in the State or Tribal service area during the reporting period and in whose adoption the title IV-E agency has had any involvement. ...reports on the following are mandated:</p> <p>(b) All special needs children who were adopted in the State or Tribal service area, whether or not they were in the public foster care system prior to their adoption and for whom non-recurring expenses were reimbursed; and</p> <p>(c) All children adopted for whom an adoption assistance payment or service is being provided based on arrangements made by or through the title IV-E agency. (Appendix B to Part 1355--Adoption Data Elements, Section I).</p>		<p>subsidies for private adoptions. The code also now includes the value for adoptions with an agreement only.</p> <p>See GR14 for selection of regular and subsequent adoption files.</p>			
Technical Requirements						
13	The data must be extracted from the data system as of the last day of the reporting period (45 CFR 1355.40(b)(1)):	3	<p><u>For subsequent files</u></p> <p><u>Program Code:</u></p> <p>The identification of the report period for subsequent submissions is manually updated for each extraction. The extraction logic checks to ensure</p>	Children's Bureau will review foster care and adoption elements for changes.		

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No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
	<p><u>For foster care information [subsequent files]</u>, the child-specific data to be transmitted must reflect the data in the information system when the data are extracted. (45 CFR 1355.40(b)(2)).</p> <p>Report the status of all children in foster care as of the last day of the reporting period. (AFCARS Technical Bulletin #6, Data Extraction)</p>		that selected data is within the report period. However, there are some elements that the program code does not have a routine to either check for a date or that the date is prior to the end of the report period being selected. See foster care and adoption findings for additional details.			
14	<p>The data must be extracted from the data system as of the last day of the reporting period. (45 CFR 1355.40(b)(1)):</p> <p><u>Adoption data [regular or subsequent]</u> are to be reported during the reporting period in which the adoption is legalized or, at the title IV-E agency's option, in the following reporting period if the adoption is legalized within the last 60 days of the reporting period. For a semi-annual period in which no adoptions have been legalized, the title IV-E agency must report such an occurrence.(45 CFR 1355.40(b)(3)).</p>	2	<p><u>Program Code Post-site Corrections - Regular Files</u></p> <p>1) For <u>State agency adoptions</u>, the program code was modified and the adoption match date is no longer used. Instead, the adoption finalization court hearing date is used for State agency adoptions and must be within the report period in order for the record to be reported. This does not address the issue of adoption finalization dates entered after the end of a regular report period for an adoption that occurred within that period. These will be identified if the State submits a subsequent file.</p> <p>2) For <u>private agency adoptions</u>, the program code checks for a payment record and the date of the payment. Because the State is using dates that fall within a report period, a subsequent file will correctly include</p>	<p>1) The program code/system must be modified for regular submission to determine if there are adoptions records that had not been previously included with the prior regular file.</p> <p>2) Clarify why payments (payment request date) are checked and how does this relates to the code using legalization dates? See the notes for GR11.</p>		

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No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
			adoptions that occurred in that report period.			
21	General Data Quality For data to be considered “quality” it must be accurate, complete, timely, and consistent in definition and usage across the entire IV-E agency and State/Tribal service area. The quality of the AFCARS data is assessed by the agency on a regular and continuous basis in order to sustain a high level of quality data. The agency incorporates AFCARS data into its quality assurance/continuous quality improvement plan. The agency involves staff from every level of the organization, and other stakeholders from outside of the agency.		<p>There still is a need for improved data accuracy related to updating records from conversion and accurate data entry.</p> <p>Thirty-one percent of the data elements received a rating factor of “3” and forty-eight percent of the elements are rated a “2.”</p>	<p>1) Describe, develop, and implement a method to ensure the accurate and timely entry of the AFCARS data; including but not limited to supervisory oversight and management reports.</p> <p>1a) In the above plan, address how supervisors ensure accurate data entry.</p> <p>2) Describe how the agency will monitor the accuracy of AFCARS data, including completeness of the data and timely entry of the data, over time.</p> <p>3) Describe how the title IV-E agency utilizes management reports and the data in its analyses. Provide brief examples.</p> <p>4) Describe how the agency will incorporate the information collected in AFCARS as part of its monitoring and quality assurance process in order to ensure accuracy of the data.</p> <p>5) Include system and importance of data quality training in the agency’s training for staff and include in the State’s training plan (in the State’s title IV-B, Child and Family Services Plan and Annual</p>		

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No.	Requirement	Rating Factor	Findings	Tasks	Date	Notes
				Progress and Services Report). 5a) What ongoing training exists for caseworkers regarding the information system?		
22	Data Conversion The information system has the capability of recording historical information, as applicable. This primarily applies to closed cases, if the agency did not convert all cases (open and closed), that re-open after conversion, and these cases must be entered into the system. The title IV-E agency transfers historical information on open cases. Specifically, it includes information on: date of first removal, total number of removals, and whether the child's mother was married at the time of the child's birth. If the case was open at the time of conversion, information on the number of placement settings is included.	3	The data needs to be reviewed to ensure complete conversion of information related to AFCARS data elements. <ul style="list-style-type: none"> The State team indicated there was some conversion issues with the appropriate case plans converting to OR-Kids. Since a periodic review is required every six months, the case plan goals should be reviewed at that time and if not accurate, it should be corrected. There may be data conversion errors that needs to be addressed for AD18 (mother married at time of child's birth) once the mapping is corrected to set the element to blank. 	Provide update(s) on the review of files and completeness of information needed for AFCARS data elements.		

Section 2

Foster Care and Adoption Elements

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Data Element	Rating	Findings	Tasks	Date	Notes
5. Date of Most Recent Periodic Review (if applicable)	2	<p><u>Program Code Paragraph (Para.) 2800</u> There were errors identified in the test cases and in the case file review. It appears that court hearings that are not periodic reviews may be included. It is not clear if this is a data entry issue or due to the second routine used to identify the periodic review.</p> <p><u>Data Quality</u> <i>Frequency Report¹ (n=11,068):</i> There are 167 (1.5%) records with old dates reported for this element. The range is from 1985 through 2011.</p> <p><i>Test Deck:</i> There were dates reported that were court dates but not a periodic review.</p> <p><i>Case File Review Findings:</i> 13 (21%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>1) Remove the routine from the extraction code and identify if this causes other issues.</p> <p>2) Identify if there are other known issues for incorrect periodic review dates.</p>		
6. Date of Birth	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are records with a year of birth with a range from 1968 to 1990 (29 (.26%) records).</p> <p><i>Case File Review Findings:</i></p>	Children's Bureau will review the data based on changes made to the selection logic and FC56 and 58.		

¹ The Frequency Report is based on the data for the 2013A report period.

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Data Element	Rating	Findings	Tasks	Date	Notes
		There was one error case where the child's actual year of birth was a year later than what was reported to AFCARS.			
<p>8. Child's Race 0=No 1=Yes</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	2	<p><u>Screen:</u> Person Management, Basic Tab 1) The State team indicated the value "unknown" cannot be selected in combination with known race values. This is a limit in the Client Index system and not in OR-KIDS.</p> <p>1c) There may also be a mapping issue with whether the persons reported in 8F as unable to determine should be listed as not reported in 8a-8e or if they are included in those elements. NRC staff noted it at</p>	<p><u>Screen</u> 1) If the intent is that this value is the one for the National Youth in Transition Database (NYTD), a person indicates they are multi-racial but do not know all other races, the State may want to change the terminology to something like "multi-racial/other race not known."</p> <p>1a) This value maps to blank in AFCARS. 1b.1) State's suggested resolution: Update the reference values for Race so that they correctly reflect two unknown values - multi-race not known and, 1b.2) incapacitated unknown (confirm exact values with program/reporting staff). -Update mapping for those reference values for AFCARS, NCANDS, NYTD and Client Index so that all report accurately.</p> <p>1c) Children's Bureau (CB) Response: If the response to "f, unable to determine" is "yes," then the responses to the races in "a" through "e" is "no."</p>		<p><u>Screen</u> 1) <u>CB:</u> The options "declined" and "unable to determine" are only available in the first field. The State may want to check the Systems Catalogue developed by the NRC-CWDT and is available from their web page for suggestions from similar systems that collect race in multiple fields.</p>

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>first, but later said they may have misadvised so need clarification here before proceeding with this aspect of the mapping update.</p> <p>2) The menu options on the second field, and presumably on the other race fields, are the same race choices as those in the first field.</p> <p><u>Suggestions</u> The State needs to consider removing “unable to determine” and “unknown” and replace with language suggested in the National Resource Center for Child Welfare Data and Technology’s (NRC-CWDT) AFCARS/NYTD race mapping Tip Sheet.</p> <p><u>Program Code</u> A final checks reset values as follows: 1) If unknown is “yes” and AFCARS “unable to determine” is “no,” then AFCARS “unable to determine” is set to “yes.” This is incorrect.</p> <p>2) If after reading each race field and if each is set to no because a race was not found, then the code sets race to the AFCARS</p>	<p>2) Modify the system to disable a race in the remaining fields once it is selected.</p> <p>3) Modify the system to allow the fields to be left blank if the caseworker does not know, or has not verified with the client, the race of the child.</p> <p><u>Program Code</u> 1) Map “unknown” to blank.</p> <p>2) Modify the program code to set the race fields to blank if no race information is selected.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>value "unable to determine." This is incorrect.</p> <p>3) There are items listed in the ethnicity field that are races and languages.</p>	<p>3) Modify the program code to check the ethnicity field to determine if an ethnicity was selected that needs to be mapped to an AFCARS race value.</p> <p>4) Consider adding a check to the extraction code of the field indicating a child entered care under Safe Haven.</p>		
<p>9. Child's Hispanic or Latino Ethnicity</p> <p>1 = Yes 2 = No 3 = Unable to Determine</p>	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 1,694 (15%); No = 8,541 (77%); Unable to determine = 646 (6%); Not reported = 187 (2%)</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>See notes in FC8 regarding terminology and suggested alternatives.</p> <p>Children's Bureau will monitor the data for improvements.</p>		
<p>10. Has the Child Been Clinically Diagnosed with a Disability(ies)?</p> <p>1 = Yes 2 = No 3 = Not Yet Determined</p>	2	<p><u>Screen:</u> The agency is using the Person Management/Characteristics scree for the recording and extraction of the information related to FC10 - 15 instead of "Medical/Mental Health" screen.</p>	<p>1) Provide guidance and training to caseworkers and supervisors that the data on the Medical/Mental Health screen must be completed and is accurate.</p> <p>2) Determine if the date fields on the Medical/Mental Health screen can be used for FC10-15.</p> <p>2a) If not, then date fields need to be added for each diagnosed condition.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p><u>Program Code</u> 1) This element is extracted from the person record and not the Medical/Mental Health screen.</p> <p>2) The program code does not include logic for dates.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 1,754 (16%); No = 5,412 (49%); Not yet determined = 3,902 (35%); Not reported = 0 <i>Case File Review Findings:</i> 27 (42%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u> 1) Modify the program code to check the information from the Medical/Mental health screen.</p> <p>1a) The routine should check if the child has received a health exam or not, and then if there is a diagnosis that is reportable to AFCARS or not. This may be enough to determine the responses for FC10. If the response for “not yet determined” cannot be determined then an response option needs to be added to the screen.</p> <p>2) Add a check in the program code for the start and end date of diagnoses and if there is at least one that is reportable to AFCARS that is active as of the end of the report period, then this element is “yes.”</p>		
#11 Mental Retardation #12 Visually/Hearing Impaired #13 Physically Disabled #14 Emotionally Disturbed #15 Other Diagnosed Condition		<p><u>Screen:</u> See the notes in FC10 for additional information regarding the collection/reporting of this data.</p>	<p><u>Screen:</u> 1) See FC10.</p> <p>2) State’s identified corrections: Diagnosis codes are available through the DSSURS/MMIS interface. Create a mapping table to match the codes to the AFCARS diagnosed conditions.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
<p>[0 = Does not apply] 1 = Applies</p>		<p><u>Program Code</u> 1) The program code does not check the child's actual diagnosed conditions.</p> <p>2) There are no dates associated with selecting the diagnosed condition flag.</p>	<p><u>Program Code:</u> 1) Modify the program code to check the child's actual diagnosed condition and map it to the correct AFCARS category. For assistance on mapping for some diagnosed conditions see AFCARS Technical Bulletin #2.</p> <p>2) Modify the program code to check the start and end date of a diagnosis to ensure it is active as of the end of the report period.</p>		
<p>16. Has this Child Ever Been Adopted?</p> <p>1 = Yes 2 = No 3 = Unable to Determine</p>	2	<p><u>Screen: Person</u> 1) The options include "declined."</p> <p>2) It does not appear that this field is preset to blank.</p> <p><u>Program Code Para. 2900, 4000, and Para. 4030</u></p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 337 (3%); No = 9,823 (89%); Unable to determine = 187 (2%); Not reported = 721 (7%) <i>Case File Review Findings:</i> 4 (6%) of the records analyzed did</p>	<p><u>Screen</u> 1) Either remove the option or map it to blank. 1a) The State may want to consider using "Safe Haven" in place of declined.</p> <p>2) If blank is not an option for this field, it must be added in order for the field to not be pre-populated with a response.</p> <p><u>Program Code</u> 1) The State needs to determine why the program code is confirming an adoption when the program code finds a "yes" for this element.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		not match what was reported in AFCARS.			
<p>17. If Yes, How Old was the Child when Adoption was Legalized?</p> <p>0 = Not Applicable 1=less than 2 years old 2=2-5 years old 3=6 to 12 years old 4=13 years or older 5 = Unable to Determine</p>	2	<p><u>Screen: Person Management/ Characteristics</u> 1) There is a field "age adopted" with a drop-down list. The options are blank, the AFCARS age categories, not applicable, and unable to determine.</p> <p><u>Program Code Para 2900 and 4000</u> 1) If any value other than "1" through "5" is present the element is mapped to "5" (unable to determine).</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Not applicable = 9,823 (89%); Unable to determine = 19 (.17%); Age categories = 318 (3%); Not reported = 908 (8%) The frequencies between elements #16 and 17 do not match. <i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS. In four error cases the response should have been "not applicable" instead of being left blank. In one error case the wrong age category was reported.</p>	<p><u>Screen</u> 1) If the response to element #16 is yes, "unable to determine" should be disabled.</p> <p><u>Program Code</u> 1) If any value other than 1 – 5 is present, map it to blank. 2) If the response to FC16 is "no," set FC17 to "not applicable." 3) If the family does not know the age of the child at the time of adoption, and is unable to provide an estimated age, then set FC17 to blank.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
18. Date of First Removal from Home	2	<p><u>Program Code Para. 2400 and 4000</u></p> <p>1) The program code checks if the removal end date is at least one day more than the begin date. If the first ever removal from home was an episode that is 24 hours or less, because the worker enters a service code it should not be picked up. However, based on findings of the case file review and other documentation, there needs to be modifications to the system. See GR item 5 for further notes.</p> <p>2) Removal episodes prior to an adoption from the State's foster care system are not included.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991. <i>Case File Review Findings:</i> 6 (11%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u></p> <p>1) The program code needs to be modified according to the changes required for GR5. 1a) Make modifications for the extraction of FC18 as needed based on changes in GR5. For multiple removal episodes, if the first removal from home was 24 hours or less, then the program code must report the start date of the next episode that meets the AFCARS removal criteria.</p> <p>2) Modify the program code/system to report the child's first ever removal even if the child had been adopted from OR's foster care system.</p>		
19. Total Number of Removals from Home To Date	2	<p><u>Program Code: Para. 2420 – 2440 and 4000</u></p> <p>1) There were two error cases from the case file review that the number of removals indicated 2 but the first episode was one that was less than 24 hours.</p> <p>2) If a child was adopted from</p>	<p><u>Program Code</u></p> <p>1) See GR5 for needed modifications and modify the extraction code for the removal count accordingly.</p> <p>2) Modify the program code to include</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>OR's foster care system and re-entered foster care the agency is not correctly including all episodes in the count.</p> <p>3) If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re-enters foster care under the existing court order, this is considered a new removal for AFCARS.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 8,413 records with one removal. <i>Case File Review Findings: 5 (8%)</i> of the records analyzed did not match what was reported in AFCARS.</p>	<p>all removals, including those that occurred prior to an adoption from the State's foster care system.</p> <p>3) Modify the program code to consider the placement back into foster care as a new removal episode and add the date in FC20 and increment the count in FC19.</p>		
20. Date Child was Discharged from Last Foster Care Episode	2	<p><u>Program Code Para. 2600 and 4000</u></p> <p>1) There appears to be a problem with the logic for this element based on the frequency report and the case file review.</p> <p>2) If the prior removal episode only had a placement in a hospital or a locked facility, it is possible that the program code is incorrectly including the end date of that removal episode in this element.</p>	<p><u>Program Code</u></p> <p>1) Evaluate data and extraction code to determine if issue with dates are related to conversion or the extraction logic. Provide results of evaluation and make corrections as necessary.</p> <p>2) Evaluate the program code to determine that dates of discharge or prior episodes that only included a hospital or locked placement is not included. Provide results of evaluation and make corrections as necessary.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>3) The program code/system may need modifications for the proper identification of removal episodes that are 24 hours or less. (See GR5.)</p> <p>4) The program code does not check for information on cases of children who re-enter foster care after a finalized adoption.</p> <p>5) If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re-enters foster care under the existing court order, this is considered a new removal for AFCARS.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are two records with a discharge date of 1985 and 1987. There are 8,347 records reported as blank (indicating the child has not had a previous removal episode) but there were 8,413 records reported in FC19 as having only one removal episode. The number of records with one removal should be the same number reported as blank in this element. <i>Case File Review Findings: 8 (13%) of the records analyzed</i></p>	<p>3) Based on decisions regarding GR5, modify the program code as necessary. The end date of a prior episode that may have been 24 hours or less is not to be included.</p> <p>4) Modify the program code to check for the discharge date of the prior episode that ended in an adoption.</p> <p>5) Modify the program code to consider the placement back into foster care as a new removal episode and add the discharge date in FC20 and increment the count in FC19.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		did not match what was reported in AFCARS.			
21. Date of Latest Removal from Home	2	<p><u>Program Code Para. 2400 and 4000</u></p> <p>1) The program code checks if the removal end date is at least one day more than the begin date; see GR5.</p> <p>2) If the current removal episode for a child began with a placement with a non-custodial parent, and then the child was placed into a foster care setting, the date of removal is to be the date the child was placed in the foster care setting.</p> <p>3) If a child was placed with a non-custodial parent and reported as discharged to AFCARS (see FC56) but re-enters foster care under the existing court order, this is considered a new removal for AFCARS.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991. <i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u></p> <p>1) This check should be removed from the extraction code. If the agency adds a date field or a checkbox in order to identify a 24 hour removal for GR5, then no additional changes are needed for FC21.</p> <p>2) Modify the program code accordingly to ensure the correct removal date is reported.</p> <p>3) Modify the program code to consider the placement back into foster care as a new removal episode</p> <p><u>Data Quality</u></p> <p>1) Once modifications are made to address issues related to the 24 hour removal episodes, records will not be selected and reported for FC21.</p>		
23. Date of Placement in	2	<u>Program Code Para. 2200 and</u>	<u>Program Code</u>		

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Data Element	Rating	Findings	Tasks	Date	Notes
Current Foster Care Setting		<p><u>4000</u></p> <p>1) The program code incorrectly excludes the placement codes: a) "7" (runaway), b) "8" (incarceration), c) "22" (trial reunification).</p> <p>2) The program code excludes the value "9" (institution). As noted in FC41, there is not an option on the screen for "institution." If it is the higher level category, the program code must check the actual placement location.</p> <p>3) Hospitalizations: There is no routine to determine the length of stay of a hospitalization.</p> <p>4) The program code does not recalculate the date of placement in cases where a child returns to the same foster</p>	<p>1) Modify the program code to report the start date of: 1 a) "7" (runaway), 1b) "8" (incarceration), 1c) "22" (trial reunification).</p> <p>2) Modify the program code to include the placement date for screen items used in place of the value "institution."</p> <p>3) The agency and CB need to finalize the number of days that will be considered an acute care hospitalization.</p> <p>3a) Modify the program code to determine the number of days of a hospital stay.</p> <p>3b) If the child's stay is for more than the determined number of days, and is the placement as of the end of the report period, report the start date of the hospitalization.</p> <p>4) Modify the program code to use the original start date of the foster care setting the child returned to and not the date the child returned to the</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>home from a runaway or a "trial home visit."</p> <p>5) The program code does not have a routine to account for placements between cottages on the same campus.</p> <p>6) If there is a change in the status of the home (e.g., the home goes from a foster home to a pre-adoptive home or there is a change in the level of care), the date of the placement is incorrectly changed in the extraction to reflect the status change.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are years prior to 1991. <i>Case File Review Findings:</i> 18 (29%) of the records analyzed did not match what was reported in AFCARS. There were errors related trial home visits and status changes. Also, there were errors that the day reported to AFCARS was a day later than the actual placement date.</p>	<p>setting.</p> <p>5) Modify the program code to determine the placements are on the same campus and maintain the first placement date.</p> <p>6) Modify the program code to check if there is a status change for the same provider and if so, to continue reporting the original date of placement in the setting.</p>		
24. Number of Previous Placement Settings During this Removal Episode	2	<p><u>Program Code Para. 3200 - 3280 and 4000</u></p> <p>1) The program code is incorrectly excluding "8" (incarceration) and "9"</p>	<p><u>Program Code</u></p> <p>1a) Modify the program code to count placements in locked facilities.</p> <p>1b) Modify the program code to count</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>(institution) from the placement count.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 15 records reported with zero placements. CB will verify in the State's data that these are initial/only placements of runaway. <i>Case File Review Findings:</i> 10 (16%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>hospitalizations that are greater than the determined number of days for an acute care stay.</p> <p>2) Verify the program code is not including moves from one setting to another that are on the same campus.</p> <p>3) Verify the program code is not incrementing the placement count when there is a status change for provider.</p>		
<p>25. Manner of Removal from Home for Current Removal Episode</p> <p>1 = Voluntary 2 = Court Ordered 3 = Not Yet Determined</p>	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Voluntary = 479 (4%); Court Ordered = 10,584 (96%); Not Yet Determined = 0; Not reported = 5 <i>Case File Review Findings:</i> 2 (3%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>Children's Bureau will monitor the data for improvements.</p>		
<p>Actions or Conditions Associated With Child's Removal</p> <p>#26 Physical Abuse #27 Sexual Abuse #28 Neglect #29 Parent Alcohol Abuse #30 Parent Drug Abuse #31 Child Alcohol Abuse #32 Child Drug Abuse #33 Child Disability #34 Child's Behavior</p>	3	<p><u>Data Quality</u> <i>Case File Review Findings:</i> There were errors in all but two of the elements in this group. In the majority of the cases, the reviewers found additional conditions that contributed to the child's removal from home. See the Case File Review Findings for specific errors.</p> <p><u>Screen:</u> Placement/ Placement</p>	<p>Children's Bureau will monitor the data for improvements.</p> <p><u>Screen/System Suggestions</u> The State may need to identify if these other fields should be checked for the reporting of elements 26 - 40.</p>		<p><u>Screen:</u></p>

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Data Element	Rating	Findings	Tasks	Date	Notes
<p>Problem</p> <p>#35 Death of Parent</p> <p>#36 Incarceration of Parent</p> <p>#37 Caretaker Inability to Cope Due to Illness or Other Reasons</p> <p>#38 Abandonment</p> <p>#39 Relinquishment</p> <p>#40 Inadequate Housing</p> <p>0=Does not Apply</p> <p>1=Applies</p>		<p>Tab</p> <p>There are sections on the Person Management/ Characteristics screen where similar information can be entered. In the substance abuse section there checkboxes for drug addicted at birth and fetal alcohol syndrome. These specific options are not on the "Removal Reasons" screen.</p> <p>In the section for the child's information there is a checkbox to identify if the child is a Safe Haven child, if there is a behavior problem, and if the child was adjudicated delinquent.</p> <p>On the Person Management: Parent/Caretaker Info screen there is a section "Primary Caretaker's Information." The options are: emotionally disturbed, physically disabled, <i>drug abuse</i>, learning disability, other medical condition, mental retardation, visually or hearing impaired, and <i>alcohol abuse</i>.</p>	<p>Also, the State needs to build upon its current process of case planning to ensure that the reasons for removal are being incorporated into the family and individual plans and that there is a plan around resolving the identified areas and if they were resolved.</p> <p>The State may want to re-evaluate the reasons listed on the "Removal Reasons" screen and determine if they meet their business needs or if other reasons need to be added.</p> <p>If the reason for removal includes mental/emotional abuse or domestic violence they are to be included as "neglect" for AFCARS reporting. The State may want to add these options to their list if they are reasons the agency has removed a child from his/her home.</p> <p>If the information is included elsewhere in the system, provide the information to the Federal team and modify the program code to check the field(s).</p> <p>31. Alcohol Abuse (child): Includes infants addicted at birth and those exposed in-utero to alcohol. Since this information is also included in the substance abuse section as checkboxes for drug addicted at birth and fetal alcohol syndrome, the program code should also be</p>		<p><u>CB</u>: The fields for the "primary caretaker's information" that have drop-down options: Could the agency provide a copy? What is the purpose of this information? How is the information integrated into assessments/family plans?</p>

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Data Element	Rating	Findings	Tasks	Date	Notes
			<p>checking these fields.</p> <p>32. Drug Abuse (child): Includes infants addicted at birth and those exposed in-utero to drugs. Since this information is also included in the substance abuse section as checkboxes for drug addicted at birth and fetal alcohol syndrome, the program code should also be checking these fields.</p> <p>39. Relinquishment: The agency operates a Safe Haven program. Infants entering under this program are to be reported as this element "applies." Since this information is collected elsewhere in the system, the program code should be modified to check the field and if selected, set this element to "applies."</p>		
<p>41. Current Placement Setting</p> <p>1 = Pre-Adoptive Home 2 = Foster Family Home (Relative) 3 = Foster Family Home (Non-Relative) 4 = Group Home 5 = Institution 6 = Supervised Independent Living 7 = Runaway 8 = Trial Home Visit</p>	2	<p><u>Program Code Para. 2500 and 4000</u></p> <p>1) The placement setting code "7" (left placement without permission) is mapped to the AFCARS value "7." This is not an option on the screen in the field "placement setting."</p> <p>2) There is not an option on the screen for "institution" but the program code includes it. This was noted as a change in the State's CR document. The program code has not been</p>	<p><u>Program Code</u></p> <p>1) Clarify where the program code is extracting this value.</p> <p>2) Modify the program code to map the placement settings on the screen that are used in place of the value "institution."</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>updated to reflect the actual placement settings.</p> <p>3) It is not clear that placements are being mapped correctly based on the size. Settings that are 7 to 12 beds are group homes in AFCARS. More than 12 beds is reported to AFCARS as an institution.</p> <p>4) The program code does not check for the length of stay in a hospital.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Pre-Adoptive Home = 1100 (10%); Foster Family Home (Relative) = 2,942 (27%); Foster Family Home (Non-Relative) = 4,255 (38%); Group Home = 84 (.76%); Institution = 388 (4%); Supervised Independent Living = 132 (1%); Runaway = 136 (1%); Trial Home Visit = 2,025 (18%); Not reported = 6 <i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>3) Evaluate the size of appropriate settings to determine if the setting is between 7 and 12 beds and map them as group homes.</p> <p>3a) If the setting is more than 12 beds, map it to institution.</p> <p>4) Modify the program code to use the determined number of days for non-acute hospitalization as a placement. If the hospital stay is more than this number and the setting as of the end of the report period, map this element to "institution."</p> <p><u>Clarification: Placement Settings Mapping (Spreadsheet)</u></p> <p>1. Are the Service Types of ICPC settings in another State/Tribe that the agency has placed one of its own children?</p> <p>2. Foster Care Other/Adult DD Foster Care SPD Pd, Home/Regular Family Foster Care - Non-Relative: Are these only reported for youth 18 to 21?</p> <p>3. All Residential Care BRS Placement that are homes are mapped to AFCARS foster home, non-relative. Is it ever possible that a relative is licensed as a therapeutic</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
			<p>home? There were also some settings for the Tribes that were only mapped to non-relative FC. Is it possible that a relative could be a therapeutic home?</p> <p>4. There are settings identified as "kin." Provide your definition of who this covers. (In all cases these were mapped to "relative." Tribal and State values.)</p> <p>5. Foster Care Other/Adult DD Foster Care SPD Pd, Facility/Group Home: Are these only reported for youth 18 to 21? Also, what is the size of this facility?</p> <p>6. Explain "Other Substitute Care/Unauthorized Taking of Child/Hospitalization.</p> <p>7. Explain "Residential Care BRS Placement/BRS Independent Living - Target Fclty & Umbrella Facility/Residential Treatment - Facility" what is meant by "independent living?" (These are mapped to "institution.")</p> <p>8. "Residential Care BRS and non-BRS Placement/Facility" are all mapped to institution. What are the sizes of these facilities? Is it possible some are between 7 and 12 beds?</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
			<p>9. There are several settings that the AFCARS column indicates “multiple.” Please provide the specific mapping for these items. Including the Tribal ones.</p> <p>10. There are only 2 settings mapped to “pre-adoptive home.” Adoptive Placement/AA Open Pre-Adoptive Plcmnt Certified and Non-Certified. Clarify if the AA means these are only cases where and adoption assistance payment/service is being made.</p>		
<p>42. Is Current Placement Setting Outside of the State or Tribal Service Area?</p> <p>1 = yes 2 = no</p>	2	<p><u>Program Code Para. 2500 and 4000</u> 1) The program code does not check the provider’s address.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Yes = 486 (4%); No = 10,582 (96%)</p>	<p><u>Program Code</u> 1) Evaluate the approach used in the extraction logic. The simplest solution is to have the program code check if the state address is Oregon or not.</p>		
<p>43. Most Recent Case Plan Goal</p> <p>1 = Reunify with Parent(s) or Principal caretaker(s) 2 = Live with Other Relative(s) 3 = Adoption 4 = Long-term Foster Care 5 = Emancipation 6 = Guardianship 7 = Case Plan Goal Not Yet Established</p>	2	<p><u>Screen: Permanency Plan</u> 1) There is not a way to distinguish if a goal of guardianship is with a relative or non-relative.</p> <p><u>Program Code Para. 3500 - 3550 and 4000</u> 1) The goals “APPLA-permanent foster care” (4) and “APPLA – perm connections and support” (5) are both mapped to “long term foster care.”</p>	<p><u>Screen</u> 1) Add an option on the screen to distinguish relative from non-relative guardianship goals.</p> <p><u>Program Code</u> 1) Identify a method to determine if the child has a permanent connection to an adult.</p> <p>1a) If there is a permanent connection, set the AFCARS goal to “emancipation.”</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Reunify with Parent(s) or Principal Caretaker(s) = 4,981 (45%), Live with Other Relatives = 59 (.53%), Adoption = 2,094 (19%), Long Term Foster Care = 1,974 (185), Emancipation = 26 (.23%), Guardianship = 400 (4%), Case Plan Goal Not Yet Established = 965 (9%) and Not Reported = 569 (5%) <i>Case File Review Findings:</i> 14 (22%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>1b) If the child does not have a permanent connection to an adult, the code should report the AFCARS goal "long-term foster care."</p>		
<p>44. Caretaker Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male 5 = Unable to Determine</p>	2	<p><u>Screens:</u> 1) There are multiple screens that a marital status can be entered. <i>Person Management: Basic</i> There is a field "Marital Status" it has a drop-down list. <i>Placement/Placement:</i> The "Caregiver Structure" options does not cover all possibilities for Oregon. There is a better, comprehensive list used for providers. See element #49. The State team indicated that the "Removal Structure" cannot remain as "unable to determine" for system processing. A marital status has to be entered. <i>Person Management: Parent/</i></p>	<p><u>System/Screen</u> 1) Provide a copy screen showing this dropdown list.</p> <p>1a) Modify the system to have consistent options for marital status where applicable in the relevant fields.</p> <p>1b) Modify the system to allow the field for "removal structure" to be left blank if the caseworker has not entered the information or if it is not known.</p> <p>2) "Unable to determine" is to be reported only for children who entered under Safe Haven or whose parents are incapacitated and there is no one else to provide the information.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p><i>Caregiver Info:</i> This screen has fields for Legal Mother Information and Legal Father Information.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Married Couple = 2,832 (26%); Unmarried Couple = 3,132 (28%); Single Female = 4,519 (41%); Single Male = 476 (4%); Unable to Determine = 94 (.85%); Not reported = 15 (.14%) <i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS.</p>			
45. Year of Birth (1 st Principal Caretaker)	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 10,991 records reported with a year of birth and only 77 as blank. In element #44, there were 15 records blank and 94 records reported as "unable to determine;" a total of 109.</p>	Children's Bureau will monitor the data for improvements.		
46. Year of Birth (2 nd Principal Caretaker - if applicable)	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> There are 4,904 records reported as blank. In element #44 there were 5,964 records reported as married and unmarried couple.</p> <p><i>Case File Review Findings:</i> 7</p>	Children's Bureau will monitor the data for improvements.		

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Data Element	Rating	Findings	Tasks	Date	Notes
		(11%) of the records analyzed did not match what was reported in AFCARS.			
47. Date of Mother's Parental Rights Termination (if applicable) AD19. Date of Mother's Termination of Parental Rights	4	<p><i>Case File Review Findings:</i> 3 (5%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code: Para. 3000 - 3070 and 4000</u> If no legal record is found, the child's adoption tracking records are searched and the most recent date stamped for document codes "18," "21," "25" or "26" is selected.</p>	The Federal team does not have the translation of these values. The State needs to provide the meaning of these values.		
48. Date of Legal or Putative Father's Parental Rights Termination (if applicable) AD20. Date of Father's Termination of Parental Rights	4	<p><i>Case File Review Findings:</i> 4 (6%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3000 – 3070 and 4000</u> If no record is found the child's adoption tracking records are searched and the most recent date stamped for document codes "19," "22," "23," "27," "28" or "52" is selected.</p>	The Federal team does not have the translation of these values. The State needs to provide the meaning of these values.		
49. Foster Family Structure 0=Not Applicable 1 = Married Couple 2 = Unmarried Couple	2	<p><u>Program Code Para. 3400 - 3460 and 4000</u> 1) The program code maps "other" to "unmarried couple."</p> <p><u>Data Quality</u></p>	<p><u>Program Code</u> 1) Map the value "other" to blank. 1a) This value could be removed from the code as it is not an option on the screen.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
3 = Single Female 4 = Single Male		<i>Frequency Report (n=11,068):</i> Not Applicable = 2,867 (26%); Married Couple = 5,707 (52%); Unmarried Couple = 259 (2%); Single Female = 1,997 (18%); Single Male = 238 (2%); Not reported = 0 <i>Case File Review Findings:</i> 3 (5%) of the records analyzed did not match what was reported in AFCARS.			
50. Year of Birth (1 st Foster Caretaker)	3	<i>Case File Review Findings:</i> 4 (7%) of the records analyzed did not match what was reported in AFCARS.	Children's Bureau will monitor the data for improvements.		
51. Year of Birth (2 nd Foster Caretaker)	3	<i>Case File Review Findings:</i> 4 (7%) of the records analyzed did not match what was reported in AFCARS.	Children's Bureau will monitor the data for improvements.		
52. Race of 1 st Foster Caretaker 25. Adoptive Mother's Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	2	<u>Screen:</u> See notes in FC8. <u>Program Code Para. 3400 - 3460, 4000, and 4020</u> The number of records reported as blank (i.e., "not reported") is not equal to the number of records reported in FC49 as "Not Applicable" (2,867 (26%)). The number of records reported as "not reported" in FC52 is 2,765. <i>FC 52Case File Review Findings:</i> 6 (11%) of the records analyzed did not match what	<u>Screen</u> 1) See FC8 for modifications applicable to adults. <u>Program Code</u> 1) Modify the program code to set the race fields to blank if no race information is selected. 2) Modify the program code to check the ethnicity field to determine if an ethnicity was selected that needs to be mapped to an AFCARS race value. 3) Make other modifications as		

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Data Element	Rating	Findings	Tasks	Date	Notes
		was reported in AFCARS. <i>AD25 Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS.	applicable based on findings for this element and FC8.		
53. Hispanic or Latino Ethnicity of 1 st Foster Caretaker 26. Adoptive Mother's Hispanic Origin 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2	<u>Program Code Para. 3400 - 3460, 4000 and 4030</u> 1) There is no logic to set this element to "not applicable" when the child is in a non-foster home setting. <u>Data Quality</u> <i>FC53 Frequency Report (n=11,068):</i> Not Applicable = 0; Yes = 570 (5%); No = 4,867 (44%); Unable to determine = 1,507 (14%); Not reported = 4,124 (37%) <i>FC53 Case File Review Findings:</i> 15 (34%) of the records analyzed did not match what was reported in AFCARS. <i>AD26 Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS.	<u>Program Code</u> 1) Modify the program code to set this element to "not applicable" when the child's placement setting as of the end of the report period is a non-foster home setting.		
54. Race of 2 nd Foster Caretaker (if applicable) 27. Adoptive Father's Race a. American Indian or Alaska Native	2	<u>Screen:</u> See notes in FC8. <u>Program Code Para. 3400 - 3460, 4000, and 4020</u> 1) The number of records reported as blank (i.e., "not reported") is not equal to the	<u>Screen</u> 1) See FC8 for modifications applicable to adults. <u>Program Code</u> 1) Modify the program code to set the race fields to blank if no race information is selected.		

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Data Element	Rating	Findings	Tasks	Date	Notes
b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine		<p>number of records reported in FC49 as "Not Applicable" (2,867 and single foster parent (2,235). The number of records reported as "not reported" in FC54 is 3,508.</p> <p><i>FC54 File Review Findings:</i> 11 (20%) of the records analyzed did not match what was reported in AFCARS.</p> <p><i>AD27 Case File Review Findings:</i> 3 (23%) of the records analyzed did not match what was reported in AFCARS.</p>	<p>2) Modify the program code to check the ethnicity field to determine if an ethnicity was selected that needs to be mapped to an AFCARS race value.</p> <p>3) Make other modifications as applicable based on findings for this element and FC8.</p>		
55. Hispanic or Latino Ethnicity of 2 nd Foster Caretaker (if applicable) 28. Adoptive Father's Hispanic Origin 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2	<p><u>Program Code Para. 3400 - 3460, 4000, and 4030</u></p> <p>1) There is no logic to set this element to "not applicable" when the child is in a non-foster home setting or when the marital structure of the foster parent is single.</p> <p><u>Data Quality</u></p> <p><i>FC55 Frequency Report</i> (n=11,068): Not Applicable = 0; Yes = 604 (6%); No = 4,250 (38%); Unable to determine = 1,366 (12%); Not reported = 4,848 (43%)</p> <p><i>FC55 Case File Review Findings:</i> 24 (44%) of the records analyzed did not match what was reported in AFCARS.</p> <p><i>AD28 Case File Review</i></p>	<p><u>Program Code</u></p> <p>1) Modify the program code to set this element to "not applicable" when the child's placement setting as of the end of the report period is a non-foster home setting.</p> <p>2) Modify the program code to set this element to "not applicable" if the foster parent is single.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<i>Findings:</i> 3 (23%) of the records analyzed did not match what was reported in AFCARS.			
56. Date of Discharge from Foster Care	2	<p><u>Screen:</u> Placement/Placement End</p> <p>1) The caseworkers are instructed to end trial reunification after six months but this is not always accurate for AFCARS reporting requirements. Some counties/courts are not always using the CRB and the case is being reviewed in court. This equates to a specified period of time and so for AFCARS purposes the child is still in the AFCARS reporting population and will remain in the population until the agency no longer has responsibility for placement and care of the child.</p> <p><u>Program Code Para. 3300 - 3320 and 4000</u></p> <p>1) If the discharge flag is not "Y" but there is an adoption recorded on the court disposition record for the child with an effective date within the reporting period, it is used for the date of discharge. This is an issue as there should not be an effective date of an adoption with no discharge date from foster care.</p>	<p><u>Screen</u></p> <p>1) Clarify what is selected as closure reasons for a trial reunification and how the case is closed for AFCARS as well on the system.</p> <p>2) Revise instructions/policy on when a trial reunification is to be closed for AFCARS purposes.</p> <p><u>Program Code</u></p> <p>1) The agency needs to address this as a training/oversight issue.</p> <p>1a) The routine should be removed from the extraction code in order to accurately identify records that are actually closed but have not been closed on the system.</p>		

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Data Element	Rating	Findings	Tasks	Date	Notes
		<p>2) Children who were in foster care but are then placed with a non-custodial parent are to be reported to AFCARS as discharged.</p> <p>3) The State has extended its definition of a child up to the age of 21. The effective date is April 1, 2011 (2011B).</p> <p><u>Data Quality</u> <i>Case File Review Findings:</i> 7 (11%) of the records analyzed did not match what was reported in AFCARS. There are cases of 18 year olds who are not eligible for title IV-E that are not being reported as discharged on their 18th birthday.</p>	<p>2) Identify a method to properly report these cases for AFCARS.</p> <p>3) Modify the program code to correctly report 18 year youth under the old program (report periods 2011A and older).</p> <p>3a) For youth who are 18 and not eligible for title IV-E, report their 18th birthday as the discharge date.</p> <p>3b) For youth who are 18 and eligible for title IV-E, report the date they were no longer eligible for title IV-E</p> <p>4) For report periods 2011B and later: 4a) Report youth who are not eligible for title IV-E as discharged as of their 18th birthday. 4b) For youth over age 18 report the date they are no longer eligible for title IV-E funds as their discharge date.</p>		
57. Foster Care Discharge Transaction Date	3	<p><u>Post-site Visit Corrections</u> This has been corrected to select the initial date the caseworker entered the date of the discharge and not the date the supervisor approved the data entry. Also, the transaction date is not reflecting an end in a placement.</p>	Children's Bureau will monitor the data for improvements.		

AFCARS Assessment Review Improvement Plan: Foster Care Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Date	Notes
<p>58. Reason for Discharge</p> <p>0 = Not Applicable 1 = Reunification with Parent(s) or Primary Caretaker(s) 2 = Living with Other Relative(s) 3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Transfer to Another Agency 7 = Runaway 8 = Death of Child</p>	2	<p><u>Program Code</u> 1) The State has extended its definition of a child up to the age of 21. The effective date is April 1, 2011 (2011B).</p> <p><u>Data Quality</u> <i>Frequency Report:</i> There are 8,687 records reported as blank in #56 and “not applicable” for this element, but there are 8,696 records reported as blank in element #57.</p> <p><i>Case File Review Findings:</i> 5 (8%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u> 1) Modify the program code to correctly report 18 year youth under the old program (report periods 2011A and older).</p> <p><u>For report periods 2011B and later:</u> 2) Report youth who are not eligible for title IV-E as discharged with an outcome of emancipation.</p> <p>3) For youth over age 18 report report an outcome of emancipation once they are no longer eligible for title IV-E funds.</p> <p>4) See tasks in FC56 related to trial reunification.</p> <p>5) See tasks in FC56 regarding discharges to a non-custodial parent.</p>		
59. Title IV-E (Foster Care)	2	<p><u>Screen</u> 1) The State did not provide a copy of the screens used for determining title IV-E foster care eligibility.</p>	<p><u>Screen</u> 1) Provide prints of these screens.</p>		

AFCARS Assessment Review Improvement Plan: Foster Care Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Date	Notes
		<p><u>Program Code Para. 3610 and 4000</u> 1) The program code includes a routine to check if the value of element #60 is "applies," then it will set this element to "applies."</p> <p>2) <u>Post Site Visit Corrections</u> Modifications were made to check the child's eligibility and the placement's eligibility. If the child is IV-E eligible and in an IV-E reimbursable placement on any day (i.e.: both are true on that day) during the report period then element 59 is a yes. Payments are no longer associated with these elements.</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 6,160 (56%); Applies = 4,908 (44%) The State team indicated the frequency rate seems low for this element. As noted below there are issues and one is that the program code is not including the Tribal cases. See notes in the section for the State's CR changes.</p> <p><i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u> 1) Modify the program code by commenting out this routine.</p> <p>2) Verify that this approach will correctly report if the child entered foster care in the last month of the report period and was determined eligible that this element will be set to "applies."</p>		

AFCARS Assessment Review Improvement Plan: Foster Care Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Date	Notes
62. Title IV-D (Child Support)	2	<p><u>System</u> 1) The State team indicated the interface with the child support system is not working properly. If the agency has received a child support payment on behalf of the child, then this is to be reported as “applies.”</p> <p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,959 (99%); Applies = 109 (1%) <i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>System</u> 1) Develop a method to manually enter the data on whether a child support payment is made on behalf of the child at any time during the report period.</p> <p>2) Make necessary corrections, or take steps with the Child Support Agency, to ensure the interface is working properly.</p>		
65. None of the Above	3	<p><u>Data Quality</u> <i>Frequency Report (n=11,068):</i> Does not apply = 10,949 (99%); Applies = 119 (1%)</p> <p><i>Case File Review Findings:</i> 1 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code Para. 3670 and 4000 Post-Site Visit Modifications</u> The change to the program now overrides the results for other sources of income if any of #59 - 64 are “applies.” This should be commented out of the code and</p>	Children’s Bureau will monitor the data for improvements.		

AFCARS Assessment Review Improvement Plan: Foster Care Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Date	Notes
		element 65 should be set to "applies" regardless of what is found in 59 - 65.			
66. Amount of Monthly Foster Care Payment	3		Children's Bureau will monitor the data for improvements.		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
<p>4. Did the title IV-E Agency have any involvement in this adoption?</p> <p>1=Yes 2=No</p>	3	<p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There were three records reported as "no." Since the State indicated they are only reporting adoptions with State agency involvement, these are likely errors due to the errors with the extraction code for elements 35 - 37. Since this element is dependent on the accuracy of adoption elements 31, 34, or 35, it is critical that the data for these elements is accurate.</p> <p><u>Post-site Modifications</u> The program code was modified and the check of elements 31, 34, or 35 has been removed.</p>	Children's Bureau will monitor the data for improvements.		
<p>7. Child's Race</p> <p>0=No 1=Yes</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	2	<p><u>Screen:</u> 1) See the findings for FC8 for additional information regarding needed and suggested system changes.</p> <p><u>Program Code Para. 2400, 4000, and 4020 - 4025</u> See the findings for FC8 for additional issues and needed corrections.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There are 31 (6%) records reported as "unable to</p>	<p><u>Screen</u> 1) See Tasks in FC8.</p> <p><u>Program Code</u> 1) See Tasks in FC8.</p>		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		determine.” There are 117 (24%) records reported as having two or more races. <i>Case File Review Findings:</i> 2 (15%) of the records analyzed did not match what was reported in AFCARS. In the two error cases an additional race was identified by the reviewers.			
8. Child’s Hispanic or Latino Ethnicity 1=Yes 2=No 3=Unable to determine	3	<u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 72 (15%); No = 369 (76%); Unable to determine = 43 (9%); Not reported = 0 <i>Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. The error case indicated “unable to determine” in the AFCARS file but the reviewer found no indication that the parent/child had declined to provide the information or that the child was a Safe Haven infant.	See notes in FC8 regarding terminology and suggested alternatives. Children’s Bureau will monitor the data for improvements.		
Elements #11 – 15 #11 Mental Retardation #12 Visually/Hearing Impaired #13 Physically Disabled #14 Emotionally Disturbed #15 Other Diagnosed Condition	2	<u>Program Code: Para. 3050 and 4000</u> 1) These elements are incorrectly reported regardless of the value of element #10. 2) The program code does not check the medical module for diagnosed conditions if the child’s basis for special needs is	<u>Program Code</u> 1) Modify the program code to report 11 - 15 as “does not apply” if the response in AD10 is a value other than “4.” 2) Modify the program code to check for the child’s diagnosed conditions if the basis of special need is “medical conditions or mental, physical or		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
0=Does not Apply 1=Yes, applies		"medical conditions or mental, physical or emotional disabilities."	emotional disabilities."		
16. Mother's Year of Birth 17. Father's Year of Birth	3	<p><u>Screen:</u> Person Management/ Basic See the information in FC44 - 46 regarding screens. A date of birth is only found on the <i>Person Management/ Basic</i> screen. The State team noted that due to the design of the system, if the caseworker does not enter the members of the case and the relationships correctly, the wrong people may be listed for the caretaker fields and then the wrong years of birth would be reported for FC45 and 46.</p> <p><u>Data Quality</u> <i>AD 16: Case File Review</i> <i>Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. <i>AD17: Case File Review</i> <i>Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS.</p>	Children's Bureau will monitor the data for improvements.		
18. Was the Mother married at the time of the child's birth? 1=Yes 2=No 3=Unable to determine	2	<p><u>Program Code Para. 2400, 4000, and Para. 4030</u> 1) The program code incorrectly maps other values and missing data to a "3."</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes</p>	<p>Program Code 1) Map any value other than those on the screen or missing data to blank.</p>		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		= 101 (21%); No =320 (66%); Unable to determine = 63 (13%) <i>Case File Review Findings: 2</i> (15%) of the records analyzed did not match what was reported in AFCARS.			
22. Adoptive Parents' Family Structure 1=Married couple 2=Unmarried couple 3=Single female 4=Single male	2	<u>Screen</u> These are the same fields as for the foster parent provider. See FC49 for additional information. <u>Program Code</u> The program code was modified to use two new procedures (p_get_afcars_adopt_e22_e28 and "convert family structure"). 1) The routines include a check for marital structure based on gender. If the gender is "female" the marital status is "single female." Otherwise, it is mapped to "single male." 2) The values for Domestic Partnership and Registered Domestic Partner are incorrectly mapped to "unmarried couple" (based on the mapping for FC49). <u>Data Quality</u> <i>Frequency Report (n=484):</i> Married Couple = 357 (74%); Unmarried Couple = 21 (4%); Single Female = 105 (22%); Single Male = 0; Not reported= 1	<u>Program Code</u> 1) Clarify why the program code was rewritten in this manner instead of using the same routine as in FC49 (the field is the same for both elements). 2) Modify the program code to map these values to married couple.		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		In the 2013B file there were three records reported for "single male."			
<p>Elements #29 – 32, Relationship to Adoptive Parent:</p> <p>29. Stepparent 30. Other Relative 31. Foster Parent 32. Other Non-relative</p> <p>0 = Does not Apply 1 = Applies</p>	2	<p><u>Screen: Case Closure</u> 1) The options for the field "adopted by" are not multi-select.</p> <p><u>Program Code Para. 3030 and 4000</u></p> <p><u>Data Quality</u> <i>AD30 Case File Review Findings:</i> 1 (8%) of the records analyzed did not match what was reported in AFCARS. <i>AD31 Case File Review Findings:</i> 5 (39%) of the records analyzed did not match what was reported in AFCARS. <i>AD32 File Review Findings:</i> 4 (31%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Screen</u> 1) Make modifications that will enable reporting of all relationship types. a) Either allow the field to be multi-select; or, b) Modify the program code to check additional relevant fields.</p> <p><u>Program Code</u> 1) Make modifications to the program code depending on actions taken for Screen item 1.</p>		
<p>33. Child was placed from</p> <p>1=Within State or Tribal Service Area 2=Another State or Tribal Service Area 3=Another Country</p>	1	<p><u>Screen</u> There is not a field specifically addressing this element but there are fields for the address of an agency. If the agency is involved in an adoption that was through a private agency, whether the agency was in Oregon, another State, a Tribe or another country DHS should</p>	<p><u>System</u> 1) Either modify the system to add this field; or, 1a) Modify the program code to check an agency address field. - If the program code determines the child was in the State's foster care system, then the element would be set to "1." - If the program code determines the</p>		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		<p>be able to enter the agency's information. The State identified this as an issue in the Change Request Document that needs to be corrected.</p> <p><u>Program Code Para. 2200 and 4000</u></p>	<p>child was placed for adoption through a private agency, the address of the agency would determine the response of either a 1, 2, or a 3.</p> <p><u>Program Code</u> 1) Make modifications as applicable depending on changes made for Screen item 1.</p>		
<p>34. Child was placed by</p> <p>1=Public agency 2=Private agency 3=Tribal Agency 4=Independent person 5=Birth parent</p>	3	<p><u>Screen:</u> Adoption Referral/General</p> <p><u>Data Quality</u> All records were reported as "public agency." Since there are issues noted with identifying and correctly reporting private agency adoptions, this element is rated a "3."</p>	<p><u>Screen</u> 1) Provide the Federal team with screen shots of each of the Adoption Referral screen tabs.</p> <p><u>Data Quality</u> Children's Bureau will monitor the data for improvements.</p>		
<p>35. Is the Child Receiving a Monthly Subsidy?</p> <p>1=Yes 2=No</p>	2	<p><u>Screen:</u> Agreement There is a section "Agreement Information." One of the fields is Subsidy Type.</p> <p><u>Program Code</u> The vendor had the routines swapped for AD35 and 36. The State's plan is the service screen for this element. The State may also want to consider using the Agreement screen noted above.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 479 (99%); No = 5 (1%)</p>	<p><u>Program Code</u> 1) Modify the program code to determine if the agency will pay and adoption subsidy or if subsidy is for Medicaid only.</p>		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		2013B (n=394): Yes = 373 (95%); No = 21 (5%)			
36. Monthly Amount	2	<p><u>Screen:</u> Agreement There is a field for the Agreement Total.</p> <p><u>Program Code</u> This code is identical to that performed for foster care element #66, which is not the correct method for determining this element. Also, the routine checks medical eligibility. See the note in element #35 regarding the vendor.</p> <p><u>Data Quality</u> <i>Frequency Report (n=484):</i> There are 444 records reported with no payment amount. 2013B (n=394): There were 323 records reported as a zero payment. <i>Case File Review Findings:</i> 11 (85%) of the records analyzed did not match what was reported in AFCARS.</p>	<p><u>Program Code</u> 1) Modify the program code to check the field on the agreement screen for the amount of the subsidy agreed upon by the agency and the family. The amount reported should be the amount in affect as of the date of the adoption.</p> <p>2) Only include payments that are for an on-going basis (do not include non-recurring expenses).</p>		
<p>37. Is the Child receiving a title IV-E adoption subsidy?</p> <p>1=Yes 2=No</p>	2	<p><u>Screen:</u> The State provided a partial copy of the screen used to determine adoption assistance eligibility. See notes in adoption element 9.</p> <p><u>Program Code</u> The routine is incorrect.</p>	<p><u>Screen</u> 1) Provide a complete screen shot.</p> <p><u>Program Code</u> 1) Modify the program code to check if the child is eligible for title IV-E</p>		

AFCARS Assessment Review Findings: Adoption Elements
State: Oregon

Data Element	Rating	Findings	Tasks	Dates	Notes
		<p><u>Data Quality</u> <i>Frequency Report (n=484):</i> Yes = 24 (5%); No = 460 (95%) 2013B (<i>n=394</i>): Yes = 53 (13%); No = 341 (87%)</p> <p><i>Case File Review Findings:</i> 8 (62%) of the records analyzed did not match what was reported in AFCARS. In all eight cases this element and element 37 were both incorrect. The reviewers found that the response should have been "yes" instead of "no."</p>	<p>adoption subsidy.</p> <p>2) Non-recurring cost are not to be considered for this element.</p>		